

03-2625 ABI-FDA/BTA CHANGES FOR 'WP' APPLICATION

ADMINISTRATIVE MESSAGE 03-2625 11/05/2003
TITLE: ABI-FDA/BTA CHANGES FOR 'WP' APPLICATION

SEE ALSO ADMIN MESSAGES 03-2619, DATED NOVEMBER 4, 2003 AND 03-2540, DATED OCTOBER 24, 2003. MESSAGE 03-2540 PROVIDED INFORMATION ON THE HARMONIZED TARIFF CHANGES FOR FDA/BTA. MESSAGE 03-2619 PROVIDED THE FDA/BTA MODIFICATIONS TO THE 'EI', 'HI', AND 'HN' ABI ENTRY APPLICATION RECORDS.

THE CURRENT 'WP' IN-BOND UPDATE APPLICATION HAS BEEN MODIFIED TO ACCEPT FDA/BTA DATA. THIS MODIFICATION WILL PERMIT FDA/BTA PRIOR NOTICE DATA TO BE PROVIDED FOR IN-BONDS, FTZ ADMISSIONS, AND OTHER TRANSACTIONS NOT INVOLVING AN ENTRY. ADDITIONALLY, THE REVISED 'WP' MAY BE USED TO SEND FDA PRIOR NOTICE DATA FOR AN ENTRY INDEPENDENTLY OF THE 'EI', 'HI', AND 'HN' ENTRY TRANSACTIONS.

THIS CAPABILITY WILL ENABLE CURRENT 'QP' AND CAFES USERS AND OTHER NON LICENSED ENTITIES TO SUBMIT DATA INDEPENDENTLY RATHER THAN AS PART OF ENTRY PROCESSING. THE 'WP' APPLICATION CAN ONLY BE USED FOR FILING BTA PRIOR NOTICE DATA. THE CURRENT FDA 801(A) DATA MUST STILL BE SUBMITTED VIA THE VARIOUS ENTRY PROCESSES. PLEASE NOTE THAT NOTHING PRECLUDES A LICENSED BROKER, OR IMPORTER FILING VIA ABI ON THEIR OWN ACCOUNT, FROM USING THE REVISED 'WP' APPLICATION TO SEND FDA/BTA PRIOR NOTICE DATA FOR AN ENTRY IN ADVANCE OF ACTUALLY FILING THE ENTRY VIA ABI.

IN ORDER TO MINIMIZE THE IMPACT ON FILERS, THE CURRENT OGA RECORD FORMATS WILL BE UTILIZED. FOR FDA-SPECIFIC DATA, THE CURRENT 'OI' AND 'FD01' THROUGH 'FD05' RECORDS WILL BE USED. FDA/BTA PRIOR NOTICE DATA WILL BE SUBMITTED AS REQUIRED UTILIZING NEW AFFIRMATIONS OF COMPLIANCE AND ASSOCIATED AFFIRMATION OF COMPLIANCE QUALIFIERS IN THESE RECORDS. THE CURRENT 'OI' AND 'FD01 THRU 'FD05' RECORD LAYOUTS APPEAR IN THE CATAIR IN THE "OTHER GOVERNMENT AGENCIES" SECTION.

FOR 'WP' FOR PRIOR NOTICE, THE CURRENT 'W10' INPUT RECORD WILL BE USED. TWO NEW ACTION CODES HAVE BEEN IDENTIFIED FOR THE EXCLUSIVE PURPOSE OF SEND BTA PRIOR NOTICE DATA:

ACTION CODE '8' WILL BE USED TO INDICATE THAT THE 'WP' IS BEING USED TO TRANSMIT PRIOR NOTICE DATA FOR AN IN-BOND TRANSACTION.

ACTION CODE '9' WILL BE USED TO INDICATE THAT THE 'WP' IS BEING USED TO TRANSMIT PRIOR NOTICE DATA FOR OTHER THAN AN IN-BOND TRANSACTION (I.E. - AN FTZ ADMISSION, ENTRY, ETC.)

THE 'W10' RECORD WILL BE SENT WITH A CODE OF '8' OR '9', AS APPROPRIATE, IN POSITION 3. THE BALANCE OF THE 'W10' RECORD WILL BE SPACE-FILLED. IMMEDIATELY FOLLOWING THE 'W10' WILL BE THE FIRST 'OI' RECORD FOLLOWED BY THE 'FD01' THRU 'FD05' RECORDS FOR THE FIRST FDA BTA ITEM. THE LAST 'FD05' FOR THAT ITEM SHOULD BE FOLLOWED BY THE NEXT 'OI' AND 'FD01' THRU 'FD05' FOR THE NEXT ITEM, ETC.

A PARTIAL LIST OF THE AFFIRMATIONS OF COMPLIANCE ASSOCIATED TO BTA CAN BE FOUND IN ADMIN 03-2619. THOSE AFFIRMATIONS APPLY TO BOTH BTA DATA SUBMITTED FOR ENTRIES AND FOR BTA DATA SUBMITTED FOR IN-BONDS AND OTHER TRANSACTIONS. MANDATORY, CONDITIONAL AND OPTIONAL DATA REQUIREMENTS FOR THOSE AFFIRMATIONS IN MESSAGE 03-2619 ALSO APPLY TO PRIOR NOTICE DATA SENT VIA 'WP'.

THE FOLLOWING ADDITIONAL AFFIRMATION OF COMPLIANCE CODES APPLY EXCLUSIVELY TO TRANSACTIONS SENT VIA THE 'WP' APPLICATION:

| AFFIRMATION CODE | QUALIFIER |
|------------------|---|
| EFC | ENTRY FILER CODE. THIS IS CONDITIONAL AND WILL BE PROVIDED IF BTA DATA FOR AN ENTRY IS BEING PROVIDED INDEPENDENTLY VIA THE 'WP' APPLICATION. |
| ENT | ENTRY SEQUENCE NUMBER. THIS IS THE 8-DIGIT ENTRY NUMBER. THIS IS CONDITIONAL AND WILL BE PROVIDED IF BTA DATA FOR AN ENTRY IS BEING PROVIDED INDEPENDENTLY VIA THE 'WP' APPLICATION. |
| ETP | THIS IS THE 2-DIGIT ENTRY TYPE. THIS IS CONDITIONAL AND WILL BE PROVIDED IF BTA DATA FOR AN ENTRY IS BEING PROVIDED INDEPENDENTLY VIA THE 'WP' APPLICATION. |
| INB | IN-BOND NUMBER. THIS IS CONDITIONAL AND WILL BE PROVIDED IF BTA DATA IS BEING SENT VIA 'WP' FOR AN IN-BOND TRANSACTION. (SEE ACTION CODE '8' DESCRIPTION, ABOVE.) |
| INT | IN-BOND TYPE. MUST BE 61 OR 62. THIS IS CONDITIONAL AND WILL BE SENT IF BTA DATA IS BEING SENT VIA 'WP' FOR AN IN-BOND TRANSACTION. |
| MOT | MODE OF TRANSPORT. THIS IS MANDATORY FOR BTA DATA BEING SENT VIA 'WP' |
| FIR | LOCATION OF GOODS (FIRMS CODE). |
| FTZ | FTZ ADMISSION NUMBER. THIS IS CONDITIONAL AND MUST BE PROVIDED IF THE BTA DATA BEING SENT IS FOR AN FTZ ADMISSION. |
| SCA | IMPORTING CARRIER CODE. CONDITIONAL AND MUST BE PROVIDED IF TRANSPORT VIA COMMON CARRIER. VALID SCAC OR IATA CODE. |
| HTS | HARMONIZED TARIFF NUMBER. OPTIONAL DATA. SHOULD BE PROVIDED IF AVAILABLE AND MAY BE PROVIDED AT THE 6, 8 OR 10 DIGIT LEVEL. VALID HARMONIZED TARIFF NUMBER. |
| IMM | IMPORTER LAST NAME. THIS IS CONDITIONAL FOR BTA DATA BEING SENT VIA 'WP'. MAY BE DIFFERENT FROM CONTACT NAME IN 'FD04' RECORD. THIS SHOULD BE PROVIDED IF IMPORTER IS AN INDIVIDUAL. |
| IMF | IMPORTER FIRST NAME. THIS IS CONDITIONAL FOR BTA DATA BEING SENT VIA 'WP'. MAY BE DIFFERENT FROM CONTACT NAME IN 'FD04' RECORD. THIS SHOULD BE PROVIDED IF IMPORTER IS AN INDIVIDUAL. |

IMP IMPORTER PHONE NUMBER. THIS IS MANDATORY FOR BTA DATA BEING SENT VIA 'WP'. MAY BE DIFFERENT FROM CONTACT PHONE NUMBER IN 'FD04' RECORD.

IMX IMPORTER FAX NUMBER. THIS IS MANDATORY FOR BTA DATA BEING SENT VIA 'WP'. IF NONE, ZERO FILL.

IME IMPORTER EMAIL. THIS IS MANDATORY FOR BTA DATA BEING SENT VIA 'WP'. IF NONE, ENTER 'NONE'.

IMC IMPORTER FIRM NAME. THIS IS CONDITIONAL FOR BTA DATA BEING SENT VIA 'WP'. THIS SHOULD BE PROVIDED IF IMPORTER IS OTHER THAN AN INDIVIDUAL.

IM1 LINE 1 OF IMPORTER ADDRESS. THIS IS MANDATORY FOR BTA DATA SENT VIA 'WP'.

IM2 LINE 2 OF IMPORTER ADDRESS. OPTIONAL. USE AS NEEDED.

IMU IMPORTER ADDRESS CITY. THIS IS MANDATORY FOR BTA DATA SENT VIA 'WP'.

IMS IMPORTER STATE CODE OR CANADIAN PROVINCE. IF A COUNTRY OTHER THAN U.S. OR CANADA, USE 'FN'. THIS IS MANDATORY FOR BTA DATA SENT VIA 'WP'.

IMZ IMPORTER ZIP OR MAIL CODE. THIS IS MANDATORY FOR BTA DATA SENT VIA 'WP'.

IMA IMPORTER COUNTRY CODE (ISO CODE). THIS IS MANDATORY FOR BTA DATA SENT VIA 'WP'.

COM CONSIGNEE LAST NAME. THIS IS CONDITIONAL FOR BTA DATA BEING SENT VIA 'WP'. MAY BE DIFFERENT FROM CONTACT NAME IN 'FD04' RECORD. THIS SHOULD BE PROVIDED IF THE CONSIGNEE IS AN INDIVIDUAL.

COF CONSIGNEE FIRST NAME. THIS IS CONDITIONAL FOR BTA DATA BEING SENT VIA 'WP'. MAY BE DIFFERENT FROM CONTACT NAME IN 'FD04' RECORD. THIS SHOULD BE PROVIDED IF THE CONSIGNEE IS AN INDIVIDUAL.

COP CONSIGNEE PHONE NUMBER. THIS IS MANDATORY FOR BTA DATA BEING SENT VIA 'WP'. MAY BE DIFFERENT FROM CONTACT PHONE NUMBER IN 'FD04' RECORD.

COX CONSIGNEE FAX NUMBER. THIS IS MANDATORY FOR BTA DATA BEING SENT VIA 'WP'. IF NONE, ZERO FILL.

COE CONSIGNEE EMAIL. THIS IS MANDATORY FOR

BTA DATA BEING SENT VIA 'WP'. IF NONE,
ENTER 'NONE'.

COC CONSIGNEE FIRM NAME. THIS IS CONDITIONAL
FOR BTA DATA BEING SENT VIA 'WP'. THIS
SHOULD BE PROVIDED IF THE CONSIGNEE IS
OTHER THAN AN INDIVIDUAL.

CO1 LINE 1 OF CONSIGNEE ADDRESS. THIS IS
MANDATORY FOR BTA DATA SENT VIA 'WP'.

CO2 LINE 2 OF CONSIGNEE ADDRESS. OPTIONAL.
USE AS NEEDED.

COU CONSIGNEE ADDRESS CITY. THIS IS MANDATORY
FOR BTA DATA SENT VIA 'WP'.

COV CONSIGNEE STATE CODE OR CANADIAN PROVINCE.
IF A COUNTRY OTHER THAN U.S. OR CANADA,
USE 'FN'. THIS IS MANDATORY FOR BTA DATA
SENT VIA 'WP'.

COZ CONSIGNEE ZIP OR MAIL CODE. THIS IS
MANDATORY FOR BTA DATA SENT VIA 'WP'.

COA CONSIGNEE COUNTRY CODE (ISO CODE). THIS
IS MANDATORY FOR BTA DATA SENT VIA 'WP'.

AGAIN, THE ABOVE AFFIRMATIONS OF COMPLIANCE ARE ADDITIONAL AND APPLY
ONLY TO PRIOR NOTICE TRANSACTIONS SENT VIA 'WP'. THEY ARE IN ADDITION
TO THOSE CODES PREVIOUSLY PROVIDED IN ADMIN MESSAGE 03-2619. SO, FOR
ANY PRIOR NOTICE SENT VIA 'WP', BOTH SETS OF CODES APPLY. THOSE THAT
ARE LISTED AS MANDATORY IN THE PREVIOUS MESSAGE ARE ALSO MANDATORY FOR
'WP'.

IN ADDITION TO THE ABOVE AFFIRMATIONS OF COMPLIANCE, THE FOLLOWING
DATA ELEMENTS IN THE FD01 THROUGH FD05 RECORDS WILL BE MANDATORY FOR
ITEMS SUBJECT TO PRIOR NOTICE AND SENT VIA THE REVISED 'WP' TRANSACTION:

| | | |
|-------------|--------------------------|-------------------|
| FD02 RECORD | UNIT 1 - QUANTITY | (POSITIONS 5-14) |
| FD02 RECORD | UNIT 1 - UNIT OF MEASURE | (POSITIONS 15-18) |
| FD04 RECORD | CONTACT NAME | (POSITIONS 19-28) |
| FD04 RECORD | CONTACT TELEPHONE NBR | (POSITIONS 29-38) |

PRIOR NOTICE DATA PRESENTED VIA AFFIRMATIONS OF COMPLIANCE WILL BE
SUBJECTED TO BASIC EDITS SUCH AS VALID DATE FORMATS, VALID COUNTRY
CODES, VALID DDPP, ETC. ANY DATA NOT PASSING THESE WILL BE REJECTED
WITH THE APPROPRIATE ERROR CODE.

THE 'WP' ACCEPTANCE OR CBP DATA REJECTION WILL BE RETURNED VIA THE
EXISTING 'WT' TRANSACTION IN THE WT95 OUTPUT RECORD.

THE EXISTING FORMATS FOR THE 'WP' WP10 INPUT RECORD AND THE 'WT' WT95
RECORD MAY BE FOUND IN THE CATAIR IN THE "IN-BOND" SECTION.

THE NEW ABI 'BN' APPLICATION AS DESCRIBED IN ADMIN MESSAGE 03-2619 WILL
ALSO BE USED TO PROVIDE PRIOR NOTICE-SPECIFIC LINE ITEM PROCESSING
RESULTS FOR THE 'WP' TRANSACTION AT THE ITEM LEVEL TO THE TRADE.

THE NEW 'BN' APPLICTIONS CONSIST OF TWO OUTPUT RECORD TYPES, BN01'

AND 'BN02'. THE BN01 RECORD WILL BE RETURNED ONCE AT THE HEADER LEVEL (I.E. - THE IN-BOND NUMBER, ENTRY NUMBER, ETC) AND THERE WILL BE AS MANY BN02 RECORDS AS THERE ARE PRIOR NOTICE FDA ITEMS. 'BN' IS OUTPUT ONLY.

FOLLOWING ARE THE RECORD LAYOUT REQUIREMENTS FOR THE 'BN' APPLICATION:

RECORD IDENTIFIER BN01 (OUTPUT) - ONE RECORD PER FDA PRIOR NOTICE HEADER

| DATA ELEMENT | LENGTH/CLASS | POSITION | STATUS | DESCRIPTION |
|--------------|--------------|----------|--------|--|
| CONTROL ID | 4AN | 1-4 | M | MUST ALWAYS = BN01' |
| HEADER ID | 1AN | 5 | M | MUST ALWAYS = 'A' - AIR WAYBILL (+ HOUSE AIR WAYBILL IF USED) 'E' - ENTRY NUMBER 'I' - INBOND NUMBER 'B' - BILL OF LADING NBR 'F' - FTZ ADMISSION NBR |
| HEADER KEY | 25AN | 6-30 | M | MUST BE THE VALUE FOR THE HEADER IDENTIFIER, AS APPROPRIATE. (E.G. - THE ACTUAL IN-BOND NBR, ENTRY NBR, ETC.). USE THE ACTUAL NUMBER WITH NO DASHES, SLASHES, OR OTHER SPECIAL CHARACTERS |
| FILLER | 50X | 31-80 | M | SPACE FILL |

RECORD IDENTIFIER BN02 (OUTPUT) - REPEATABLE AS NEEDED. ONE BN02 RECORD FOR EACH FDA PRIOR NOTICE ITEM.

| DATA ELEMENT | LENGTH/CLASS | POSITION | STATUS | DESCRIPTION |
|----------------------------------|--------------|----------|--------|--|
| CONTROL ID | 4AN | 1-4 | M | MUST ALWAYS = BN02' |
| CBP ENTRY LINE | 3AN | 5-7 | C | THE CBP LINE NUMBER WHEN THE HEADER IDENTIFIER IN THE BN01 RECORD = 'E'. THIS WILL ONLY APPLY TO ENTRIES SENT VIA 'EI', 'HI', OR 'HN'. OTHERWISE SPACE FILL. |
| FDA LINE | 4AN | 8-11 | M | THE FDA LINE NUMBER APPLICABLE TO THE REQUIREMENT. |
| PRIOR NOTICE CONFIRMATION NBR | 12AN | 12-23 | C | THE CONFIRMATION NUMBER RECEIVED FROM FDA WHEN PRIOR NOTICE RECEIVED MESSAGE IS GENERATED BY FDA (SEE NOTE 1) |

| | | | | |
|--------------------------------------|------|-------|---|---|
| PRIOR NOTICE LINE MESSAGE | 26AN | 24-49 | M | PRIOR NOTICE LINE LEVEL MESSAGE SUCH AS "PRIOR NOTICE RECEIVED" OR "PRIOR NOTICE SATISFIED". FREE FORM. |
| PRIOR NOTICE RECEIPT DATE | 8AN | 50-57 | C | RECEIVED FROM FDA WHEN PRIOR NOTICE RECEIVED MESSAGE IS GENERATED. THIS FIELD WILL BE BLANK WHEN PRIOR NOTICE SATISFIED MESSAGE IS RECEIVED. MMDDYYYY FORMAT. (SEE NOTE 2) |
| PRIOR NOTICE RECEIPT TIME | 6AN | 58-63 | C | RECEIVED FROM FDA WHEN PRIOR NOTICE RECEIVED MESSAGE IS GENERATED. THIS FIELD WILL BE BLANK WHEN PRIOR NOTICE SATISFIED MESSAGE IS RECEIVED. HHMMSS FORMAT. (SEE NOTE 2) |
| PRIOR NOTICE LINE REJECT CODES | 16AN | 64-79 | C | CODES IDENTIFYING REJECT REASONS WHEN PRIOR NOTICE IS REJECTED. (SEE NOTE 3) |
| FILLER | 1X | 80 | M | SPACE FILL |

NOTES:

1. THE PRIOR NOTICE CONFIRMATION NUMBER IS THE VALIDATION AND CERTIFICATION FROM FDA THAT THE PRIOR NOTICE DATA WAS RECEIVED FOR THE MERCHANDISE. THEY SHOULD BE RETAINED BY THE FILER. THERE WILL BE A SEPARATE CONFIRMATION NUMBER FOR EACH FDA LINE ITEM.
2. THE PRIOR NOTICE RECEIPT DATE AND TIME ARE RETURNED WHEN FDA GENERATES A PRIOR NOTICE RECEIVED MESSAGE TO THE FILER FOR THE MERCAHNDISE. THIS INFORMATION SHOULD BE RETAINED WITH THE CONFIRMATION NUMBER.
3. FOLLOWING ARE THE VALID LINE REJECT REASON CODES. THESE CODES ARE POSITIONAL ALLOWING EACH LINE TO HAVE MULTIPLE CODES UP TO 16. WHILE SOME CODES MAY BE REPEATED, THEIR MEANING IS SPECIFIC RELATIVE TO THE FIELD IN THE RECORD IN WHICH THEY MAY APPEAR. THUS, FOR EXAMPLE, AN 'S' IN POSITION 5 INDICATES "INVALID MID FOR SHIPPER" WHILE AN 'S' IN POSITION 10 INDICATES "INVALID COUNTRY OF SHIPPING".

THESE CODES REPRESENT FDA REJECT REASONS. QUESTIONS REGARDING THESE CODES SHOULD BE DIRECTED TO FDA.

| POSITION | VALUE | REASON |
|----------|-------|-------------------|
| 1 | 'F' | FOREIGN CONSIGNEE |

| | | |
|----|-----|---|
| 2 | 'R' | INVALID REGISTRATION |
| 3 | 'Q' | INVALID QUANTITY |
| 4 | 'M' | INVALID MID FOR MANUFACTURER |
| 5 | 'S' | INVALID MID FOR SHIPPER |
| 6 | 'I' | INVALID IMPORTER |
| 7 | 'C' | INVALID CONSIGNEE |
| 8 | 'Z' | INVALID STATE/ZIP FOR U.S. MANUFACTURER |
| 9 | 'O' | INVALID COUNTRY OF ORIGIN |
| 10 | 'S' | INVALID COUNTRY OF SHIPPING |
| 11 | 'N' | OTHER REJECT REASON, CALL FDA |
| 12 | | FUTURE USE |
| 13 | | FUTURE USE |
| 14 | | FUTURE USE |
| 15 | | FUTURE USE |
| 16 | | FUTURE USE |

THE COMPLETE CATAIR RECORD LAYOUTS FOR THE REVISED 'WP' APPLICATION WILL BE AVAILABLE ON THE CBP WEB SITE AS SOON AS POSSIBLE. WE WILL ADVISE YOU WHEN THEY ARE AVAILABLE.

QUESTIONS MAY BE DIRECTED TO YOUR CLIENT REPRESENTATIVE.