

**03-2619 ABI-FDA/BTA CHANGES FOR 'HI', 'HN', AND 'EI' TRANSACTIONS**

ADMINISTRATIVE MESSAGE 03-2619 11/04/2003  
TITLE: ABI-FDA/BTA CHANGES FOR 'HI', 'HN', AND 'EI' TRANSACTIONS  
THE CURRENT 'HI' (CARGO RELEASE), 'HN' (BORDER CARGO RELEASE), AND 'EI'  
(ENTRY SUMMARY) ABI TRANSACTIONS HAVE BEEN MODIFIED TO ACCEPT THE NEW  
FDA/BTA PRIOR NOTICE DATA FOR FOODS. PLEASE NOTE THAT FOR THE 'EI',  
THIS IS APPLICABLE ONLY TO ENTRY SUMMARIES CERTIFIED FOR CARGO RELEASE.

INPUT DATA REQUIREMENTS FOR FDA/BTA:

IN ORDER TO MINIMIZE THE IMPACT ON ABI FILERS, THE CURRENT OGA RECORD  
FORMATS WILL BE UTILIZED. FOR FDA-SPECIFIC DATA, THE CURRENT 'OI' AND  
'FD01' THROUGH 'FD05' RECORDS WILL BE USED. FDA/BTA PRIOR NOTICE DATA  
WILL BE SUBMITTED AS REQUIRED UTILIZING NEW AFFIRMATIONS OF COMPLIANCE  
AND ASSOCIATED AFFIRMATION OF COMPLIANCE QUALIFIERS IN THESE RECORDS.  
THIS MEANS THAT THE PRIOR NOTICE DATA CAN BE SUBMITTED WITH THE CURRENT  
FDA-SPECIFIC DATA IN THE SAME 'OI' AND 'FD01' THROUGH 'FD05' RECORDS.  
SINCE MUCH OF THE CURRENT FDA-SPECIFIC DATA IS ALSO USED FOR PRIOR  
NOTICE, ONLY THE ADDITIONAL DATA SPECIFIC TO PRIOR NOTICE WILL NEED TO  
BE ADDED VIA THE AFFIRMATIONS OF COMPLIANCE.

YOUR ATTENTION IS DIRECTED TO ADMINISTRATIVE MESSAGE 03-2540, DATED  
OCTOBER 24, 2003, WHICH PROVIDED INFORMATION RELATIVE TO THE NEW FD3  
AND FD4 INDICATORS IN THE HARMONIZED TARIFF FILE IN ACS. THE FD3  
INDICATOR APPLIES TO ITEMS WHICH MAY REQUIRE CURRENT FDA REPORTING AS  
WELL AS NEW FDA/BTA PRIOR NOTICE DATA.

IF AN ITEM OF IMPORTED MERCHANDISE CLASSIFIED WITH AN FD3 TARIFF  
MARKER IS DISCLAIMED (MEANING THAT FDA REPORTING IS NOT REQUIRED), NO  
PRIOR NOTICE DATA FOR FDA/BTA IS REQUIRED AND THE 'OA' RECORD INDICATING  
AN 'FD0' DISCLAIM IN THE 'OA' RECORD WILL BE ACCEPTED AS APPLYING TO  
BOTH THE CURRENT FDA COMPLIANCE DATA AS WELL AS THE PRIOR NOTICE DATA.  
IF FDA COMPLIANCE DATA IS REQUIRED, THE CURRENT PROCEDURE USING THE  
'OI' AND 'FD01' THROUGH 'FD05' RECORDS WILL BE USED AND PRIOR NOTICE  
DATA WILL BE PROVIDED USING ONE OR MORE (AS NEEDED) OF THE NEW  
AFFIRMATION OF COMPLIANCE INDICATORS AND QUALIFIERS DESCRIBED BELOW.

FOR ITEMS WITH AN FD4 INDICATOR IN THE HARMONIZED TARIFF RECORD, BOTH  
FDA COMPLIANCE AND FDA/BTA PRIOR NOTICE DATA WILL BE REQUIRED UTILIZING  
THE CURRENT 'OI' AND 'FD01' THROUGH 'FD05' REPORTING RECORDS. THE NEW  
PRIOR NOTICE DATA WILL LIKEWISE BE REPORTED USING ONE OR MORE (AS  
NEEDED) OF THE NEW AFFIRMATION OF COMPLIANCE INDICATORS AND QUALIFIERS  
DESCRIBED BELOW.

THE NEW AFFIRMATIONS OF COMPLIANCE AND ASSOCIATED QUALIFIERS APPLICABLE  
TO FDA/BTA PRIOR NOTICE DATA ARE AS FOLLOWS. PLEASE NOTE THAT ALL  
QUALIFIERS IN THE CURRENT RECORDS ARE A MAXIMUM OF 25X CHARACTERS. THOSE  
QUALIFIERS REQUIRING SPECIFIC INPUT DATA (E.G. - VALID PORT CODE)  
HAVE BEEN IDENTIFIED. LIKEWISE, ALL MANDATORY/CONDITIONAL CODES AND  
QUALIFIERS HAVE BEEN IDENTIFIED:

AFFIRMATION CODE	QUALIFIER
PNC	PRIOR NOTICE CONFIRMATION. DATA ALREADY SUBMITTED TO FDA. THIS QUALIFIER WILL CONSIST OF THE FDA/BTA CONFIRMATION NUMBER PROVIDED BY FDA. IF USED, THIS CODE AND QUALIFIER MUST BE LISTED IN THE 'FD01' RECORD AS THE

FIRST AFFIRMATION.

SLN SUBMITTER LAST NAME. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. MAY BE DIFFERENT FROM CONTACT NAME IN 'FD04' RECORD. IF CODE 'PNC' NOT USED, THIS CODE MUST BE LISTED IN THE 'FD01' RECORD AS THE FIRST AFFIRMATION.

SFN SUBMITTER FIRST NAME. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. MAY BE DIFFERENT FROM CONTACT NAME IN 'FD04' RECORD.

SPN SUBMITTER PHONE NUMBER. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. MAY BE DIFFERENT FROM CONTACT PHONE NUMBER IN 'FD04' REC.

SFX SUBMITTER FAX NUMBER. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. IF NONE, ZERO FILL.

SEM SUBMITTER EMAIL ADDRESS. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. IF NONE, ENTER "NONE" (WITHOUT QUOTES).

SCN SUBMITTER FIRM NAME. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.

SA1 LINE 1 OF SUBMITTER ADDRESS. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.

SA2 LINE 2 OF SUBMITTER ADDRESS. OPTIONAL. USE ONLY AS NEEDED.

SAC SUBMITTER ADDRESS CITY. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.

SAS SUBMITTER STATE CODE OR CANADIAN PROVINCE CODE. IF A COUNTRY OTHER THAN U.S. OR CANADA, USE 'FN'. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.

SCZ SUBMITTER ZIP CODE OR MAIL CODE. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.

SCC SUBMITTER COUNTRY CODE (ISO CODE). MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.

SFT SUBMITTER FIRM TYPE. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. MUST BE 'M' IF MANUFACTURER/PRODUCER, 'S' IF SHIPPER, 'C' IF CARRIER, 'I' IF IMPORTER, 'U' IF CONSIGNEE, 'F' IF FILER OR AGENT.

OFT OWNER FIRM TYPE. MANDATORY IF CODE 'PNC' HAS NOT BEE USED. MUST BE 'M' IF MANUFACTURER, 'C' IF CARRIER, 'I' IF IMPORTER, 'U' IF CONSIGNEE.

APA ANTICIPATED PORT OF ARRIVAL. USE VALID DDPP CODE. MANDATORY IF CODE 'PNC' HAS

NOT BEEN USED.

ADA	ANTICIPATED DATE OF ARRIVAL. IN MMDDYYYY FORMAT. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.
ATA	ANTICIPATED TIME OF ARRIVAL. IN HHMM FORMAT USING 24 HOUR CLOCK. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED.
APC	ANTICIPATED POINT OF CROSSING. TO BE USED FOR PORTS WITH MULTIPLE CROSSING POINTS, SUCH AS BUFFALO AND DETROIT. TEXTUAL INPUT OF NAME (E.G. - PEACE BRIDGE)
CSH	COUNTRY OF SHIPPING. MANDATORY IF CODE 'PNC' HAS NOT BEEN USED. USE ISO COUNTRY CODE.
VFT	VOYAGE, TRIP, FLIGHT NUMBER. CONDITIONAL AS APPLICABLE BY MODE OF TRANSPORT.
CAN	COMMON CARRIER NAME. CONDITIONAL AS APPLICABLE, IF IMPORTED VIA COMMON CARRIER.
CCN	COMMON CARRIER COUNTRY. USE ISO COUNTRY CODE. CONDITIONAL AS APPLICABLE.
CNO	CONTAINER NUMBER. FOR CONTAINERIZED CARGO. CONDITIONAL AS APPLICABLE.
RNO	RAIL CAR NUMBER. RAIL SHIPMENTS ONLY. CONDITIONAL AS APPLICABLE, IF SHIPPED BY RAIL.
PFR	MANUFACTURER/PROCESSOR FDA REGISTRATION NUMBER. THIS NUMBER CONSISTS OF 11 NUMERIC CHARACTERS. CONDITIONAL AS APPLICABLE IF REGISTERED.
PFT	PRODUCER FIRM TYPE. MUST BE 'G' IF GROWER/HARVESTER; 'C' IF CONSOLIDATOR; 'M' IF MANUFACTURER. CONDITIONAL AS APPLICABLE.
SFR	SHIPPER FDA REGISTRATION NUMBER. THIS NUMBER CONSISTS OF 11 NUMERIC CHARACTERS. CONDITIONAL AS APPLICABLE IF REGISTERED.
FME	BTA PRIOR NOTICE MANUFACTURER REGISTRATION EXEMPTION. 'Y' = EXEMPT FROM REGISTRATION, 'N' = NOT EXEMPT FROM REGISTRATION. CONDITIONAL AS APPLICABLE IF PRODUCER IS A MANUFACTURER.
PK1 THRU PK0	(CURRENT AFFIRMATIONS OF COMPLIANCE FOR PACKAGE/CAN CODES). 'PK1' SHOULD BE USED FOR THE FIRST CODE, 'PK2' FOR THE SECOND, ETC. 'PK0' REPRESENTS THE 10TH CODE. THESE CODES SHOULD BE USED AS NEEDED.

PVL PRIVATELY OWNED VEHICLE LICENSE NUMBER. SHOULD BE USE FOR ANY ENTRY COMING IN VIA PRIVATE VEHICLE. CONDITIONAL AS APPLICABLE.

PVS PRIVATELY OWNED VEHICLE STATE CODE. SHOULD BE USE FOR ANY ENTRY COMING IN VIA PRIVATE VEHICLE. VALID U.S. STATE CODE. CODE APPLIES TO U.S. REGISTERED VEHICLES. CONDITIONAL AS APPLICABLE.

PVP PRIVATELY OWNED VEHICLE FOREIGN STATE/ PROVINCE. SHOULD BE USED FOR ANY ENTRY COMING IN VIA PRIVATE VEHICLE. CODE APPLIES TO NON-U.S. REGISTERED VEHICLES. ENTER VALID FOREIGN STATE/PROVINCE CODE OR NAME. CONDITIONAL AS APPLICABLE.

PVC PRIVATELY OWNED VEHICLE COUNTRY OF REGISTRATION. VALID ISO COUNTRY CODE. CONDITIONAL AS APPLICABLE.

BOL MASTER BILL OF LADING - SURFACE. THIS IS OPTIONAL BASED ON MODE OF TRANSPORT. FIRST FOUR POSITIONS MUST BE VALID SCAC CODE.

AWB AIR WAYBILL. OPTIONAL FOR AIR MODE OF TRANSPORT.

AWH HOUSE AIR WAYBILL. OPTIONAL FOR AIR MODE OF TRANSPORT.

IN ADDITION TO THE ABOVE AFFIRMATIONS OF COMPLIANCE, THE FOLLOWING DATA ELEMENTS IN THE FD01 THROUGH FD05 RECORDS WILL BE MANDATORY FOR ITEMS SUBJECT TO PRIOR NOTICE:

FD02	RECORD	UNIT 1 - QUANTITY	(POSITIONS 5-14)
FD02	RECORD	UNIT 1 - UNIT OF MEASURE	(POSITIONS 15-18)
FD04	RECORD	CONTACT NAME	(POSITIONS 19-28)
FD04	RECORD	CONTACT TELEPHONE NBR	(POSITIONS 29-38)

IF PRIOR NOTICE DATA IS REQUIRED FOR AN FDA LINE ITEM BUT IS NOT SUBMITTED, FILERS WILL RECEIVE A WARNING MESSAGE (ERROR CODE 'FDP') FOR THE FDA LINE STATING "REQ'D PRIOR NOTICE NOT SUBMITTED". GUIDELINES WILL BE PROVIDED ON COMPLIANCE.

PRIOR NOTICE DATA PRESENTED VIA AFFIRMATIONS OF COMPLIANCE WILL BE SUBJECTED TO BASIC EDITS SUCH AS VALID DATE FORMATS, VALID COUNTRY CODES, VALID DDPP, ETC. ANY DATA NOT PASSING THESE EDITS WILL BE REJECTED WITH THE APPROPRIATE EXISTING ERROR CODE.

ANY REQUIRED PRIOR NOTICE DATA WILL BE TRANSMITTED BY CBP TO FDA ALONG WITH THE CURRENT FDA COMPLIANCE DATA, NO SOONER THAN 5 DAYS IN ADVANCE OF THE ESTIMATED ARRIVAL UTILIZING THE CBP CARGO SELECTIVITY TIME FRAMES AND THE EXISTING CBP/FDA INTERFACE. THEREFORE, FILERS ARE ENCOURAGED TO FILE ELECTRONICALLY AS SOON AS POSSIBLE TO AVOID ANY POTENTIAL DELAYS.

OUTPUT DATA FOR FDA/BTA:

FILERS WILL CONTINUE TO RECEIVE THE SAME FDA ACTION MESSAGES THAT THEY CURRENTLY RECEIVE FOR AN ENTRY VIA THE 'RR' ABI APPLICATION (I.E. - "MAY PROCEED", "FDA REVIEW", ETC.).

ADDITIONALLY, THERE WILL BE A NEW ABI APPLICATION TO PROVIDE PRIOR NOTICE-SPECIFIC LINE ITEM PROCESSING RESULTS. THIS NEW APPLICATION WILL BE 'BN'. THE 'BN' TRANSACTION WILL ALLOW CBP TO TRANSMIT THE RESULTS OF FDA PRIOR NOTICE PROCESSING TO FILERS AT THE FDA LINE-ITEM LEVEL. THE NEW APPLICATION CONSISTS OF TWO OUTPUT RECORD TYPES, 'BN01' AND 'BN02'. THE 'BN01' RECORD WILL BE RETURNED ONCE FOR EACH ENTRY, AND THERE WILL BE AS MANY 'BN02' RECORDS AS THERE ARE PRIOR NOTICE FDA LINE ITEMS FOR THE ENTRY. 'BN' IS OUTPUT ONLY.

THE NEW 'BN' TRANSACTION HAS BEEN CONSTRUCTED FOR USE IN RETURNING PRIOR NOTICE STATUSES FOR SEVERAL TYPES OF TRANSACTIONS, INCLUDING ENTRY. FOR THE PURPOSE OF THIS MESSAGE, WE ARE LIMITING THE 'BN' DISCUSSION TO ENTRY DATA. FOLLOWING ARE THE LAYOUT REQUIREMENTS FOR THE 'BN' APPLICATION:

RECORD IDENTIFIER BN01 (OUTPUT) - ONE RECORD PER FDA PRIOR NOTICE ENTRY.

DATA ELEMENT	LENGTH/CLASS	POSITION	STATUS	DESCRIPTION
CONTROL ID	4AN	1-4	M	MUST ALWAYS = 'BN01'
HEADER ID	1AN	5	M	MUST ALWAYS = 'A' - AIR WAYBILL(+ HOUSE AIR WAYBILL IF USED) 'E' - ENTRY NUMBER 'I' - INBOND NUMBER 'B' - BILL OF LADING NBR 'F' - FTZ ADMISSION NBR
HEADER KEY	25AN	6-30	M	MUST BE THE VALUE FOR THE HEADER IDENTIFIER, AS APPROPRIATE.(E.G.- THE ACTUAL ENTRY NUMBER, THE IN-BOND NUMBER, ETC.) USE THE ACTUAL NUMBER WITH NO DASHES, SLASHES, OR OTHER SPECIAL CHARACTERS.
FILLER	50X	31-80	M	SPACE FILL

RECORD IDENTIFIER BN02 (OUTPUT) - REPEATABLE AS NEEDED. ONE BN02 RECORD FOR EACH FDA PRIOR NOTICE LINE-ITEM ON THE ENTRY.

DATA ELEMENT	LENGTH/CLASS	POSITION	STATUS	DESCRIPTION
CONTROL ID	4AN	1-4	M	MUST ALWAYS = 'BN02'
CBP ENTRY LINE	3AN	5-7	C	THE CBP LINE NUMBER WHEN THE HEADER IDENTIFIER IN THE BN01 RECORD = 'E'. OTHERWISE, SPACE FILL.
FDA LINE	4AN	8-11	M	THE FDA LINE NUMBER APPLICABLE TO REQUIREMENT.

PRIOR NOTICE CONFIRMATION NBR	12AN	12-23	C	THE CONFIRMATION NUMBER RECEIVED FROM FDA WHEN PRIOR NOTICE RECEIVED MESSAGE IS GENERATED BY FDA. (SEE NOTE 1)
PRIOR NOTICE LINE MESSAGE	26AN	24-49	M	PRIOR NOTICE LINE LEVEL MESSAGE FROM FDA, SUCH AS "PRIOR NOTICE RECEIVED" OR "PRIOR NOTICE SATISFIED". FREE FORM.
PRIOR NOTICE RECEIPT DATE	8AN	50-57	C	RECEIVED FROM FDA WHEN PRIOR NOTICE RECEIVED MESSAGE IS GENERATED. THIS FIELD WILL BE BLANK WHEN PRIOR NOTICE SATISFIED MESSAGE IS RECEIVED. MMDDYYYY FORMAT. (SEE NOTE 2)
PRIOR NOTICE RECEIPT TIME	6AN	58-63	C	RECEIVED FROM FDA WHEN PRIOR NOTICE RECEIVED MESSAGE IS GENERATED. THIS FIELD WILL BE BLANK WHEN PRIOR NOTICE SATISFIED MESSAGE IS RECEIVED. HHMMSS FORMAT. (SEE NOTE 2)
PRIOR NOTICE LINE REJECT CODES	16AN	64-79	C	CODES IDENTIFYING REJECT REASONS WHEN PRIOR NOTICE IS REJECTED. (SEE NOTE 3)
FILLER	1X	80	M	SPACE FILL

NOTES:

1. THE PRIOR NOTICE CONFIRMATION NUMBER IS THE VALIDATION AND CERTIFICATION FROM FDA THAT THE PRIOR NOTICE DATA WAS RECEIVED FOR THE MERCHANDISE. THIS SHOULD BE RETAINED BY THE FILER. THERE WILL BE A SEPARATE CONFIRMATION NUMBER FOR EACH FDA LINE ITEM.
2. THE PRIOR NOTICE RECEIPT DATE AND TIME ARE RETURNED WHEN FDA GENERATES A PRIOR NOTICE RECEIVED MESSAGE TO THE FILER FOR THE MERCHANDISE. THIS INFORMATION SHOULD BE RETAINED WITH THE CONFIRMATION NUMBER.
3. FOLLOWING ARE THE VALID LINE REJECT REASON CODES. THESE CODES ARE POSITIONAL ALLOWING EACH LINE TO HAVE MULTIPLE CODES UP TO 16. WHILE SOME CODES MAY BE REPEATED, THEIR MEANING IS SPECIFIC RELATIVE TO THE FIELD IN THE RECORD IN WHICH THEY MAY APPEAR. THUS, FOR EXAMPLE, AN 'S' IN POSITION 5 INDICATES "INVALID MID FOR SHIPPER" WHILE AN 'S' IN POSITION 10 INDICATES "INVALID COUNTRY OF SHIPPING".

THESE CODES REPRESENT FDA REJECT REASONS. QUESTIONS REGARDING THESE CODES SHOULD BE DIRECTED TO FDA.

POSITION	VALUE	REASON
1	'F'	FOREIGN CONSIGNEE
2	'R'	INVALID REGISTRATION
3	'Q'	INVALID QUANTITY
4	'M'	INVALID MID FOR MANUFACTURER
5	'S'	INVALID MID FOR SHIPPER
6	'I'	INVALID IMPORTER
7	'C'	INVALID CONSIGNEE
8	'Z'	INVALID STATE/ZIP FOR U.S. MANUFACTURER
9	'O'	INVALID COUNTRY OF ORIGIN
10	'S'	INVALID COUNTRY OF SHIPPING
11	'N'	OTHER REJECT REASON, CALL FDA
12	FUTURE USE	
13	FUTURE USE	
14	FUTURE USE	
15	FUTURE USE	
16	FUTURE USE	

THE COMPLETE CATAIR RECORD LAYOUTS FOR THE 'EI', 'HI', AND HN' APPLICATIONS WILL BE AVAILABLE ON THE CBP WEB SITE AS SOON AS POSSIBLE. WE WILL ADVISE YOU WHEN THEY ARE AVAILABLE.

QUESTIONS MAY BE DIRECTED TO YOUR CLIENT REPRESENTATIVE.

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