Other Government Agencies

This chapter provides records that provide data to other government agencies.

RECORD DESCRIPTIONS

Other Government Agencies

The Office of Management and Budget has identified five federal government agencies to interface with the Automated Commercial System (ACS). They are the Fish and Wildlife Service, Federal Communications Commission, Food and Drug Administration, Department of Transportation, and Food Safety Inspection Service (FSIS).

Department of Transportation

The Department of Transportation (DOT), National Highway Traffic Safety Administration (NHTSA) requires a Declaration for Importation of Motor Vehicles and Motor Vehicle Equipment Subject to Federal Motor Vehicle Safety, Bumper and Theft Prevention Standards (HS-7) be filed for merchandise subject to their review. A sample HS-7 and a summary of the boxes on the form is shown in Appendix J.

The DOT has identified, by Harmonized Tariff Schedule (HTS) number, merchandise that may be or is subject to their review. A data field indicating DOT review may be or is required has been added to Record Identifiers V3 and W3 in the ACS HTS file. Positions 193-207 of the tape also indicate merchandise subject to review. For additional information on Record Identifier V3, W3, and the Harmonized Tariff Schedule tape, refer to the Harmonized Tariff Schedule chapter of this document.

If an HTS number indicates DOT review may be required, and the merchandise does not contain a DOT requirement, ACS users must certify that the merchandise identified as "may be subject to DOT review" is not subject to the DOT review based on the HTS classification, description or intended use. ACS will accept DOT data through ACS even though the DOT indicator is not present in the Harmonized Tariff file.

Input: Record Identifier DT01 is a mandatory DOT input record required to be transmitted with an OI record. The OA record will be used if entry is disclaimed. Record Identifiers DT02 and DT03 are conditional other government agency input records that can accompany an OI and DT01 record and follow either the H5 (Cargo Release) record or 50, 70, 80, or 81 (Entry Summary) record (whichever is applicable). Either Record Identifiers DT02 or DT03 may follow a DT01, but not both.

Record Identifier OA is a conditional other government agency declaration record that indicates no other government agency requirements apply. Up to five declarations can be reported on each OA record. Record Identifier OI is a conditional commercial invoice line description record.

There must be either an OA record or an OI, DT01 (and possibly a DT02 or DT03), for each tariff number flagged in the HTS file as possibly having an other government agency requirement. If multiple DOT lines (Record DT01 through DT03) are reported for the same tariff number, it is not necessary to repeat the OI record for each DOT line if the commercial description remains the same. ACS automatically assumes the commercial description on the OI record previously submitted applies. If the commercial description for a DOT line item changes, transmit a new OI record with the appropriate commercial description before the related DT01

through DT03 records.

The application identifier on Record Identifier B is HI if the DOT records are transmitted after the H5 (Cargo Release) record, or EI (Entry Summary) if the DOT records are transmitted after either the 50, 70, 80, or 81 record (whichever is applicable). For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: There are no DOT output records.

U.S. Fish and Wildlife Service – Not Currently Active

The U.S. Fish and Wildlife Service (FWS) requires that a FWS Form 3-177 (Declaration for Importation or Exportation of Fish or Wildlife) be filed for fish, wildlife, or any commodity containing a fish or wildlife product. Their review of entry documentation is conducted prior to the U.S. Customs and Border Protection (CBP) review. FWS inspectors and agents can review the electronic documentation and may physically inspect the merchandise. The results of the decisions are placed in ACS.

The FWS has identified by Harmonized Tariff Schedule (HTS) number merchandise that is or may be subject to their review. A data field indicating that FWS review may be or is required has been added to Record Identifiers V3 and W3 in the ACS HTS file. Positions 193-207 of the tariff tape also indicate merchandise subject to FWS review. For additional information on Record Identifier V3, W3 and the Harmonized Tariff Schedule tape, refer to the Harmonized Tariff Schedule chapter of this document. If the merchandise is or contains an FWS product, the filer must transmit FWS Form 3-177 data to ACS.

If an HTS number indicates that FWS review may be required, and the merchandise does not contain FWS products, ACS users must certify that the merchandise identified as "may be subject to FWS review" is not subject to the FWS review based on the HTS classification or description or intended use. The entry should be disclaimed.

Since fish or wildlife and wildlife products can be added or made a part of merchandise of virtually any tariff number in the HTS, ACS will accept data contained on FWS Form 3-177 for any entry even if the "must or may be" indicator is not present in the HTS query file. If the tariff record does not contain an indicator for FWS and the imported merchandise contains products or material subject to FWS review, the FWS 3-177 data must still be reported to the FWS.

Input: Record Identifiers FW01, FW02, and FW03 are mandatory FWS input records that are required to be transmitted with an OI record. The OA record will be used if entry is disclaimed. The OI, FW01, FW02 and FW03 will follow either the H5 (Cargo Release), record or either the 50, 70, 80, or 81 entry summary record (whichever is applicable). For additional information on the H5, 50, 70, 80, or 81 records, refer to the Cargo Release and Entry Summary chapters in this document.

Record Identifier OA is a conditional other government agency declaration record that indicates no other government agency requirements apply. Up to five declarations can be reported on each OA record. Record Identifier OI is a conditional commercial invoice line description record. There must be either an OA record or an OI, FW01 through FW03, for each tariff number

flagged in the HTS file as possibly having an other government agency requirement. If multiple FWS lines (record FW01 through FW03) are reported for the same tariff number, it is not necessary to repeat the OI record for each FWS line if the commercial description remains the same. Record Identifiers FW01, FW02, and FW03 are FWS Form 3-177 line item data records. Record Identifiers FW01, FW02, and FW03 may be repeated in sequence as often as necessary for each FWS line within a CBP line item.

ACS automatically assumes that the commercial description on the OI record previously submitted applies. If the commercial description for FWS line item changes, transmit a new OI record with the appropriate commercial description before the related FW01, FW02, and FW03 records. There must be either an OA record or an OI, FW01, FW02, and FW03 for each tariff number flagged in the HTS file as possibly having an other government agency requirement. Do not combine the OA record (disclaimer for FW1 HTS flag) with the OI record (invoice description and FWS data) for the same agency within a single tariff number.

The application identifier on Record Identifier B is HI if the FWS records are transmitted after the H5 (Cargo Release) record or EI if the FWS records are transmitted after either the 50, 70, 80, or 81 (Entry Summary) record (whichever is applicable). For additional information on Record Identifier B, refer to the Application control chapter of this document.

Output: There are no FWS output records.

Federal Communications Commission

The Federal Communications Commission (FCC) requires that a FCC Form-740 (Statement Regarding the Importation of Radio Frequency Devices Capable of Causing Harmful Interference) be filed for merchandise identified in the HTS as must or may be subject to FCC review.

The FCC has identified by Harmonized Tariff Schedule (HTS) number merchandise that may be or is subject to their review. A data field indicating FCC review "may be or is required" has been added to Record Identifiers V3 and W3 in the ACS HTS file. Positions 193-207 of the tariff tape also indicate merchandise subject to review. For additional information on Record Identifier V3, W3 and the Harmonized Tariff Schedule tape, refer to the Harmonized Tariff Schedule chapter of this document. If the merchandise contains radio frequency devices, the filer must transmit FCC Form-740 data to ACS.

If an HTS number indicates FCC review may be required, and the merchandise does not contain a radio frequency device, ACS users must certify that the merchandise identified as "may be subject to FCC review" is not subject to the FCC review based on the HTS classification or description or intended use. The entry should be disclaimed. ACS will accept FCC data through ACS even though the FCC indicator is not present in the Harmonized Tariff file.

Input: Record Identifiers FC01 and FC02 are mandatory FCC input records that are required to be transmitted with an OI record. The OA record will be used if the entry is disclaimed. The OI, FC01, and FC02 will follow either the 50, 70, 80, or 81 entry summary record (whichever is applicable). For additional information on the 50, 70, 80, or 81 records, refer to the Entry Summary chapters in this document.

Record Identifier OA is a conditional other government agency declaration record that indicates that no other government agency requirements apply. Up to five declarations can be reported on each OA record. Record Identifier OI is a conditional commercial invoice line description record.

There must be either an OA record or an OI, FC01 and FC02, for each tariff number flagged in the HTS file as possible having an other government agency FCC requirement. If multiple FCC lines (record FC01 and FC02) are reported for the same tariff number, it is not necessary to repeat the OI record for each FCC line if the commercial description remains the same. Record Identifiers FC01 and FC02 are FCC Form 740 line item data records. Record Identifiers FC01 and FC02 may be repeated in sequence as often as necessary. If the commercial description for FCC line item changes, transmit a new OI record with the appropriate commercial description before the related FC01 and FC02 records.

The FCC flag line item can either be followed by an OA record or an OI record (with the accompanying FCC data). An OI and OA record can not be transmitted together.

The application identifier on Record Identifier B is EI. For additional information on Record Identifier B, refer to the Application Control chapter of this document. The FCC data is to be transmitted in the EI application only.

Output: There are no FCC output records.

Food and Drug Administration

The Food and Drug Administration (FDA) requires that a copy of the CBPF-3461 and supporting FDA documents be filed for merchandise subject to their review.

The FDA has identified by Harmonized Tariff Schedule (HTS) number merchandise that may be or is subject to their review. A data field indicating the FDA review requirement is present in Record Identifiers V3 and W3 in the ACS HTS file. Positions 193-207 of the tape also indicate merchandise subject to review and/or FDA BTA prior notice requirements. The five FDA HTS indicators are FD4 (FDA Prior Notice and FDA Admissibility Review Required), FD3 (FDA Prior Notice and FDA Admissibility Review May Be Required), FD2 (FDA Admissibility Review Required), FD1 (FDA Admissibility Review May Be Required), and FD0 (Subject to FDA Admissibility Review – DO NOT SUBMIT). For additional information on Record Identifier V3, W3, and the Harmonized Tariff Schedule tape, refer to the Harmonized Tariff Schedule chapter of this document.

If the HTS number indicates FDA review may be required, and the merchandise is not subject to an FDA requirement, ACS users must certify that the merchandise identified as FD1 or FD3is not subject to the FDA review or is not subject to both BTA prior notice reporting and FDA review requirements based on the HTS classification, description or intended use. If not regulated, the line or entry should be disclaimed. ACS will accept FDA Prior Notice and admissibility data through ACS even though the FDA indicator is not present in the Harmonized Tariff file. FDA will also accept Prior Notice data through ACS when the HTS number is flagged with a FD1 or FD2.

Input: Record Identifiers FD01, FD02 and FD03 are mandatory FDA input records that are required to be transmitted with an OI record. The OA record will be used if the entry line is disclaimed. Record Identifiers FD04 and FD05 are conditional but are mandatory for BTA. The FD05 records carry the required data for merchandise subject to FDA BTA prior notice requirements. The FD02 and FD03 records must be sent but are not required to contain data. However, the FD02 record must contain at least one unit of measure for merchandise subject to FDA BTA prior notice requirements. These FDA input records should follow either the H5 (Cargo Release) record, 02 (Border Cargo Release) record, or 50, 70, 80, or 81 (Entry Summary) record.

Record Identifier OA is a conditional other government agency declaration record that indicates that no other government agency requirements apply. Up to five declarations can be reported on each OA record. Record Identifier OI is a conditional commercial invoice line description record.

There must be either an OA record or an OI along with FDA input records for each tariff number flagged in the HTS file as possibly having an other government agency FDA requirement. If multiple FDA lines (record FD01 through FD05) are reported for the same tariff number, it is not necessary to repeat the OI record for each FDA line if the commercial description remains the same.

Note: For prior notice information sent through the Independent WP transaction it is necessary to repeat the OI record for each FDA line even if the commercial description remains the same.

ACS automatically assumes that the commercial description on the OI record previously submitted applies except as in *Note* above. If the commercial description for a FDA line item changes, transmit a new OI record with the appropriate commercial description before the related FD01 through FD05 records.

The application identifier on Record Identifier B is HI if the FDA records are transmitted after the H5 (Cargo Release) record, HN if the FDA records are transmitted after the 02 (Border Cargo Release) record, or EI (Entry Summary) if the FDA records are transmitted after either the 50, 70, 80, or 81 record (whichever is applicable). For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: There are no FDA output records for FDA specific data. The BN01 and BN02 records are used to transmit FDA BTA prior notice (PN) error and status messages from CBP to the filer. The application identifier is BN.

Department of Agriculture

This application allows the filer to electronically query the health inspection certificate as it is transmitted to the U.S. government from the foreign government. This feature will eventually eliminate the need to send the actual, originally signed health certificate from the foreign country. Currently, this application is available only for meat inspection certificates transmitted from Australia. In the future, this function will be expanded to cover health certificates pertaining to other Department of Agriculture, Food and Drug Administration, and Fish and Wildlife products.

The filer takes this information and adds additional data required by the Food Safety & Inspection Service (FSIS) on Form 9540-1, plus any other required data, and transmits the entire transaction to CBP, FSIS, and other interested agencies through ABI when the programs become available.

To access the health certificate information, the filer must input the country of origin, country of destination, year of inspection, certificate type, and certificate number of the merchandise to be inspected by FSIS.

Other Government Agency Rejection Capability

Currently, the Food and Drug Administration (FDA) cannot reject an entry on-line but their system can reject certain entries that do not meet FDA electronic edits. The Department of Transportation (DOT) and the Federal Communications Commission (FCC) will not use the reject capability.

Input: There are no input records.

Output: Record Identifiers DTDT01 and DTDT02 are optional output records that return messages after the other government agency has rejected the entry or entry summary to the filer for incorrect or incomplete data. Record Identifier DTDT01 provides the entry number, agency type code, and DATA REJECTED message. Record Identifier DTDT02 provides the CBP line item number, tariff position, OGA line item number, sequence line item number (not currently active) and LINE REJECT message.

For this application, the output Application Identifier on Record Identifier B is DT.   For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Other Government Agency Correction Capability

The Other Government Agency (OGA) Correction Capability allows filers to correct the OGA data transmitted in the original EI (Entry Summary), HI (Cargo Release) or HN (Border Cargo Release) application that have been rejected by the OGA for incorrect or incomplete data.

This application is commonly called the CP application. This universal correction capability eliminates the need for the importer or broker to submit a letter to the OGA authorizing the OGA

to make changes to the entry. It also eliminates the need for the OGA to request CBP to delete an entry from ACS so the filer can retransmit.

Input: Record Identifier HD and LN are mandatory records that allow a filer to replace the OGA data that needs to be corrected and retransmitted. The HD and LN records, plus the original OGA data elements, make up the entire CP application. Record Identifier HD provides the filer code and entry number. Record Identifier LN provides the CBP line number and tariff number. The records that follow would include all of the OGA data in the same line item order as the original transmission of the HI, EI, or HN application with the necessary corrections. Record Identifier LN is repeated as often as necessary. It is important to transmit all tariff numbers included in the entry in order, even if certain tariff numbers are not flagged for OGA.

It is the responsibility of the filer to make corrections as quickly as possible to ensure timely processing of the merchandise. Only an OGA rejected entry can be corrected using the CP application.

For this application, the input Application Identifier on Record Identifier B is CP. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: Record Identifier HD is an optional record that provides a message that the entry data is replaced as requested. Record Identifier ER is an optional record that provides a message that the entry is in a reject status.

For this application, the output Application Identifier on Record Identifier B is CT. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Multiple Agencies

If a tariff record contains more than one other government agency flag, data must be transmitted separately for each agency within each agency's block of records. A separate OI record must be transmitted with each change in the reporting agency data elements. It does not matter which agency data is reported first.

If the indicator in the HTS record is FD1 (FDA review may be required) or FD3 (FDA BTA prior notice may be required and FDA clearance may be required, and the merchandise is not subject to FDA review or BTA requirements, only the OA record (other agency declaration that merchandise is not subject to FDA review, or is not subject to FDA review and BTA requirements) will appear after the appropriate HTS number as follows:

- For HI (Entry) applications, place the OA record after the appropriate H5 record.

- For HN (Entry-Border) applications, place the OA record after the 02 record.

- For EI (Summary) applications, place the OA record after the 50 record or after the 70, 80, or 81 records if entries are certified for cargo release.

Note: When appropriate, one OA record should be used per tariff number. OA records may contain multiple agency declarations. For example, if the HTS record contains FD1 and DT1 indicators and the imported merchandise is not subject to either agency review, insert FD0 and DT0 in the appropriate positions of the OA record (order is irrelevant).

If a tariff record contains indicators that require data for two different agencies and the entry preparer determines that the merchandise for one of the agencies is to be disclaimed, then the OA record containing the disclaimed indicator must be placed immediately after the record containing the tariff number and before the records containing other agency data.

An example of Cargo Release input data:

H5	-	(OGA Flag Tariff Number)
OA	-	DT0 (Disclaimer)
OI	-	Invoice Description
FD01	-	(FDA Data)
FD02	-	(FDA Data)
FD03	-	(FDA Data)

Record Identifier OA (Input)

This is a conditional other government agency declaration input record that provides a code indicating that there are no other government agency review requirements.

Record Identifier OA (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal OA.	
Filler	2AN	3-4	M	Space fill.	
Other Agency Declaration	3AN	5-7	M	A code indicating there is no other agency declaration requirement.	1
Other Agency Declaration	3AN	8-10	O	A code indicating there is no other agency declaration requirement.	1
Other Agency Declaration	3AN	11-13	O	A code indicating there is no other agency declaration requirement.	1
Other Agency Declaration	3AN	14-16	O	A code indicating there is no other agency declaration requirement.	1
Other Agency Declaration	3AN	17-19	O	A code indicating there is no other agency declaration requirement.	1
Filler	61AN	20-80	M	Space fill.	

Note 1

Valid Other Agency Declaration codes are:

Code	Description
DT0	Department of Transportation HS-7 record does not apply.
FC0	Federal Communications Commission Form 740 does not apply.
FD0	Food and Drug Administration regulation does not apply.
FW0	U.S. Fish and Wildlife Service Form 3-177 does not apply. (Not Currently Active)
TL0	Bureau of Alcohol, Tobacco and Firearms Form 5100.31 does not apply.

Record Identifier OI (Input)

This is a conditional other government agency commercial invoice line description input record that provides the commercial description of the invoice line item. This record precedes the Record Identifiers FCC, FDA, and DOT. The OI record is a generic invoice description record. The Record Identifier OI does not have to be repeated with each set of OGA Record Identifiers as long as the reporting agency has not changed and the invoice description has not changed. *Note*: For Prior Notice information sent through the Independent WP transaction it is necessary to repeat the OI record for each FDA line even if the commercial description remains the same. If either the reporting agency changes or the invoice description changes, a new OI record is required. If the commercial description changes, another OI record is required. Only one OI record is permitted per other agency line.

Record Identifier OI (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal OI.	
Filler	8AN	3-10	M	Space fill.	
Commercial Description	70X	11-80	M	A clear description of the commercial invoice line item in English. Broad, generalized language is unacceptable, as are tariff descriptions. Commercial description is not the same as the Harmonized Tariff description. Provide the description according to other agency instructions.	

Record Identifier DT01 (Input)

This is a mandatory Department of Transportation input record that provides data pertaining to the line number, certification, passport number, country, bond indicator, and Prior Approval Letter/ Importers Substantiating Statement.

Record Identifier DT01 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal DT.	
Record Type	2N	3-4	M	Must always equal 01.	
DOT Line Number	3N	5-7	M	A code identifying the line number beginning with 001 and incremented by one for each subsequent DOT line number.	
Box Number	2N	8-9	M	The box number as described on the NHTSA HS-7 form. If box one through nine are checked on the form, transmit 01 through 09. (***NOTE***: Box 2 is no longer valid and is replaced by boxes 2A or 2B.)	
Box Certification	1A	10	M	A code of *Y* (yes) indicating that the filer certifies the data. No other code is accepted.	
Passport Number	19X	11-29	C	The passport number of the person importing the vehicle. The passport number is mandatory if box 05 of the HS-7 is checked.	
Country (ISO)	2A	30-31	C	The International Organization for Standardization (ISO) country code identifying the country of origin. The ISO country code is required if box 05, 06, or 12 of the HS-7 is checked.	
DOT Bond (Surety Code)	3N	32-34	C	The number assigned to the DOT bond.	
NHTSA Permission Letter/Official Orders Certification	1A	35	C	A code of *Y* (yes) indicating that the importer has a copy of the prior approval letter and the official orders; otherwise, space fill. This code is mandatory if boxes 2B, 06, 07, or 12 of the HS-7 are checked.	
Importers Substantiating Statement/Copy of Contract/ Manufacturers Confirmation Letter	1A	36	C	A code of *Y* (yes) indicating that the importer has a copy of the Importers Substantiating Statement and contract; otherwise, space fill. This code is mandatory if boxes 2B, 03, 07, 08 or 09 of the HS-7 are checked.	

Record Identifier DT01 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Clarification Code	1A	37	C	A code identifying the merchandise being imported. Valid codes are: V = Vehicle E = Equipment T = Tire If "V" is selected in this position, a DT02 record may be required.	
Tire Manufacturer ID Code	3AN	38-40	O	If "T" is transmitted in Clarification Code field, then transmit the tire ID code.	
Tire Manufacturer Brand Name	20AN	41-60	O	Brand name of the tire associated with the ID code.	
Filler	20AN	61-80	C	Space fill.	

Record Identifier DT02 (Input)

This is a conditional Department of Transportation input record that is used to report HS-7 (Declaration for Importation of Motor Vehicles and Motor Vehicle Equipment Subject to Federal Motor Vehicle Safety, Bumper and Theft Prevention Standards) vehicle related information and requires the submission of at least one DT01 record. This record does not apply to DOT regulated parts and equipment. A position other than one through four must contain data; otherwise, an error message is system generated.

Record Identifier DT02 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal DT.	
Record Type	2N	3-4	M	Must always equal 02.	
Make of Vehicle	15X	5-19	C	The name of the company that manufactured the vehicle.	1
Model	15X	20-34	C	The name of the vehicle that the company manufactured.	1
Year	4N	35-38	C	The year the vehicle was manufactured.	1
Vehicle Identification Number	17AN	39-55	C	The unique code identifying the vehicle.	1
NHTSA Registered Importer (RI) Number	8X	56-63	C	The code assigned to the company making the non-conforming vehicle modification.	2
Vehicle Eligibility Number	6AN	64-69	C	The NHTSA number corresponding to the year, make and model of every non-conforming vehicle.	2
Filler	11AN	70-80	M	Space fill.	

Note 1

This is a mandatory data element if boxes 03, 05, or 12 are checked on the HS-7. This record is conditional for others.

Note 2

This is a mandatory data field if box 03 is checked on the HS-7.

Record Identifier DT03 (Input)

This is a conditional Department of Transportation input record that is used to report multiple vehicle identification numbers (VINs). The DT03 record may be repeated up to 2,500 times. This record may only follow a DT01 record. A position other than one through four must contain data; otherwise, an error message is system generated. **This record is not yet operational. Multiple VIN numbers must be reported on separate DT02 records in separate lines. You will be notified when this record becomes operational.**

Data Element	Length /Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal DT.	
Record Type	2N	3-4	M	Must always equal 03.	
Vehicle Identification Number	17AN	5-21	C	The unique code identifying the vehicle.	
Vehicle Identification Number	17AN	22-38	C	The unique code identifying the vehicle.	
Vehicle Identification Number	17AN	39-55	C	The unique code identifying the vehicle.	
Vehicle Identification Number	17AN	56-72	C	The unique code identifying the vehicle.	
Filler	8AN	73-80	M	Space fill.	

Record Identifier FW01 (Input) – Not Currently Active

This is a mandatory Fish and Wildlife Service (FWS) input line item record that provides data pertaining to the species code, genus, species, subspecies, value, country of origin, line number, and continuation or trim indicator.

Record Identifier FW01 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal FW.	
Record Type	2N	3-4	M	Must always equal 01.	
Species Code	4X	5-8	M	A code assigned by the FWS identifying the species. If a Species Code is unknown, enter *XXXX* and enter the scientific and common names in the appropriate data field. To access the scientific code file, refer to the Extract Reference chapter in this document.	
Scientific Name Genus	18A	9-26	C	The genus of the scientific name of the FWS merchandise being entered.	
Scientific Name Species	18A	27-44	O	The species portion of the scientific name of the FWS merchandise being entered.	
Scientific Name Subspecies	18A	45-62	O	The subspecies portion of the scientific name of the FWS merchandise being entered. If the subspecies name is not available, space fill.	
FWS Value	10N	63-72	M	The FWS domestic value as reported on FWS Form 3-177. This value cannot exceed the total value reported on Record Identifiers 40, 70, 80, or 81 whichever is applicable (Entry Summary) or H2 (Cargo Release).	
FWS Country of Origin	2A	73-74	M	The International Organization for Standardization (ISO) Country Code. The FWS country of origin may be different from the CBP country of origin. Valid ISO codes are listed in Appendix B. An example of the country of origin being different for FWS purposes is a mink is raised in Finland and shipped to Germany for assembly into a coat. For CBP purposes, the country of origin is Germany but for FWS purposes the country of origin is Finland.	

Record Identifier FW01 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
FWS Line Number	3N	75-77	M	A number required by the FWS beginning with 001 within a CBP line and sequentially incremented on subsequent FW01 records, if applicable, identifying the FWS product more specifically than the Harmonized Tariff Schedule Number. If multiple species per article are being reported, the Continuation or Trim Indicator must be C.	
Continuation or Trim Indicator	1A	78	C	A code of *C* (continuation) if reporting multiple species per article; otherwise, space fill.	
Filler	2AN	79-80	M	Space fill.	

Record Identifier FW02 (Input) – Not Currently Active

This is a mandatory Fish and Wildlife Service (FWS) line item data input record that provides data pertaining to the general and specific common name, FWS license number, import permit number, and foreign export/re-export permit number.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier FW02 (Input)					
Control Identifier	2A	1-2	M	Must always equal FW.	
Record Type	2N	3-4	M	Must always equal 02.	
Common Name (Specific)	18A	5-22	C	The specific portion of the common name of the wildlife or wildlife used in the merchandise being imported.	1
Common Name (General)	18A	23-40	C	The general portion of the common name of the wildlife or wildlife used in the merchandise being imported.	1
Filler	4AN	41-44	M	Space Fill. Reserved for future Location of Goods (FIRMS) code.	
FWS U.S. License Number	6N	45-50	C	The license number assigned by the FWS.	
Filler	2AN	51-52	M	Space fill.	
U.S. FWS Import Permit Number	8AN	53-60	C	The FWS CITES (Convention of International Trade of Endangered Species) import permit number.	
Foreign Export/ Re-export Permit or License Number	20X	61-80	C	The foreign export/re-export permit or license number that allows the FWS merchandise to enter the United States. Include all dashes, hyphens and other special characters exactly as they appear on the permit.	

Note 1

If there is a species code reported in Record Identifier FW01 (Input), the Common Name Specific and Common Name General must be space filled.

If the Species Code reported in Record Identifier FW01 (Input) is XXXX, there can be a common Name Specific and Common Name General.

Record Identifier FW03 (Input) – Not Currently Active

This is a mandatory Fish and Wildlife Service (FWS) line item data input record that provides data pertaining to the quantity, unit of measure, source, purpose, wildlife description and manufacturer.

Record Identifier FW03 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal FW.	
Record Type	2N	3-4	M	Must always equal 03.	
FWS Quantity	12N	5-16	M	The quantity as required on the FWS Form 3-177. Report this quantity even if it is the same as the quantity reported for the tariff unit of measure.	
FWS Unit of Measure	3AN	17-19	M	A code representing the unit of measure as required on the FWS Form 3-177.	1
FWS Source	2A	20-21	M	A code indicating the source of the FWS animal used in the product.	2
FWS Purpose	2A	22-23	M	A code indicating the purpose of the transaction.	3
Wildlife Description	4A	24-27	M	A code identifying the form of wildlife or wildlife product.	4
FWS Shipper	15X	28-42	C	The FWS shipper identification if it is different from the manufacturer identification reported for CBP purposes.	
Filler	38AN	43-80	M	Space fill.	

Note 1

The most common FWS units of measure codes are:

Code	Description
G	Gram
KG	Kilograms
M	Meters
M2	Square Meters
M3	Cubic Meters
NO	Number
PRS	Pairs

Note 2

Valid FWS Source codes are:

Code	Description
A	Artificially Propagated
C	Captive Bred
O	Other
P	CITES (Convention of International Trade of Endangered Species) Preconvention
W	From the Wild

Note 3

Valid FWS Purpose codes are:

Code	Description
C	Commercial
N	Non-Commercial (Personal)
M	Museum Exchange
S	Scientific Research
Z	Zoological Exchange

Note 4

Valid FWS wildlife description codes are:

Code	Description
BIOL	Biological specimen (parts of animals, tissues, blood samples, etc.)
BPRD	Bone, bone product
CARC	Carcass (non-trophy, non-scientific, non-stuffed, whole, dead animal)
CLAW	Claw, talon
DEAD	Specimen that died during transport/quarantine
EGGS	Eggs
FEAT	Feathers
FEET	Feet, paw, leg, etc.
FPPL	Fur piece plate
GRMT	Garment
HBAG	Handbag
HPRD	Horn, horn product

Note 4 - Continued

Code	Description
IJWL	Ivory jewelry and beads (elephant, walrus, etc.)
ICAR	Ivory carving
JWLY	Jewelry (other than ivory)
LGPD	Unspecified or mixed large leather products (golf bags, attaché cases, luggage, etc.)
LIVE	Live whole organism
MEAT	Meat, soup, stew
MEDC	Oriental pharmaceuticals, aphrodisiacs
OILS	Oil (whale, turtle, etc.)
PKEY	Piano key
PSKN	Pieces of skin (not whole skins)
RCOR	Raw coral
RIVR	Raw ivory
RUGS	Rug
SCSP	Scientific specimen (whole animal-stuffed, preserved for scientific purposes)
SHEL	Shell (whole)
SHOE	Shoe, boot
SKIN	Raw or tanned skin or hide
SKUL	Skull (not to be used for trophy shipments or science)
SMPD	Unspecified or mixed small products (belt, hat, glove, wallet, or flatgoods)
SPRD	Shell product
STUF	Stuffed curio or souvenir
TETH	Teeth
TRIM	Trim (collars, cuffs, etc.)
TROP	Trophy (hunted; for personal use)
TUSK	Ivory Tusk (whole; worked or raw; elephant, walrus, etc.)
UNSP	Unspecified
WBND	Watchband

Record Identifier FC01 (Input)

This is a mandatory Federal Communications Commission (FCC) input record that provides line item data.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier FC01 (Input)					
Control Identifier	2A	1-2	M	Must always equal FC.	
Record Type	2N	3-4	M	Must always equal 01.	
Import Condition Number	2AN	5-6	M	A code (01-08) identifying the FCC import condition.	1
03 Import Condition Number Quantity Approval	1A	7	C	A code of *Y* (yes) if the Import Condition Number is 03 and prior approval is received from the FCC for more than 200 items; otherwise, space fill.	1
FCC Line Number	3N	8-10	M	A code identifying the line number beginning with 001 within a CBP line and incremented by one for each subsequent FCC line number.	
FCC Identifier	17X	11-27	C	A code assigned by the FCC. This code is mandatory if the Import Condition Number is 01. Include hyphens and dashes.	
Trade Name	30X	28-57	M	The trading name of the product imported.	
Model/Type Number	17X	58-74	M	A code identifying the product imported.	
Filler	6AN	75-80	M	Space fill.	

<u>Note 1</u>

Valid FCC Import Condition Number codes are:

Code	Description
01	The radio frequency device has been issued an equipment authorization by the FCC.
02	The radio frequency device is not required to have an equipment authorization and the device complies with FCC technical administrative regulations.
03	The radio frequency device is being imported in limited quantities for testing and evaluation to determine compliance with the FCC Rules and Regulations or suitability for marketing. The device will not be offered for sale or marketed. The phrase "limited quantities" means 200 or fewer units. Prior to importation of more than 200 units, written approval must be obtained from the Chief, Enforcement Division, Field Operations Bureau, FCC. Distinctly different models of a product and separate generations of a particular model under development are considered to be separate devices.
04	The radio frequency device is being imported in limited quantities for demonstration at industry trade shows and the device will not be offered for sale or marketed. The phrase "limited quantities" means ten or fewer units. Prior to importation of more than ten units, written approval must be obtained from the Chief, Enforcement Division, Field Operations Bureau, FCC. Distinctly different models of a product and separate generations of a particular model under development are considered to be separate devices.
05	The radio frequency device is being imported solely for export. The device will not be marketed or offered for sale for use in the U.S.
5a	The described equipment is a non-U.S. standard cellular phone than can only function outside of the U.S.
06	The radio frequency device is being imported for use exclusively by the U.S. Government.
07	Three or fewer radio receivers, computers, or other unintentional radiators are being imported for the individual's personal use and are not intended for sale.
08	The radio frequency device is being imported for repair and will not be offered for sale or marketed.

Record Identifier FC02 (Input)

This is a mandatory Federal Communications Commission (FCC) input record that provides the quantity and a code requesting data be withheld from public inspection.

Record Identifier FC02 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal FC.	
Record Type	2N	3-4	M	Must always equal 02.	
FCC Quantity	12N	5-16	M	The total number of items (not the number of containers) right justified.	
Withhold from Public Inspection Requested	1A	17	O	A code of *W* (withhold) if the importer requests FCC 740 data be withheld from public inspection.	
Filler	63AN	18-80	M	Space fill.	

Record Identifier FD01 (Input)

This is a mandatory Food and Drug Administration input record that provides data pertaining to the line number, product code, cargo storage status, country of production, affirmation of compliance qualifier, actual manufacturer number, and actual shipper/supplier number.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier FD01 (Input)					
Control Identifier	2A	1-2	M	Must always equal FD.	
Record Type	2AN	3-4	M	Must always equal 01.	
FDA Line Number	3N	5-7	M	The FDA line item number.	
FDA Product Code	7X	8-14	M	The code identifying the FDA product.	
Cargo Storage Status	1A	15	O	A code of *A* (ambient temperature), *F* (frozen), or *R* (refrigerated).	
Filler	2AN	16-17	M	Space fill.	
FDA Country of Production	2A	18-19	M	A valid International Organization for Standardization (ISO) country code representing the country in which the merchandise was produced or grown (for unprocessed products). This code may be different from that required for CBP purposes. Do not use pseudo country codes such as EU or AP.	
Affirmation of Compliance Code	3X	20-22	C	A code used to affirm compliance with FDA requirements. If more than one Affirmation of Compliance applies to a single FDA line, the second and others are sent in separate FD05 records. If more than one Affirmation of Compliance is sent they cannot duplicate any other unless differentiated by an Affirmation of Compliance Qualifier. An Affirmation of Compliance and Qualifier combination must be unique in a single FDA line. If the merchandise is subject to BTA prior notice requirements, this data element is mandatory. If the PNC or PND affirmation of compliance codes are used, they must appear in this position first. To report additional affirmations of compliance, use the FD05 record. The affirmation of compliance codes and qualifiers are listed in Appendix O of this document.	
Affirmation of Compliance Qualifier	25X	23-47	C	If the Compliance Indicator is *Y* (yes), this code is mandatory.	

Record Identifier FD01 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
FDA Actual Manufacturer Number	15AN	48-62	M	The actual manufacturer number required by FDA. The FDA Manufacturer is the site-specific location where the product is manufactured, produced, or grown. See ABI Administrative Message 00-0824 for further information. For Prior Notice the site-specific manufacturer must be provided for processing products. The grower, when known, must be provided for unprocessed food and consolidator when grower is not known.	
FDA Actual Shipper/Supplier Number	15AN	63-77	M	The actual shipper or supplier number required by FDA.	
Filler	3AN	78-80	M	Space fill.	

Record Identifier FD02 (Input)

This is a mandatory Food and Drug Administration input record that provides data pertaining to the quantity and unit of measure for up to five line items.

Data Element	Length/Class	Position	Status	Description	Note
Record Identifier FD02 (Input)					
Control Identifier	2A	1-2	M	Must always equal FD.	
Record Type	2N	3-4	M	Must always equal 02.	
Unit 1 - Quantity	10N	5-14	C	The first or base quantity associated with the FDA line item number. Two decimal places are implied. If the value is a whole number, the two low-order positions contain zeros. If the product is subject to BTA prior notice, this data element is mandatory.	1
Unit 1 - Measure	4A	15-18	C	The unit of measure associated with the first quantity. If the product is subject to BTA prior notice, this data element is mandatory.	2
Unit 2 - Quantity	10N	19-28	C	The second or base quantity if it exists associated with the FDA line item number. Two decimal places are implied. If the value is a whole number, the two low-order positions contain zeros.	1
Unit 2 - Measure	4A	29-32	C	The unit of measure associated with the second quantity if it exists.	2
Unit 3 - Quantity	10N	33-42	O	The third or base quantity if it exists associated with the FDA line item number. Two decimal places are implied. If the value is a whole number, the two low-order positions contain zeros.	1
Unit 3 - Measure	4A	43-46	C	The unit of measure associated with the third quantity if it exists.	2
Unit 4 - Quantity	10N	47-56	C	The fourth or base quantity if it exists associated with the FDA line item number. Two decimal places are implied. If the value is a whole number, the two low-order positions contain zeros.	1
Unit 4 - Measure	4A	57-60	C	The unit of measure associated with the fourth quantity if it exists.	2
Unit 5 - Quantity	10N	61-70	C	The fifth or base quantity if it exists associated with the FDA line number. Two decimal places are implied. If the value is a whole number, the two low-order positions contain zeros.	1
Record Identifier FD02 (Input)					
Data Element	**Length/Class**	**Position**	**Status**	**Description**	**Note**

| Unit 5 - Measure | 4A | 71-74 | C | The unit associated with the fifth quantity if it exists. | 2 |
| Filler | 6AN | 75-80 | M | Space fill. | |

Note 1

The base quantity must always be the last quantity transmitted. If six quantities and units of measure are transmitted, the base quantity and associated unit of measure must appear in the sixth quantity and unit of measure fields (FD04 record positions 5-14 and 15-18 respectively). If one quantity and unit of measure are transmitted, the base quantity and associated unit of measure must appear in the first quantity and unit of measure fields (FD02 record positions 5-14 and 15-18 respectively).

Note 2

Valid FDA units of measure are:

Code	Description
KM2	1,000 Sq. Meters (Area)
M2	Sq. Meters (Area)
SFT	Sq. Feet (Area)
SQI	Sq. Inches (Area)
SYD	Sq. Yards (Area)
DOZ	Dozen (Count)
DPC	Dozen Pieces (Count)
DPR	Dozen Pairs (Count)
GR	Gross (Count)
NO	Number (Count)
PCS	Pieces (Count)
PRS	Pairs (Count)
BOL	Boluses (Dosage)
CAP	Capsules (Dosage)
SUP	Suppositories (Dosage)
TAB	Tablets (Dosage)
CM	Centimeters (Length)
FT	Feet (Length)
KM	Kilometers (Length)
LNM	Linear Meters (Length)
M	Meters (Length)
YD	Yards (Length)
BBL	Barrels (42 Gallons ea.) (Volume)

Note 2 - Continued

Code	Description

CFT	Cubic Feet (Volume)
CM3	Cubic Centimeters (Volume)
CYD	Cubic Yards (Volume)
FOZ	Ounces, fluid (Volume)
GAL	Gallons (US) (Volume)
KM3	1,000 Cubic Meters (Volume)
L	Liters (Volume)
M3	Cubic Meters (Volume)
ML	Milliliters (Volume)
PTL	Pints, liquid (US) (Volume)
QTL	Quarts, liquid (US) (Volume)
CAR	Carats (Weight)
CG[1]	Centigrams (Weight)
G	Grams (Weight)
KG[2]	Kilograms (Weight)
LB	Pounds (avdp) (Weight)
MG	Milligrams (Weight)
OZ	Ounces, weight (avdp) (Weight)
STN	Short ton (2,000 LB) (Weight)
T	Metric Ton (Weight)
TON	Long Ton (2,240 LB) (Weight)
TOZ	Ounces, Troy or Apoth (Weight)

[1] In the future, CG - Centigrams will be changed to CGM
[2] In the future, KG - Kilograms will be changed to KGM

The above units of measure are considered base units of measure.

The Food and Drug Administration (FDA) has prepared a "Quantity Data Instruction Rules & Use" handout for proper use of FDA units of measure. A copy of this document is available on FDA's Product Code Builder and Tutorial at www.accessdata.fda.gov/SCRIPTS/ORA/PCB/PCB.HTM – (See Tutorial Lesson 2).

Note 2 - Continued

Valid FDA container codes are:

Code	Description	Code	Description	Code	Description
				GZ	Girders in Bundle/ Bunch/Truss
AE	Aerosol	CA	Can, Rectangular	HG	Hogshead
AM	Ampoule, Non-Protected	CAG	Cage	HR	Hamper
		CB	Crate, Beer	IN	Ingot
AP	Ampoule, Protected	CC	Churn	IZ	Ingots in Bundle/ Bunch/Truss
AT	Atomizer	CE	Creel		
BA	Barrel (Container)	CF	Coffer	JC	Jerrican, Rectangular
BB	Bobbin	CH	Chest		
BC	Bottlecrate, Bottlerack	CI	Canister	JG	Jug
		CJ	Coffin	JR	Jar
BD	Board	CK	Cask	JT	Jutebag
BE	Bundle	CL	Coil	JY	Jerrican,
BF	Balloon, Non-Protected	CO	Carboy, Non-Protected	Cylindrical	
				KEG	Keg
BG	Bag	CON	Container	LG	Log
BH	Bunch	CP	Carboy, Protected	LZ	Logs in Bundle/ Bunch/Truss
BI	Bin	CR	Crate		
BJ	Bucket	CS	Case	MB	Bag, Multi-ply
BK	Basket	CT	Carton	MC	Crate, Milk
BL	Bale, Compressed	CU	Cup	MS	Sack, Multiwall
BN	Bale, Non-Compressed	CV	Cover	MT	Mat
		CX	Can, Cylindrical	MX	Matchbox
BO	Bottle, Non-Protected, Cyl	CY	Cylinder	NE	Unpacked or Unpackaged
		CZ	Canvas		
BP	Balloon, Protected	DJ	Demijohn, Non-Protected	NS	Nest
BQ	Bottle, Protected, Cylnd			NT	Net
		DP	Demijohn, Protected	PA	Packet
BR	Bar			PAL	Pallet
BS	Bottle, Non-Protected, Bulbous	DR	Drum	PC	Parcel
		EN	Envelope	PG	Plate
		FC	Crate, Fruit	PH	Pitcher
BT	Bolt	FD	Crate, Framed	PI	Pipe
BU	Butt	FI	Firkin	PK	Package
BV	Bottle, Protected, Bulbous	FL	Flask	PL	Pail
		FO	Footlocker	PN	Plank
BX	Box	FP	Flimpack	PO	Pouch
BY	Board in Bundle/ Bunch/Truss	FR	Frame	PT	Pot
		GB	Bottle, Gas	PU	Tray or Tray Pack
BZ	Bars in Bundle/ Bunch/Truss	GI	Girders		
		Code	**Description**		

Note 2 - Continued

Code	Description
PY	Plates, in Bundle/Bunch/Truss
PZ	Planks or Pipes, Bundle/Bunch
RD	Rod
RG	Ring
RL	Reel
RO	Roll
RT	Rednet
RZ	Rods in Bundle/Bunch/Truss
SA	Sack
SC	Crate, Shallow
SD	Spindle
SE	Sea-chest
SH	Sachet

Code	Description
SK	Case, Skeleton
SL	Slipsheet
SM	Sheetmetal
ST	Sheet
SU	Suitcase
SW	Shrink-wrapped
SZ	Sheets in Bundle/Bunch/Truss
TB	Tub
TC	Tea-chest
TD	Tube, Collapsible
TK	Tank, Rectangular
TN	Tin
TO	Tun
TR	Trunk
TS	Truss
TU	Tube

Code	Description
TY	Tank, Cylindrical
TZ	Tubes in Bundle/Bunch/Truss
VA	Vat
VG	Bulk Gas at 1031 MBAR
VI	Vial
VL	Bulk Liquid
VO	Bulk, Solid, Lg. Particles
VP	Vacuum-Packaged
VQ	Bulk Liquefied Gas
VR	Bulk, Solid, Granular Particles
VY	Bulk, Solid, Fine Particles
WB	Wicker bottle

Record Identifier FD03 (Input)

This is a mandatory Food and Drug Administration input record that provides data pertaining to the value, consignee, brand name, and container dimensions.

Record Identifier FD03 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal FD.	
Record Type	2N	3-4	M	Must always equal 03.	
FDA Value by FDA Line	10N	5-14	C	The value associated with the FDA line item number in whole dollars.	
FDA Consignee (FDA Establishment Indicator - FEI)	12N	15-26	C	The FDA Ultimate Consignee or "ship to site" consignee number assigned by FDA. Right justify. If the CBP entry level ultimate consignee is foreign based, this data element is mandatory.	
Trade or Brand Name	38X	27-64	C	The make of the article by manufacturer or distributor from the label or invoice.	
Container Dimension #1	4N	65-68	C	The first dimension of the container. If the container is rectangular, the dimensions are in width, height, and length order. If the container is cylindrical, the dimensions are in diameter and height order. Container dimension information is restricted to use with Acidified and Low Acid canned foods.	
Container Dimensions #2	4N	69-72	C	The second dimension of the container. If the container is rectangular, the dimensions are in width, height, and length order. If the container is cylindrical, the dimensions are in diameter and height order.	
Container Dimensions #3	4N	73-76	C	The third dimension of the container. If the container is rectangular, the dimensions are in width, height, and length order.	
Filler	4AN	77-80	M	Space fill.	

Record Identifier FD04 (Input)

This is a conditional Food and Drug Administration input record that provides data pertaining to the quantity, unit of measure, contact name, phone number, and value per unit base.

Record Identifier FD04 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2A	1-2	M	Must always equal FD.	
Record Type	2N	3-4	M	Must always equal 04.	
Unit 6 - Quantity	10N	5-14	C	The sixth or base quantity if it exists associated with the FDA line item number. Two decimal places are implied. If the value is a whole number, the two low-order positions contain zeros.	1
Unit 6 - Measure	4A	15-18	C	The unit of measure associated with the sixth quantity if it exists.	
Contact Name	10A	19-28	C	The name of the individual that FDA can contact. If the product is subject to BTA prior notice requirements, this data element is mandatory. May be different from submitter.	
Contact Telephone Number	10N	29-38	C	The telephone number of the contact person. Omit dashes. If the product is subject to BTA prior notice requirements, this data element is mandatory. May be different from submitter.	
Value per Base Unit	12N	39-50	C	Do not program this data element unless requested by CBP ACS.	
Filler	30AN	51-80	M	Space fill.	

Note 1

The base quantity must always be the last quantity transmitted. If six quantities and units of measure are transmitted, the base quantity and associated unit of measure must appear in the sixth quantity and unit of measure fields (FD04 record positions 5-14 and 15-18 respectively).

If one quantity and unit of measure are transmitted, the base quantity and associated unit of measure must appear in the first quantity and unit of measure fields (FD02 record positions 5-14 and 15-18 respectively).

Record Identifier FD05 (Input)

This is a conditional Food and Drug Administration input record that provides data pertaining to the affirmation of compliance code and affirmation of compliance qualifier. Use the FD01 record if there is only one Affirmation of Compliance Code; otherwise, use this record. Repeat this record as often as necessary.

Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	2A	1-2	M	Must always equal FD.	
Record Type	2AN	3-4	M	Must always equal 05.	
Affirmation of Compliance Code	3X	5-7	M	A code used to affirm compliance with FDA requirements. If the merchandise is subject to BTA, use this field to report the appropriate affirmation of compliance information. The affirmation of compliance codes and qualifiers are listed in Appendix O of this document.	
Affirmation of Compliance Qualifier	25X	8-32	C	If the compliance indicator is "Y" this data element is mandatory.	
Filler	48AN	33-80	M	Space fill.	

Record Identifier FD05 (Input) header row is the table title.

Record Identifier BN01 (Output)

This is a mandatory record used to transmit FDA BTA prior notice (PN) error and status messages from CBP to the filer as they are received from FDA. This record must precede the BN02 record.

Record Identifier BN01 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal BN01.	
Header Identifier	1AN	5	M	Must be equal to: A = Airway Bill + HAWB. The first three numeric characters representing the airline, is part of the air waybill number. E = Entry Number submitted through HN, HI or EI applications. N = Entry Number submitted through the WP application. I = In-bond Number B = Bill of Lading Number. The issuer four alpha SCAC code is the first four characters and is part of the bill of lading number F = FTZ Admissions Number	
Header Identifier Key	25AN	6-30	M	Must be the value for the Header Identifier as appropriate (e.g., the actual entry number, the in-bond number, etc.). The first four characters of the ocean, rail and truck bill of lading (B), is the issuer alpha SCAC code and is part of the bill of lading. The first three numeric characters of the air waybill (A) appear before the actual air waybill number and is separated by a hyphen: NNN-NNNNNNNN. Use a second hyphen to separate the air waybill from the house air waybill if it exists. For transactions identified by header identifiers N, I, B, F, or E use the actual number with no dashes, slashes, or other special characters.	
Filler	50AN	31-80	M	Space fill.	

Record Identifier BN02 (Output)

This is a mandatory FDA BTA prior notice output record that transmits FDA BTA prior notice confirmation number or a reject and/or status message associated with the prior notice transaction at the FDA line level. This record will follow the BN01 record. At least one BN02 record is mandatory. This record may be repeated as often as necessary.

Record Identifier BN02 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	4AN	1-4	M	Must always equal BN02.	
CBP Entry Line	3N	5-7	C	Applies only when the header transaction identifier in the BN01 record is "E", otherwise, space fill.	
FDA Line	4N	8-11	M	The line number applicable to the transaction.	
Prior Notice Confirmation Number	12AN	12-23	C	The BTA Confirmation Number received from FDA when Prior Notice received.	1
Prior Notice Line Message	26AN	24-49	M	Prior Notice line level message from FDA (FDA line).	
Prior Notice Receipt Date	8N	50-57	C	Date received from FDA when Prior Notice received in MMDDYYYY (month, day, year) format.	2
Prior Notice Receipt Time	6N	58-63	C	Time received from FDA when Prior Notice received in HHMMSS (hour, minute, second) format.	2
Prior Notice Line Reject Codes	16AN	64-79	C	Codes identifying reject reasons when Prior Notice Rejected.	3
Filler	1X	80	M	Space Fill.	

Note 1

The prior notice confirmation number is the validation and certification from FDA that the prior notice data was received for the merchandise. This should be retained by the filer.

Note 2

The prior notice receipt date and time are returned when FDA generates a prior notice received message to the filer for the merchandise. This information should be retained with the confirmation number.

Note 3

Valid line Reject codes. Codes are positional allowing each line to multiple Reject Codes:

Pos.	Code	Description
64	**F**	Foreign Consignee
65	**R, F, M**	Invalid Registration (R – Missing Registration, F – Registration not on file, M – Mismatch in Registration)
66	**Q**	Invalid Quantity
67	**M**	Invalid MID for Manufacturer
68	**S**	Invalid MID for Shipper
69	**I**	Invalid Importer for FDA
70	**C, U**	Invalid Consignee for FDA (C – Invalid Consignee, U – Unknown or Consolidated Consignee)
71	**Z**	Invalid State/Zip for US Manufacturer
72	**O**	Invalid Country of Origin
73	**S**	Invalid Country of Shipping
74	**N**	Other Reject Reason – Call FDA
75	**P**	PN Data Required (Applies to Entry Filers Only – Use CP to input PN data
76	**W**	Warning PN Data Not Required (Filer will not receive a confirmation number)
77	**E**	Invalid Exemption (FME) Qualifier
78	**C, E, X**	Prior Notice Confirmation (PNC) is not valid (C – Invalid PNC Number, E – Expired PNC Number, X – Cancelled PNC Number)

Record Identifier DTDT01 (Output)

This is an optional other government agency output record that provides data to the filer that entry data contains an error. This reject output message is initiated by the other government agency, not ABI. Upon receiving this reject output record, a "CP" correction transmission should be used to correct the OGA data elements.

Record Identifier DTDT01 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2N	1-2	M	Must always equal 01.	
Filer Code	3AN	3-5	M	A code identifying the entry filer.	
Entry Number	8N	6-13	M	A code identifying the entry.	
Agency Type Code	2A	14-15	M	The code FD (Food and Drug Administration) indicating the agency.	
Filler	1AN	16	M	Space fill.	
Header Message	13A	17-29	M	Must equal DATA REJECTED.	
Filler	51AN	30-80	M	Space fill.	

Record Identifier DTDT02 (Output)

This is an optional other government agency output record that provides data to the filer that entry data contains an error. This reject output message is initiated by the other government agency, not ABI. Upon receiving this reject output record, a "CP" correction transmission should be used to correct the OGA data elements.

Record Identifier DTDT02 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	2N	1-2	M	Must always equal 02.	
CBP Line	3N	3-5	M	The line-item number from the CBP Form 7501 (Entry Summary).	
Tariff Position	1AN	6	C	A number from 1-8 identifying the tariff position if the entry was transmitted through Entry Summary; otherwise, space fill.	
Line	3N	7-9	M	The line number applicable to the other government agency form requirement.	
Sequence Line	3N	10-12	M	Not currently active.	
Line Message	13A	13-25	M	Must always equal LINE REJECTED.	
Filler	55AN	26-80	M	Space fill.	

Record Identifier HD (Input)

This is a mandatory other government agency input header record that precedes the corrected other government agency data elements records. The HD record must be followed by a LN record.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier HD (Input)					
Agency Type Code	2A	1-2	M	The code FD (Food and Drug Administration) identifying the agency.	
Control Identifier Header Record	2A	3-4	M	Must always equal HD.	
Filer Code	3AN	5-7	M	A code identifying the entry filer.	
Entry Number	8N	8-15	M	A code identifying the entry.	
Filler	65AN	16-80	M	Space fill.	

Record Identifier LN (Input)

This is a mandatory other government agency input header record that precedes the corrected other government agency data elements records.

Record Identifier LN (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Agency Type Code	2A	1-2	M	The code FD (Food and Drug Administration) identifying the agency.	
Control Identifier Line Record	2A	3-4	M	Must always equal LN.	
CBP Line	3N	5-7	M	The CBP line item number identifying the other government agency item.	
Tariff Number	10N	8-17	M	The tariff number associated with the line item number. This number must match the tariff number that was originally filed and must be sequenced in the exact order within the entry as originally transmitted when certified for cargo release.	
Filler	63AN	18-80	M	Space fill.	

Record Identifier HD (Output)

This is an optional other government agency output record that is generated once a successful "CP" transmission is accepted by ABI for correction of the OGA data.

Record Identifier HD (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Agency Type Code	2N	1-2	M	The code FD (Food and Drug Administration) identifying the agency.	
Control Identifier Header Record	2A	3-4	M	Must always equal HD.	
Filer Code	3AN	5-7	M	A code identifying the entry filer.	
Entry Number	8N	8-15	M	A code identifying the entry.	
Data Replaced Message	26A	16-41	M	Must always equal DATA REPLACED AS REQUESTED.	
Filler	39AN	42-80	M	Space fill.	

Record Identifier ER (Output)

This is an optional other government agency output record that provides an error message.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier ER (Output)					
Agency Type Code	2A	1-2	M	The code FD (Food and Drug Administration) identifying the agency.	
Record Type	2A	3-4	M	Must always equal ER.	
Filer	3AN	5-7	M	A code identifying the entry filer.	
Entry Number	8N	8-15	M	A code identifying the entry.	
CBP Line	3N	16-18	M	The CBP line item number identifying the other government agency item containing an error.	
Tariff Number	10N	19-28	M	The tariff number associated with the line item number containing an error. This number must match the tariff number that was originally filed and must be sequenced in the exact order within the entry as originally transmitted when certified for cargo release.	
Error Message Identifier	3AN	29-31	M	A code identifying the error message.	1
Narrative Message	40A	32-71	M	A narrative description of the error.	1
Filler	9AN	72-80	M	Space fill.	

Note 1

Valid error messages are:

Code	Description
VEF	ENTRY NOT IN FWS REJECT STATUS (Not Currently Active)
VEG	LINE SEQ/TARIFF NUMBER MISMATCH
VEN	FDA LINE ALREADY REJECTED
FDK	ENTRY NOT IN FDA REJECT STATUS
FDL	NO FDA RECORD ON FILE FOR ENTRY
VEP	ENTRY HAS NO FDA DATA
VGG	FDA MFR NOT ON FILE
VGI	FDA SHPPR NOT ON FILE
VGO	FDA VALUE EXCEED USCS VALUE
VGU	FDA NOTIFICATION NOT REQD
1AS	ENTRY NOT FOUND
564	LINE MISSING OUT OF SEQUENCE/ INVALID