

Appendix M

Protest Error and Warning Messages

This appendix lists common error and warning messages, their causes and possible solutions.

This appendix describes the most common error and warning messages relating to Protest and advises entry filers new to ACS how to correct the errors.

Each error and warning message begins with a 3-position identifier code. In some cases more than one error or warning message is returned. The messages in this Appendix are arranged in alphabetical order by these codes, not by the words in the actual messages.

APA - USCS PROTEST NBR NOT APPLIC

No U.S. Customs and Border Protection (CBP) assigned protest number is submitted by filer in an initial protest filing via ABI. If the filing is accepted error free, or with warning, the CBP assigned protest number for the protest will be returned in the P01 response record (when error free) or P10/P99 response records (when accepted with warnings).

APB - USCS PROTEST NUMBER REQUIRED

The CBP assigned protest number assigned at initial protest filing is required for all subsequent (amendment, addenda and service request) filings for that protest.

APC - USCS PROTEST NBR NOT ON FILE

The value assigned in the protest number field is not a valid CBP assigned protest number. The CBP assigned protest number is assigned at initial protest filing and is required for all subsequent (amendment, addenda and service request) filings for that protest.

APD - FILER REF. NBR REQUIRED

The broker/filer assigned document/reference number for the protest, petition or intervention is required at initial filing and all subsequent (amendment, addenda and service request) filings.

APE - FILER REF. NBR ALREADY USED

The broker/filer assigned document/reference number filed for the protest, petition or intervention was already used for a prior filing. The broker assigned document/reference number must be unique for each protest, petition or intervention.

APF - SIGNATURE CERT. REQUIRED

Certification of signature, indicated by an “X”, is required in lieu of a signature for the automated environment.

APG - SIGNATURE CERT. INVALID

Certification of signature, signified by an “X”, is required in lieu of a signature in the automated environment.

APH - INQUIRY REQ. IND. INVALID

Two levels of service are available for inquiries of automated protest filings:

- “1” - Recap only; header cards P10 and P11, and processing status card P12 only will be transmitted to requestor.
- “2” - Full file dump; all cards necessary for entire filing will be transmitted to requestor.

The value submitted in the inquiry request field is not “1” or “2”.

API - AFR REQUEST IND. INVALID

An application for further review, indicated by “R”, applies only to type 514 protests, and must be requested during the filing and amendment period, either at initial filing or by an amendment filing. The value submitted in the AFR request field is not “R”.

APJ - AFR REQUEST NOT APPLICABLE

An application for further review, indicated by “R”, applies only to type 514 protests, and must be requested during the filing and amendment period, either at initial filing or by an amendment filing. Either the filing is not type 514 protest, or the filing and amendment period has expired.

APK - AFR REVIEW REQ. IND. INVALID

A request for review of AFR denial, indicated by “R”, may be submitted within 60 days after the application for further review has been denied by CBP. The value submitted in the AFR review request field is not “R”.

APL - AFR REVIEW REQ. NOT APPLIC.

A request for review of AFR denial, indicated by “R”, may be submitted within 60 days after the application for further review has been denied by CBP. Either the protest or application for further review is not in denied status, or the 90-day protest filing/amendment period has expired.

APM - VOIDANCE REQ. IND. INVALID

A request for voidance of denial, indicated by “R”, may be submitted within 90-days after protest has been denied by CBP. The value submitted in the voidance request field is not “R”.

APN - VOIDANCE REQST ALREADY EXISTS

A request for voidance of denial, indicated by “R”, may be submitted within 90-days after protest has been denied by CBP. The voidance request indicator is already set at “R” (affirmative for request).

APO - ACCEL DISP. REQ. IND. INVAL.

A request for accelerated disposition, indicated by “R”, may be submitted for type 514 protests when 90-days from initial filing has elapsed and no CBP decision has been rendered. The value submitted in the accelerated disposition field is not “R”.

APP - ACCEL DISP. REQ. NOT APPLIC.

A request for accelerated disposition, indicated by “R”, may be submitted for type 514 protests when 90-days from initial filing has elapsed and no CBP decision has been rendered. Either the filing is not a type 514 protest, the 90-days have not elapsed, or a CBP decision has been rendered.

APQ - WITHDRAWAL REQ. IND. INVALID

A request to withdraw a protest, petition or intervention, indicated by “W”, may be submitted any time until the protest or petition is adjudicated by CBP. The value submitted in the withdrawal request is not “W”. Any other character in this field will generate this error message.

APR - WITHDRAWAL REQ. NOT APPLIC.

A request to withdraw a protest, petition or intervention, indicated by “W”, may be submitted at any time until the protest or petition is adjudicated by CBP. The protest or petition has already been adjudicated or this is a duplicate request to withdraw. A full file query will show if the request has already been made.

APS - HARDCOPY INDICATOR INVALID

Notification to CBP that hardcopy materials have been sent, indicated by “S”, may be submitted by filer at any time prior to adjudication of protest or petition by CBP. “N” indicates that hardcopy materials do not apply. Once set to “S” the hardcopy indicator cannot be un-set to “N”. The value submitted in the hardcopy indicator is not “S” or “N”.

APT - HARDCOPY IND. CANNOT CHANGE

Notification to CBP that hardcopy materials have been sent, indicated by “S”, may be submitted by filer at any time prior to adjudication of protest or petition by CBP. “N” indicates that hardcopy materials do not apply. Once set to “S” the hardcopy indicator cannot be un-set to “N”.

APU - SAMPLE INDICATOR INVALID

Notification to CBP that a sample has been sent, indicated by “S”, may be submitted by filer at any time prior to adjudication of protest or petition by CBP. “N” indicates that a sample does not apply. Once set to “S” the sample indicator cannot be un-set to “N”. The value submitted in the sample indicator is not “S” or “N”.

APV - SAMPLE INV. CANNOT CHANGE

Notification to CBP that a sample has been sent, indicated by “S”, may be submitted by filer at any time prior to adjudication of protest or petition by CBP. “N” indicates that a sample does not apply. Once set to “S” the sample indicator cannot be un-set to “N”.

APW - FAX INDICATOR INVALID

Notification to CBP that a facsimile was sent, indicated by “S”, may be submitted by filer at initial filing of protest, petition or intervention. “N” indicates that no facsimile was sent. The value submitted in the FAX indicator is not “S” or “N”.

APX - FAX INDICATOR NOT APPLICABLE

Notification to CBP that a facsimile was sent, indicated by “S”, may be submitted by filer at initial filing or protest, petition or intervention. “N” indicates that no facsimile was sent. No value submitted in the FAX indicator will be accepted after initial filing.

APY - FAX SENT DATE INVALID

The CCYYMMDD that a facsimile was sent to CBP is required at initial protest, petition or intervention filing when the value submitted in the FAX indicator is “S”. The value submitted in FAX sent date is not a valid date in CCYYMMDD format.

APZ - FAX SENT DATE NOT APPLICABLE

The CCYYMMDD that a facsimile was sent to CBP is required at initial protest, petition

or intervention filing when the value submitted in the FAX indicator is “S”. No value submitted in the FAX sent date will be accepted after initial filing, or when the FAX indicator is not “S”.

AP0 - SECURITY VIOLATION

Both a valid CBP assigned protest number and the corresponding filer assigned reference number are required to file amendments, addenda or service requests for existing protest. The filer reference number submitted does not correspond to the protest number.

AP1 - PROTEST NOT IN OPEN STATUS

Amendments and addenda can only be filed for a protest or petition that is in open or suspended status.

AP2 - HEADER/DETAIL DATA REQUIRED

One or more required records are missing. A P10 is required for initial, amendment, addenda, and service requests. The P11 is required for initial filing. The P11 should be used as part of the amendment or addenda only to change a “N” (no) sample and/or “N” (no) hardcopy indicator to “S” (sent). The P15 record is required for initial filing. The P16 record is required when further review is requested in the accompanying P10 of the initial filing or amendment transaction.

AP3 - HEADER/DETAIL DATA REJECTED

This is a summary type message. It simply points to errors made in other records causing the transaction to be rejected.

AP4 - AFR REQUEST DATA INCOMPLETE

When further review is applied for at time of initial filing, three criteria questions must be answered in the P16 record. When further review is applied for at time of filing or by amendment, the three criteria questions must be answered, and additional narrative must be sent justifying further review according to CFR 174.25(B)(3).

AP5 - AFR REQUEST DATA REJECTED

Further review can be applied for only at time of initial filing or by making an amendment during any remaining portion of the 90-day protest filing period. Three criteria questions must be answered and the application supported by a statement justifying further review. Either the 90-day protest filing period has expired or all the elements for the application for further review have not been submitted.

AP6 - AFR REVIEW DATA INCOMPLETE

Under the CBP Modernization Act, the protestant may request review of denial of an application for further review within 60 days of notice of denial of further review. The electronic signature or other data element is missing from the P10 record.

AP7 - AFR REVIEW DATA REJECTED

The request review of denial of application for further review indicator must be "R", no other value is accepted. The request can only be submitted one time. Request cannot be made if no application for further review was made.

AP8 - VOID. REQ. DATA INCOMPLETE

Voidance request data incomplete. Missing the request indicator or the request date.

AP9 - VOID. REQ. DATA REJECTED

Voidance request data rejected. The request to void denial of the protest can only be submitted one time. Request cannot be made if the status of the protest is other than denied, partly denied, non-protestable, or untimely filed-denied. This message may also be returned along with the AP8 when void request data is missing.

AQA - FILING DDPP REQUIRED

An automated protest must be filed in a port identified as an automated protest filing location in the CBP region-district-port database. This typically represents the location of the office of the District Director.

AQB - FILING DDPP NOT ON FILE

The value submitted in the filing DDPP field is not a valid value on the CBP region-district-port database.

AQC - FILING DDPP NOT PROTEST PORT

The address DDPP code is valid, but the USCS ACS region-dist-port code record for that port is not flagged as operational for electronic protest. The electronic protest switch must be set to "YES". Contact the port.

AQD - TARIFF ACT CITATION REQUIRED

This field is required to determine the section of CBP code under which the protest, petition or intervention is being filed. Valid values are:

- 1 - Section 514 Protest
- 2 - Section 520(c) Petition
- 3 - Section 520(d) Petition
- 4 - Section 181.115 Intervention under NAFTA

AQE - TARIFF ACT CITATION INVALID

This field is required to determine the section of CBP code under which the protest, petition or intervention is being filed. Valid values are:

- 1 - Section 514 Protest
- 2 - Section 520(c) Petition
- 3 - Section 520(d) Petition
- 4 - Section 181.115 Intervention under NAFTA

AQF - ASSOC. CLAIM NBR NOT APPLIC.

When protesting denial of a 520(c) or (d) petition, the CBP assigned protest number of the denied petition is required. The date of denial of the petition constitutes the start of the 90-day filing and amendment period for the protest. This field does not apply when filing 520(c) or (d) petitions or 181.115 interventions.

AQG - ASSOC. CLAIM NBR NOT ON FILE

When protesting denial of a 520(c) or (d) petition, the CBP assigned protest number of the denied petition is required. The date of denial of the petition constitutes the start of the 90-day filing and amendment period for the protest. The number entered in the associated petition number field is not a valid number in the USCS protest file.

AQH - ASSOC. NBR NOT DENIED CLAIM

When protesting denial of a 520(c) or (d) petition, the CBP assigned protest number of the denied petition is required. The date of denial of the petition constitutes the start of the 90-day filing and amendment period for the protest. The number entered in the associated petition number field is a valid number in the CBP protest file, but is either not a petition or not in denied status.

AQI - ASSOC. PROTEST NBR REQUIRED

Under CFR 181.115, the foreign exporter or producer of the merchandise subject to an adverse country of origin decision may intervene in the importer's 514 protest. To make such a filing, the foreign exporter's intervention must cite the importer's 514 protest number. The protest number is missing.

AQJ - ASSOC. PROT. NBR NOT ON FILE

When filing an intervention in a protest of an adverse country of origin marking decision under NAFTA, the CBP assigned protest number upon which is being intervened is required. The number entered in the associated protest number field is not a valid number in the CBP protest file.

AQK - INTERVENTION NOT APPLICABLE

When filing an intervention in a protest of an adverse country of origin marking decision under NAFTA, the CBP assigned protest number upon which is being intervened is required. The intervention may be filed at any time after protest filing until CBP decision. The number entered in the associated protest number field is a valid number in the CBP protest file, but is either not a protest of an adverse country of origin marking decision under NAFTA, is not in open status, or is already intervened upon.

AQL - ASSOC. PROT. NBR NOT APPLIC.

When filing an intervention in a protest of an adverse country of origin marking decision under NAFTA, the CBP assigned protest number upon which is being intervened is required. The intervention may be filed at any time after protest filing until CBP decision. This field does not apply when filing type 514 protests or type 520(c) or (d) petitions.

AQM - FILING PERIOD BASE DATE REQ.

When the entry is not liquidated, is cancelled, or is scheduled to liquidate, but has not liquidated, or if entry has been denied, the filer lists another date from which the filing period is calculated. Example: when the protest is filed by the surety, the surety has 90 days from the date of notice of the first demand for payment from CBP to file the protest.

AQN - FILING PERIOD BASE DATE INV.

The beginning of the filing and amendment period for a protest, petition or intervention can be determined in any of several ways. When protesting denial of a 520(c) or (d) petition it is the decision date of denial. Interventions may be filed anytime beginning with filing of protest of adverse country of origin marking decision ending with CBP decision. For protests or petitions related to entries, liquidation date constitutes the start of the period. Any other case requires a filing/amendment base date be submitted by the filer, to be verified by CBP. The value submitted in the filing period base date field is not a valid date.

AQO - FILING PERIOD BASE DATE N/A

The beginning of the filing and amendment period for a protest, petition or intervention can be determined in any of several ways. When protesting denial of a 520(c) or (d) petition it is the decision date of denial. Interventions may be filed anytime beginning with filing of adverse country of origin marking decision ending with CBP decision. For protests or petitions related to entries, liquidation date constitutes the start of the period. Any other case requires a filing/amendment period base date be submitted by the filer, to be verified by CBP. A filing/amendment period base date is not applicable in this case.

AQP - BASE DATE QUALIFIER REQUIRED

When a filing/amendment period base date is submitted, filer must declare the life cycle event associated to the date. Valid values are:

- 1 - Date of notification of CBP decision adverse to protestant
- 2 - Date of notification of adverse country of marking decision under NAFTA
- 3 - Date of exaction
- 4 - Date of notification of demand for payment against a bond in the case of a surety

AQQ - BASE DATE QUALIFIER INVALID

When a filing/amendment period base date is submitted, filer must declare the lifecycle event associated to the date. Valid values are:

- 1 - Date of notification of CBP decision adverse to protestant
- 2 - Date of notification of adverse country of marking decision under NAFTA
- 3 - Date of exaction
- 4 - Date of notification of demand for payment against a bond in the case of a surety

AQR - BASE DATE QUALIFIER N/A

When a filing/amendment period base date is submitted, filer must declare the lifecycle event associated to the date. No filing/amendment period base date has been submitted, or it has been submitted in error.

AQS - AFR QUESTIONS RESPONSE REQ'D

When application for further review is requested, responses to three questions must be concurrently submitted by filer. Valid responses are "Y" or "N".

AQU - AFR QUESTIONS RESPONSE N/A

When application for further review is requested, responses to three questions must be concurrently submitted by filer. Application for further review is not being requested.

AQV - INT. ADVICE NBR NOT ON FILE

No match has been found for the internal advice number listed, or the number has not yet been entered into ACS by the CIE in NY or ORR at HQS.

AQW - FAX INDICATOR REQUIRED

The FAX indicator cannot be blank.

AQX - LEAD PROT. NBR NOT ON FILE

The number entered in the lead protest number field is not a valid CBP assigned protest number.

AQY - LEAD NBR NOT A PROTEST

The number entered in the lead protest number field is a valid number but has been assigned to a petition or intervention, not a protest, by CBP.

AQZ - TEST CASE NUMBER NOT ON FILE

The value submitted in the CBP case summons number field is not a valid number in the CBP summons file. Only summonses served against CBP are recorded in ACS. Summonses served against other agencies, such as the Department of Commerce, cannot be used as test case numbers.

AQ0 - NO FILING PERIOD BASE DATE

When a filing/amendment basis indicator is used, a filing period base date is required. The filing period base date is blank.

AQ1 - FILING PERIOD BASE DATE REJ.

The filing period base date must be in CCYYMMDD format, or does not apply.

AQ2 - TEAM CODE REQUIRED

Each protest, petition, intervention must be addressed to a team/office at CBP port where it is being filed. The address team field is blank.

AQ3 - TEAM CODE INVALID

A match for the three digit alphanumeric code used to address the protest, petition, or intervention must be found in the CBP team code file. No match has been found.

AQ4 - FILING DDPP INVALID FOR TEAM

The district-port code where the protest, petition, or intervention is being filed is not the same as that of the team to whom the protest, petition, or intervention is addressed, or there is no match in the ACS FIS/CIF file for the team code used.

AQ5 - INTERVENTION ALREADY FILED

An intervention has already been filed against the protest. Only one intervention may be filed against any given 514 NAFTA protest.

AQ6 - HARDCOPY INDICATOR REQUIRED

This data field is mandatory for the initial filing transaction. The acceptable indicators are "N" (no hardcopy) and "S" (hardcopy sent). This data field is conditional for any subsequent QJ amendment or RJ addenda transaction. In a QJ or RJ the protest record can be updated to change no hardcopy to hardcopy sent by transmitting "S". If the PJ or previous QJ or RJ was transmitted to update to hardcopy sent, a subsequent QJ or RJ cannot be used to change the hardcopy sent back to no hardcopy. Instead the filer must contact the protest processing team at the port where the protest was filed and request CBP manually update the record.

AQ7 - SAMPLE INDICATOR REQUIRED

This data field is mandatory for the PJ initial filing transaction. The acceptable indicators are “N” (no sample) and “S” (sample sent). This data field is conditional for any subsequent QJ amendment or RJ addenda transaction. In a QJ or RJ the protest record can be updated to change no sample to sample sent by transmitting “S”. If the PJ or previous QJ or RJ was transmitted to update to sample sent, a subsequent QJ or RJ cannot be used to change the sample sent back to no sample. Instead the filer must contact the protest processing team at the port where the protest was filed and request CBP manually update the record.

AQ8 - PROTESTANT ADDRESS REQUIRED

When the protestant type is either “F” (foreign exporter/producer) or “O” (other), full name and address information is required, including country code “US” for domestic addresses used when protestant type is “O” and either “MX” or “CA” for Mexican and Canadian addresses used when protestant type is “F”.

AQ9 - PROTESTANT DATA NOT APPLIC.

Protestant name and address information is required only when the protestant type is “O” (other) and “F” (foreign). Protestant identification information is not accepted under any other circumstances.

ARA - PROTESTANT DATA REQUIRED

Protestant identification data is required for initial protest, petition or interventions filings. When protestant type is “F” (foreign exporter/producer) or “O” (other), protestant name and address data may be corrected by filing an amendment during any remaining portion of the filing/amendment period or by filing an addenda after the filing/amendment period has closed.

ARB - SURETY CERTIFICATION REQ'D

When the protestant is a surety, the following declaration must be certified to be true by submitting a “Y” in the surety certification field: “This protest is not being filed collusively to extend another authorized person's time to protest.”

ARC - SURETY CERTIFICATION INVALID

When the protestant is a surety the following declaration must be certified to be true by submitting a “Y” in the surety certification field: “This protest is not being filed collusively to extend another authorized person’s time to protest.” The value submitted in the surety certification field is not “Y”.

ARD - SURETY CERTIFICATION N/A

When the protestant is a surety, the following declaration must be certified to be true by submitting a “Y” in the surety certification field: “This protest is not being filed collusively to extend another person’s time to protest.” The protestant type is not S = Surety, therefore this field should be left blank.

ARE - ACTION REQUIRED

When protest type is “F” (foreign) or “O” (other), protestant name and address may be corrected by filing an amendment during any remaining portion of the filing/amendment period, or by filing an addenda after the filing/amendment period has closed. A “C” must be submitted in the action code field in this case. The action code field cannot be blank.

ARF - ACTION CODE INVALID

When protestant type is “F” (foreign) or “O” (other), protestant name and address may be corrected by filing an amendment during any remaining portion of the filing/amendment period, or by filing an addenda after the filing/amendment period has closed. A “C” must be submitted in the action code field in this case. The value submitted in the action code field is not “C”.

ARG - PROTESTANT TYPE REQUIRED

A valid value is required in the protestant type field. Valid values are:

- D - Drawback claimant
- F - Foreign manufacturer/producer/exporter
- I - Importer/Consignee
- M - Master of Vessel
- S - Surety
- V - Vessel Operator
- O - Other

ARH - PROTESTANT TYPE INVALID

A valid value is required in the protestant type field. Valid values are:

- D - Drawback claimant
- F - Foreign manufacturer/producer/exporter
- I - Importer/Consignee
- M - Master of Vessel
- S - Surety
- V - Vessel Operator
- O - Other

The value submitted in the protestant type field is not a valid value.

ARI - PROTESTANT ID NBR REQUIRED

A valid IRS or CBP assigned ID number present on the CBP importer database is required for all protestant types except "F" (foreign) and "O" (other). These require numbers that will be accepted without validation.

ARJ - PROTESTANT NBR NOT ON FILE

A valid IRS or CBP assigned ID number present on the CBP importer database is required for all protestant types except "F" (foreign) and "O" (other). The value submitted in the protestant number field does not exist on the CBP importer file.

ARK - PROTESTANT NAME REQUIRED

Protestant name is a required field when protestant type is "F" (foreign) and "O" (other).

ARL - PROTESTANT NAME NOT APPLIC.

Protestant name is a required field when protestant type is "F" (foreign) and "O" (other).
Protestant name is not accepted for any other protestant type.

ARM - ACTION CODE NOT APPLICABLE

Action code not applicable.

ARN - PROTESTANT DATA INCOMPLETE

Protestant data incomplete.

ARO - PROTESTANT DATA REJECTED

Protestant data rejected.

ARP - NOTIFY SUB ALREADY ON FILE

The ID number of the substitute is already on the protest record making it a duplicate, or the filer needs to check that the appropriate action code is being used: "A" = Add, "R" = Replace.

ARQ - NOTIFY SUB NOT ON FILE

A match for the three digit alphanumeric filer code used to identify the substitute is not found on the CBP ABI filer code database. The substitute is a party other than the protest filer and other than the protestant, who is named, by the protestant to receive notice of denial if the protest or petition is denied by CBP.

ARR - REFUND PARTY ALREADY ON FILE

The ID number of the refund care/of party is already on the protest record making it a duplicate or the filer needs to check that the appropriate action code is being used: "A" = Add, "R" = Replace.

ARS - REFUND PARTY DATA NOT APPL.

In the case of a type 4 action filed under CFR 181.115, the foreign exporter/producer is not entitled to any refunds. Naming a refund care/of party does not apply.

ART - REFUND PARTY TYPE REQUIRED

When listing a refund care/of party, both an ISR and party type code are required. The party type code is missing. Use one of the following:

- B - Broker
- D - Drawback claimant
- I - Importer/Consignee
- M - Ship's Master
- S - Surety
- T - Attorney/Agent
- V - Vessel Owner/Operator

ARU - REFUND PARTY TYPE INVALID

When listing a refund care/of party, both an ISR number and party type code are required. Only the following letters are valid party type codes:

- B - Broker
- D - Drawback claimant
- I - Importer/Consignee
- M - Master of Vessel
- T - Agent/Attorney
- V - Vessel Operator

The letter used in the refund care/of party type field is not a valid value.

ARV - REFUND PARTY ID NBR REQUIRED

A valid IRS or CBP assigned ID number present on the CBP importer database is required for all refunds care/of party types.

ARW - REFUND PARTY NBR NOT ON FILE

The number listed does not exist on the USCS importer file. The most common reason for this error is that the IRS, SSN or USCS number has been transmitted in an improper format. Dashes must be included in the format. For IRS numbers, NN-NNNNNNNNNN is the required format. For SSN's, NNN-NN-NNNN is the required format. For USCS numbers, YYDDPP-NNNN is the required format. IRS numbers must be 12 characters long; numbers are listed at the 12-character level in the USCS importer file. When a 2 character suffix is not used at the end of the IRS number, 2 zeros should be used (NN-NNNNNNNN00).

ARX - NOTIFY DP/FLR/OFFICE REQ'D

A blank or incorrect substitute record was transmitted. The DDPP/filer code office code of the substitute must be sent and a match found in the ABE file.

ARY - NOTIFY DP/FLR/OFFICE INVALID

The DDPP/Filer Code/Office Code combination is invalid, or has been transmitted in the wrong positions of the P50 record.

ARZ - CANNOT CHANGE PROTESTANT ID

The identification cannot be changed after filing as part of an amendment or addenda transaction. It must match the original number used to file the protest or petition.

AR0 - ACTION NOT APPLICABLE W/TYPE

The value submitted is not applicable with protestant type on file.

AR1 - CANNOT CHANGES PROTESTANT TYPE

The protestant party type cannot be changed after initial filing.

AR2 - NOTIFY SUB DATA NOT APPL.

Notify substitute data not applicable.

AR3 - NOTIFY SUB TYPE NOT APPLIC.

Notify substitute type not applicable.

AR4 - REFUND PARTY DATA INCOMPLETE

Either the ID number or the party type indicator is missing, or a blank record was received.

AR5 - REFUND PARTY DATA REJECTED

If a refund care/of party was not named at the time of initial filing, an amendment QJ or addenda RJ transaction can be submitted to add the refund care/of party to the protest record. When a refund care/of party is already listed on the protest record, the filer can change it using the amend or addenda transaction. It is mandatory that the filer indicate the type of action he intends to perform, "A" - add refund care/of whereas "R" - replace. This is a 'high level' error message relating to specific errors in the P40 record that should be pointed to by the presence of one or more error messages. A common example would be "ARW: REFUND PARTY NBR NOT ON FILE," which could result from the use of improper format of the IRS, SOC, or USCS number.

AR6 - NOTIFY SUB DATA INCOMPLETE

Notify substitute data incomplete.

AR7 - NOTIFY SUB. DATA REJECTED

Notify sub. data rejected.

AR8 - PROTESTANT ID FORMAT INVALID

Valid formats for the protestant identification number are:

- | | | |
|---------------|---|---|
| NNN | - | CBP assigned surety code |
| NN-NNNNNNNXX | - | Employer Identification Number (EIN) or Internal Revenue Service Number (IRS) |
| NNN-NN-NNNN | - | Social Security Number |
| YYDDPP-NNNNN | - | CBP assigned number (new format only) |
| AAANNNNNN | - | Canadian employer number |
| AAANNNNNN | - | Canadian importer/exporter number |
| AAAAYYMMDDXXX | - | Mexican federal tax registry number (individual) |
| AAAYYMMDDXXX | - | Mexican federal tax registry number (corporate) |

AR9 - PROTESTANT ADDRESS INVALID

Protestant type “F” (foreign) requires foreign address; protestant type “O” (other) requires domestic address. Domestic and Canadian addresses and zip codes are validated against “Code-1” any invalid addresses or zip codes are rejected. U.S. addresses require abbreviated postal code for state and the ISO code “US”. Canadian addresses require Canadian province code and the ISO code “CA”. The Canadian postal code is also required. Mexican addresses require the code “FN” (foreign) in place of a state code and the ISO code “MX”. Postal codes are not used for Mexican addresses.

ASA - ENTRY LIST NOT APPLICABLE

This message may be returned if an amendment or an addenda transaction is transmitted listing entries with no action code.

ASB - SEQUENCE NUMBER REQUIRED

The filer may list up to 9999 entries and transmit up to 9999 lines of narrative. Each entry and each line of narrative must be preceded by 4 characters representing the order in which it appears. The first protested entry would be 0001.

ASC - SEQUENCE NUMBER INVALID

Sequence number invalid. Only numerals are valid for sequence. The first line would be 001, the tenth line would be 0010. Either the lines are not sequentially numbered or the format used is incorrect. Example: 1000 would be incorrect for line 1.

ASD - SEQUENCE NUMBER ERROR

Sequence number error. Entries and line of narrative must be listed in ascending order from 0001 to 9999. This message may be returned if an entry or line of narrative was assigned a number out of sequence.

ASE - ENTRY NUMBER REQUIRED

When a P60 is transmitted the entry number data field cannot be blank.

ASF - DUPLICATE ENTRY NUMBER

An entry can be cited on a protest, petition, or intervention only one time. When an entry is cited more than one time, this message is displayed.

ASG - ENTRY NOT ON FILE

A match for the entry cited must be found on the CBP entry database, otherwise it will be rejected.

ASH - ENTRY WITHDRAWAL REQ. N/A

Entry withdrawal required not applicable.

ASI - PROTESTED-ISSUE REQUIRED

A one-line summary of the protested issue is required at the time of filing of the protest, petition, or intervention. The protested issue is listed as a required element in CFR 174.13 and 181.115 describing the contents of protest and interventions. The P70 record is missing or blank.

ASJ - PROTESTED ISSUE NOT APPLIC.

The P70 cannot be repeated or transmitted as part of an amendment, addenda or service request.

ASK - MERCHANDISE DESC. REQUIRED

A specific commercial description of the merchandise affected by the decision as to which protest, petition, or intervention is made must be included at the time of initial filing. The P71 record is missing or blank.

ASL - MERCHANDISE DESC. NOT APPL.

The P71 merchandise description cannot be repeated or transmitted as part of an amendment, addenda, or service request.

ASM - ARGUMENT/JUSTIFICATION REQ'D

A statement of the nature of, and justification for the protest, petition, or intervention must be included at the time of initial filing. The P90 record is missing or blank.

ASN - ARGUMENT/JUSTIFICATION N/A

Additional narrative is not accepted when a service request is filed requesting review of denial of an application for further review. That review will be based solely on the information before the CBP at the time the application for further review was denied.

ASO - ENTRY LIST INCOMPLETE

Entry list incomplete.

ASP - ENTRY LIST REJECTED

This is a summary type message indicating that one or more errors in the use of one or more of the P60 records has occurred.

ASQ - PROTESTED ISSUE INCOMPLETE

A one-line statement of the protested issue is required. The P70 record cannot be blank.

ASR - PROTESTED ISSUE REJECTED

Protested issue rejected.

AST - MERCHANDISE DESC. INCOMPLETE

A one-line statement of the merchandise description is required. The P71 record cannot be blank.

ASU - MERCHANDISE DESC. REJECTED

Merchandise description rejected.

ASV - JUSTIFICAT./ARGS. INCOMPLETE

One or more lines of narrative statement of the nature and justification for the objection to CBP decision is required. The P90 record cannot be blank.

ASW - JUSTIFICAT./ARGS. REJECTED

Justification/arguments rejected. This message will usually be accompanied by another message that indicates why the justification is not appropriate. For example, if additional arguments are submitted via application SJ (service request), no additional arguments will be permitted if the protest in question has been referred for further review. Error message ASN will most likely accompany this error message in such as case.

ASX - PROTEST FILING INCOMPLETE

This is a summary message indicating that one or more required records or required data elements for the filing, amendment, addenda, or service request of the protest, petition, or intervention is missing.

ASY - PROTEST FILING REJECTED

One or more errors has occurred. The transmission is rejected. No update to CBP protest system was made.

ASZ - ENTR NOT ON DEN 520/ASSOC 514

The entry cited does not match any entry listed on the CBP database records for the denied 520 or associated 514 NAFTA protest number given.

AS0 - NO DOC RECORD FOR ENTRY

There is no document filing location record in CBP document filing and retrieval system database for the entry cited.

AS1 - ENTRY NOT LIQ/CANCELLED

The entry has not liquidated or was cancelled.

AS2 - PROTEST FILED BEFORE LIQ

The entry is scheduled to liquidate, but has not yet liquidated. When a comparison of the entry liq date is made to the protest received date, this warning message is invoked.

AS3 - 514 PROT MAY BE UNTIMELY

A 514 protest may be filed within 90-days of the date of notice of liquidation of an entry, or within 90-days of another date cited as the filing/amendment period base date. ACS compares the system date to the date of liquidation of the entry (or oldest entry when multiple entries are cited), or the filing/amendment period base date, to determine if the date of filing has occurred after the 90th day.

AS4 - 520 CLAIM MAYBE UNTIMELY

A 520 petition may be filed within one year of the date of notice of liquidation of an entry. ACS compares the system to the date of liquidation of the entry (or oldest entry when multiple entries are cited), to determine if the date of filing has occurred after the 365th day. ACS does not calculate 365 days from date of importation for 520(d) petitions.

AS5 - ENTRY PORT NOT IN DIST

The first two digits of the district port code of the entry must match the first two digits of the district port code of the protest filing location. With few exceptions, the protest or petition must be filed within the boundary of the old districts. Entries for Chicago (3901) cannot be protested in Los Angeles (2704), but Denver entries can be protested in San Francisco.

AS6 - RESERVED

Code reserved for future use.

AS7 - RESERVED

Code reserved for future use.

AS8 - RESERVED

Code reserved for future use.

AS9 - RESERVED

Code reserved for future use.