

Cargo Release

This chapter provides records pertaining to cargo release processing, certification, and cargo release transaction processing results.

TRANSACTION PROCESSING .. CRL-3
A description of the records required to process a cargo release transaction.

CERTIFICATION .. CRL-4
A description of the records required for cargo release certification.

PROCESSING RESULTS.. CRL-5
A description of the records that provide cargo release processing results.

RECORD DESCRIPTIONS

Record Identifier H1 (Input) ..CRL-8
A mandatory cargo release input record that provides data pertaining to the update action.

Record Identifier H2 (Input) .. CRL-12
A mandatory cargo release input record that provides data pertaining to the location of the goods.

Record Identifier HA (Input)..... CRL-16
A conditional cargo release input record that provides data pertaining to the in-bond number and master, house and sub-house bill numbers.

Record Identifier H5 (Input) .. CRL-21
A mandatory cargo release input record that provides data pertaining to the country of origin, tariff number, manufacturer/shipper, line item, ultimate consignee and line item value.

Record Identifier H6 (Output)..... CRL-22
A mandatory cargo release output record that provides either an error or acceptance message.

Record Identifier R1 (Output)..... CRL-24
A mandatory cargo release processing results output record that provides data pertaining to the carrier code, vessel name, voyage/flight/trip manifest number, and date of arrival.

Record Identifier R3 (Output)..... CRL-27
A conditional cargo release processing results output record that provides data pertaining to the country of origin and tariff number.

Record Identifier R4 (Output)..... CRL-28
A conditional cargo release processing results output record that provides data pertaining to the in-bond number and master, house and sub-house bill numbers.

Record Identifier R5 (Output)..... CRL-30
A mandatory cargo release processing results output record that provides a narrative message pertaining to the disposition action.

Customs and Trade Automated Interface Requirements

Record Identifier R6 (Output)..... CRL-32

A conditional other government agency disposition output record that provides data pertaining to disposition date, time, status code, and narrative message.

Record Identifier R7 (Output)..... CRL-34

A conditional other government agency/quota narrative description output record that provides data pertaining to the other agency/quota identifier, line number, narrative code and message.

Cargo Release

The cargo release chapter contains three separate sections pertaining to cargo release: transaction processing, certification for cargo release, and cargo release processing results.

Transaction Processing

Cargo release processing is available only to users operational on entry summary in the specified port of release.

When the entry summary, Customs Form (CF) 7501, data is not available, or if use of summary data is not desired, entry (CF-3461) data may be used for cargo release processing. Data submitted for the first time must be provided on an add transaction. Data may later be replaced or deleted.

For cargo release processing to take place, cargo release transaction (entry) input data must reside in the ACS entry file in an error-free status and must have been certified by entering *I* in the Release Certification Code, position 41, of the cargo release input record (Record Identifier H1). Transaction data containing errors will be rejected and not stored in ACS; therefore, the transaction will not be certified until the error conditions are resolved and the data is retransmitted to ACS. Changes to error-free data can be made by providing a replace transaction up until the time selectivity is performed. Data validations are treated as if the transaction were an add. Any data previously submitted and resident in ACS is replaced.

Two options are available in electing the date of entry. If the date of presentation is elected (*P* as the Entry Date Election Code, Record Identifier H2), the presentation date is used as the date of entry. If the presentation date

(positions 50-55) entered in Record Identifier H1 is prior to the estimated vessel arrival date (positions 34-39), the transaction is rejected.

If the entry is prefiled and the date of arrival is elected as the entry date, enter *A* as the Entry Date Election Code. If neither the presentation date nor the date of arrival is elected, Customs determines the appropriate entry date by established procedures.

For air shipments, cargo release selectivity processing is initiated on or after arrival depending on when the cargo release data is added to the file and is certified.

On vessel shipment, cargo release selectivity processing is initiated on the cargo release data no sooner than five days prior to the arrival of the vessel, or on or after arrival, depending on when the cargo release data is added to the file and is certified.

Cargo release data may be submitted for release processing with the estimated date of arrival up to 90 days in the past and 90 days in the future.

Other government agency requirements are part of cargo release processing. For additional information on other government agency requirements, refer to the Other Government Agencies chapter in this document.

Input: Record Identifiers H1 and H2 are mandatory cargo release transaction input records. Record Identifier HA is a conditional cargo release input record while Record Identifier H5 is a mandatory cargo release input record.

Note: If other government agency records are required, they follow the H5 record. For additional information on other government agency requirements, refer to the Other Government Agencies chapter in this document.

The application identifier on Record Identifier B is HI. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: Record Identifier H6 is a mandatory cargo release transaction output record. The record on which the error occurs (1, 2, A, or 5) is always returned. Record Identifier H6 follows each of the error records. This record contains a description of the error condition. If the transmission is error-free, the H1 and H2 records are returned with the H6 record that contains the message DATA ADDED AS REQUESTED.

If the cargo release input transaction has been certified for cargo release processing, by entering 1 in the Cargo Release Certification Code the output record also contains the message CARGO RELEASE DATA CERTIFIED, or other certification messages.

By prior arrangement with Customs, the user may elect not to receive acknowledgments for error-free transactions.

Record Identifier R6 is a conditional other government agency disposition output record while Record Identifier R7 is a conditional other government agency/quota narrative description output record. Record Identifier R7 is reserved for future use.

The application identifier on Record Identifier B is HR. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Certification

Cargo release processing is available only to users who are operational on entry summary. The entry data or entry summary data to be certified must process error free and be in the Automated Commercial System (ACS) entry file.

Cargo release input may be certified for cargo release processing by entering 1 in the Release Certification Code (position 41, Record Identifier H1). Entry summary input data may also be certified for cargo release processing by entering 1 in the Release Certification Code (Position 38, Record Identifier 30). Certifying an entry summary for cargo release does not certify an entry summary for summary filing. These are two distinct functions. Refer to the Transaction Processing section of this chapter and the Entry Summary chapter of this document for additional information on certification.

Cargo release processing, which results in tentative release determinations, is initiated automatically by ACS but no sooner than five days prior to the estimated date of arrival of the importing conveyance. For air shipments, cargo release processing is initiated on or after arrival of the aircraft. When cargo release processing is initiated, all entries or entry summaries which have been certified for cargo release processing are processed by ACS to determine examination procedures. Filers may certify release data at any time after the entry data is established in ACS - up to 90 days prior to or 90 days after the estimated date of arrival.

Rules which must be followed in certifying entry or entry summary data for release processing are:

- If a certification or provisional release has already occurred for the given entry number, the subsequent certification transaction is rejected. The data transmitted initially remains unaffected.
- The U.S. Customs Service considers certification a meaningful function, which is approximately equivalent to presenting the entry document package in the planned paperless environment. However, if necessary, certified cargo release data (entry or entry summary) may be replaced (and must be recertified) or deleted by the filer through ACS **up until the time Customs cargo release processing occurs and provisional release notification is system generated.** Once this processing of the cargo release data occurs, the data is considered to be in a Customs status and cannot be changed or deleted through ACS. Necessary changes must be made by the filer as pen and ink changes on the entry release documents. Customs will make the appropriate corrections to the stored data as reflected by the changes marked on the documents. If cargo release processing has occurred and the data needs to be deleted because of non-arrival, Customs must be notified. This option is only used for entries with results of ENTRY DOCUMENTS REQUIRED. For paperless entries, the selectivity must be deleted and the entry retransmitted.
- If entry summary data is certified for cargo release, Customs retains the entry (cargo release) data in a separate ACS file. Consequently, the entry summary data can be changed as necessary through ACS up until the time the entry summary is certified for filing or the entry summary is presented at the Customhouse. **If the entry is ABI, the entry summary must also be ABI.**
- If the mode of transportation code in Record Identifier 20 (positions 28-29) is 10 (vessel, noncontainer) or 11 (vessel, container), the importing vessel name in Record Identifier 20 (positions 8-27), cannot be blank. There must be a valid importing vessel name. If there is an air waybill number reported on Record Identifier 22, the mode of transportation code reported in Record Identifier 20 must be 40 (air) and the first three positions of the air waybill number must be a valid numeric code designated for the international air carrier.

Processing Results

Cargo release processing results are provided shortly after cargo release processing occurs. Output consists of the provisional release status for all entries and entry summaries provided through ACS, which were certified for cargo release processing. The output file is sorted by district/port with a separate block for each district. For each district/port, the records are listed in numerical sequence by disposition code and then by entry number. Disposition codes appear in Record Identifier R5. That is, for the first district code appearing in numeric sequence, a separate B through Y block is created.

The disposition message is system generated no sooner than five days prior to the estimated date of arrival. Documents must be presented for selectivity processing. Following selectivity processing, a second release message is system generated which indicates the exam status of the entry. If the exam status is overridden, a message indicating the revised exam status is system generated. When intensive exam is completed, the message INTENSIVE EXAM/RELEASE COMPLETE is system generated.

Changes in cargo disposition are also provided to filers via these records. For example, if a general exam designation is overridden to intensive, that change is noted.

Note: Advice provided by Customs through ACS on cargo disposition is strictly provisional in nature and is always subject to change up until the time of actual release.

Input: Record Identifiers H1, H2, H5, and H6 are the only mandatory cargo release input records; Record Identifier HA is conditional.

Output: Record Identifier R1 is a mandatory cargo release processing results output record that provides provisional release notification. Record Identifiers R3 and R4 are conditional. Record Identifier R5 is a mandatory cargo release processing results output record that provides the release notification.

The application identifier on Record Identifier B is RR. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

User Notes:

Record Identifier H1 (Input)

This is a mandatory cargo release input record that provides data pertaining to the update action code, district/port of entry, entry filer code, entry number, importer number, mode of transportation, estimated date of arrival, bond type code, release certification code, presentation date, importing vessel code, carrier code, district/port of unloading, entry type, surety code, and requirements of other Government agencies.

<i>Record Identifier H1 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1N	2	M	Must always equal 1.	
Update Action Code	1A	3	M	A code representing the update action.	1
District/Port of Entry	4N	4-7	M	A code representing the district/port of entry. Generally, the district code is the same as the District Code (except in the case of authorized cross district processing) contained in the block control header record (Record Identifier B); however, the port code may be any valid port within the given district. Record the district/port code where the merchandise was entered under an entry or immediate delivery permit. Valid district/port codes can be queried through the Extract Reference File chapter of this document.	
Entry Filer Code	3AN	8-10	M	A unique code assigned by the U.S. Customs Service to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed. This code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Entry Number	9AN	11-19	M	The number assigned to the entry. If the entry number contains less than nine positions, it is right justified. For additional information on valid entry number formats, refer to Appendix E.	
Importer Number	12X	20-31	M	A code representing the importer of record.	2

Record Identifier H1 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Mode of Transportation (MOT) Code	2N	32-33	C	A code representing the mode of transportation. Valid mode of transportation codes are listed in Appendix B. For entry type 06 (Foreign Trade Zone), do not input a Mode of Transportation Code.	
Estimated Date of Arrival	6N	34-39	M	A numeric date in MMDDYY (month, day, year) format representing the estimated date of arrival. Be sure to keep the estimated date of arrival current in the Automated Commercial System (ACS). If the date needs to be changed, transmit a replace transaction and then a new certification transaction for the entry.	
Bond Type Code	1N	40	M	A code representing the bond type. Valid Bond Type Codes are: 0 = No bond required 8 = Continuous bond 9 = Single entry bond	
Release Certification Code	1N	41	C	A code of 1 if the entry is being certified for cargo release processing; otherwise, space fill.	
Filler	8AN	42-49	M	Space fill.	
Presentation Date	6N	50-55	C	A numeric date in MMDDYY (month, day, year) format representing the presentation date. If P is entered in the Entry Date Election Code (position 23) in Record Identifier H2, this date is required; otherwise, space fill.	
Importing Vessel Code	5AN	56-60	C	The Bureau of the Census vessel code. This code is located on the bill of lading and is provided by the carrier. If this code is not available, the Vessel Name must be provided in Record Identifier H2 (positions 60-79).	

Record Identifier H1 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Carrier Code	4AN	61-64	C	A code identifying the carrier. This code is required for air and sea shipments only at the current time. This code may be required in the future for other modes of transportation. If the mode of transportation code is <i>10</i> or <i>11</i> (vessel shipments), enter the appropriate 4-position Standard Carrier Alpha Code (SCAC) as issued by the National Motor Traffic Association Inc. This code is usually located on the bill of lading. If it is not, the carrier should be able to provide it. If the mode of transportation code is <i>40</i> (air shipments), enter the appropriate 2-position carrier abbreviation, left justified. For a description of the mode of transportation codes, refer to Appendix B. Air carrier codes may be obtained by querying the Extract Reference File. For additional information, refer to the Extract Reference File chapter of this document. For entry type <i>06</i> (Foreign Trade Zone), do not input a Carrier code.	3
District/Port of Unlading	4N	65-68	C	A code representing the district/port where merchandise was unladen from the carrier. Valid district/port codes can be queried through the Extract Reference File chapter of this document.	
Entry Type	2N	69-70	M	A code representing the entry type. Valid entry type codes are listed in Appendix B.	
Surety Code	3N	71-73	C	The surety code related to the bond. This code is required for single entry bonds.	
Other Government Agency (OGA) Codes	1X	74	C	Space fill. This code is for future use.	
Consignee Name and Address	1A	75	O	A code of 1 indicates that this entry transaction record will use a consignee name and address instead of a consignee number.	
Filler	5AN	76-80	M	Space fill.	

Note 1

Valid Update Action Codes are:

Code	Description
A	Add
D	Delete
R	Replace

If the update action code is D, the only data elements that must be provided are the Control Identifier, Record Type, District/Port of Entry, Entry Filer Code, and Entry Number.

Note 2

Valid formats for the Importer of Record Number, Ultimate Consignee, and 4811 Reference Number are:

NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN Number	Customs Assigned
NNN-NN-NNNN -CCCCCCCCCCC	Social Security Number Encrypted Number (Ultimate Consignee Only)

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned and *C* = character.

Note 2 - Continued

If the Importer of Record Number is in the IRS number format and the last two positions are blank, ACS converts the blanks to zeros so that the file search argument is consistent with the Customs Importer file. ACS output data may show the last two positions of the Importer of Record Number as zeros when two blanks were input.

Use of the old Customs-assigned number (NNNN-NNNN) expired July 1, 1992. All importer numbers with the old format will be placed in a void status.

Note 3

If the carrier file does not contain a specific air carrier code, enter one of the following codes in the first two positions of the unlisted carrier designation code to designate the country in which the carrier is registered.

Code	Carrier Registration
*U	United States
*C	Canada
*F	Other Foreign Country

If a private aircraft is being entered under its own power, enter ** in the first two positions of the Carrier Code.

Record Identifier H2 (Input)

This is a mandatory cargo release input record that provides data pertaining to the location of the goods, ultimate consignee, entry date election code, voyage/flight/trip manifest number, total entry value, broker reference number, general order number, and vessel name.

Record Identifier H2 (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1N	2	M	Must always equal 2.	
Location of Goods	4AN	3-6	M	A Facilities Information and Resources Management System (FIRMS) code. This code is a 4-position serial number. For additional information on FIRMS codes, refer to the Extract Reference File chapter of this document.	
Filler	4AN	7-10	M	Space fill.	
Ultimate Consignee Number	12X	11-22	C	A code identifying the ultimate consignee. If there are multiple ultimate consignee numbers to be reported leave this field blank and report them in the H5 record. If a 1 is reported in the consignee name and address field, leave blank.	1
Entry Date Election Code	1X	23	C	A code representing the Entry Date Election Code. Valid Entry Date Election Codes are: P = Date of Presentation A = Date of Arrival	
Voyage/Flight/ Trip Manifest Number	5X	24-28	C	The voyage/flight/trip number of the importing carrier. The voyage/ flight/trip number is mandatory for mode of transportation codes 10, 11, 40 and 41. If the mode of transportation code is 40 (air), enter the flight number. If the mode of transportation code is 10 or 11 (vessel), enter the complete voyage number. For example, if the voyage number is V311W, enter all five characters. For a description of the mode of transportation codes, refer to Appendix B. In the future, this field may be required for others if the automated manifest interfaces are established between Customs and rail/truck (road) carriers. For entry type 06 (Foreign Trade Zone), do not input a Voyage/ Flight/Trip Manifest Number.	2
Total Entry Value	10N	29-38	M	The total entered value of the entry in whole dollars.	
Record Identifier H2 (Input)					

Customs and Trade Automated Interface Requirements

Data Element	Length/ Class	Position	Status	Description	Note
Broker Reference Number	9X	39-47	O	An optional code provided by the participant. This field is not edited during ACS processing. It is for internal user system control in cargo release processing.	
General Order (GO) Number	12AN	48-59	C	The general order (GO) number, if applicable, assigned to the entry.	
Vessel Name/ Foreign Trade Zone Number	20X	60-79	C	If the mode of transportation code is <i>10</i> or <i>11</i> (vessel) and the importing vessel code is not provided in the H1 record, the importing vessel name is required. The vessel name is also required if the entry is a paired entry. For additional information on paired entry, refer to Customs Directive 3200-18 dated August 21, 1987. If the name exceeds 20 positions, enter the first 20 and truncate the excess. Type 06 entries require an FTZ number. The first three positions of a Foreign Trade Zone number are always <i>FTZ</i> followed by a three-position character code.	
Filler	1AN	80	M	Space fill.	

Note 1

Valid formats for the Ultimate Consignee are:

NN-NNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNN Number	Customs Assigned
NNN-NN-NNNN -CCCCCCCCC	Social Security Number Encrypted Number

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned, and *C* = character.

If the Importer of Record Number is in the IRS number format and the last two positions are blank, ACS converts the blanks to zeros so that the file search argument is consistent with the Customs Importer file. ACS output data may show the last two positions of the Importer of Record Number as zeros when two blanks were input.

Note 1 - Continued

Use of the old Customs-assigned number (NNNN-NNNN) expired July 1, 1992. All importer numbers with the old format will be placed in a void status.

Note 2

Valid flight number formats for operational air manifest ports and carriers are NNN, NNNN, NNNA or NNNNA. In these codes, *N* = numeric and *A* = alphabetic.

User Notes:

Record Identifier HA (Input)

This is a conditional cargo release input record that provides data pertaining to the in-bond number, master bill number, house bill number, sub-house bill number, quantity, unit, immediate transportation (IT) date and issuer of master bill number. Additional HA Records are used to report multiple bill of lading/air waybill and IT data. This data is required for all air and vessel shipments including those with ITs.

<i>Record Identifier HA (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1A	2	M	Must always equal A.	
In-bond Number	12AN	3-14	C	The in-bond number as listed on the manifest. If the in-bond number is less than 12 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters.	1
Master Bill Number	12AN	15-26	M	The master bill number as listed on the manifest. If the number is less than 12 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters. For entry type 06 (Foreign Trade Zone), do not input a Master Bill Number.	2
House Bill Number	12AN	27-38	C	The house bill number as listed on the manifest. If the number is less than 12 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters.	2
Sub-House Bill Number	12AN	39-50	C	The sub-house bill number as listed on the manifest. If the number is less than 12 positions, it is left justified. Do not include spaces, hyphens, slashes or other special characters.	2
Quantity	8N	51-58	M	Enter the quantity associated with the lowest level of the bill number being reported. It is the smallest exterior packaging unit.	3

Record Identifier HA (Input)					
Data Element	Length/ Class	Position	Status	Description	Note
Unit	5X	59-63	C	The unit of measure as indicated on the bill of lading/air waybill. The standard generic unit of pieces (PCS) is acceptable when there are multiple units of measure associated with the bill of lading or air waybill; however, this does not necessarily relate to the unit of measure associated with the tariff schedule number in the <i>Harmonized Tariff Schedule of the United States Annotated</i> .	
Immediate Transportation (IT) Date	6N	64-69	C	A numeric date in MMDDYY (month, day, year) format representing the IT date related to the in-bond number. To combine ITs, they must have the same IT date.	
Issuer Code of Master Bill Number	4AN	70-73	C	A code representing the Standard Carrier Alpha Code (SCAC) of the party who actually issued the ocean bill of lading. Do not confuse the issuer of the bill with the operator of the vessel. For entry type 06 (Foreign Trade Zone); do not input an Issuer of Master Bill Number.	
Filler	7AN	74-80	M	Space fill.	

Note 1

There are three valid formats for an in-bond number. They are:

Customs-assigned IT Number: A standard IT number listed on a Customs Form (CF) 7512C (Transportation Entry and Manifest of Goods) accompanying the original CF-7512 (Transportation Entry and Manifest of Goods Subject to Customs Inspection and Permit) that consists of nine alphanumeric positions; the last position is the check digit.

The method of calculating the check digit is MOD 7. To determine the check digit for an eight digit IT number, divide the first (high order) seven digits of the Customs-assigned IT number by seven. The whole number remainder is the check digit. If a nine-digit IT number is used, the first eight digits of the in-bond number are divided by seven and the whole number remainder is the check digit. **Note:** The check digit is not the decimal but the whole number remainder from long division.

For example, the check digit for Customs-assigned IT number 82345678/7 = 11763668 plus a remainder of 2. The complete IT number is reported as 823456782.

AMS Paperless Master In-Bond Number: The AMS carrier assigns this number to freight moving under the paperless master in-bond program. The first position of the number is constant. Positions 2 through 10 are alphanumeric. The last position is the check digit and is calculated using the same formula as the entry number.

Refer to Appendix E for information on the computation of the entry number check digit.

Note 1 - Continued

Air Waybill Number: The air carrier assigns the air waybill number.

This number consists of an 11-position numeric code. The first three positions identify the air carrier, the next seven positions are a sequential number, and the last position is a check digit. The check digit is calculated by the MOD 7 method described in Note 1.

Note 2

If the mode of transportation code is *40* (aircraft), the air waybill number must be reported. If the mode of transportation code is *10* or *11* (vessel), the bill of lading number must be reported. Valid codes are in Appendix B.

Note 3

If the transmission is for a straight bill of lading or air waybill, the quantity reported is the bill of lading quantity. If the transmission is for a house bill of lading or house air waybill, both the master bill and house bill numbers are reported but the only quantity reported is the house bill quantity. Similarly, if a sub-house bill is being reported, numbers for all levels of the bill would be transmitted but only the sub-house quantity would be sent.

Specific examples of how quantities are reported follow:

Note 3 - Continued

Example A

An air carrier reports AWB 111-2345678 with 146 pieces, traveling under five House AWBs. The data required in the transmission is:

Record Identifier HA	Master Bill No.	House Bill No.	Qty	Unit
1	11123456786	08344401	23	CTNS
2	11123456786	08344402	37	CTNS
3	11123456786	08344403	54	CTNS
4	11123456786	08344404	12	CTNS
5	11123456786	08344405	20	CTNS

The carrier reports quantity for the most detailed level, the house air waybill.

For example, Broker X, filing an entry for house bill 08344401 would enter the quantity for the house air waybill. The manifest quantity for the master bill is *not* reported. Only the quantity entered at the most detailed level of the bill (in this case the house bill level) is reported.

Broker Y files one entry to cover goods carried under house bills 08344402, 08344404, and 08344405. Three separate HA Records are required. They are:

Record Identifier HA	Master Bill No.	House Bill No.	Qty	Unit
1	11123456786	08344402	37	CTNS
2	11123456786	08344404	12	CTNS
3	11123456786	08344405	20	CTNS

Note 3 - Continued

Broker Z files two entries for house bill 08344403. Entry 1 is for 30 PCS (pieces); entry 2 is for 24 PCS. Two separate HA Records are required. They are:

Record Identifier HA	Master Bill No.	House Bill No.	Qty	Unit
1	1112346786	08344403	30	PCS
2	1112346786	08344403	24	PCS

The total quantity for the two entries cannot exceed the total house bill quantity.

Example B

An air carrier accepts a straight bill of lading for 110 PCS under master bill number 111-34567890. A straight bill of lading is reported as a master bill even though no house or sub-house bill exists. One HA Record is required.

Record Identifier HA	Master Bill No.	House Bill No.	Qty	Unit
1	11134567890	N/A	110	PCS

If multiple entries cover one master bill, report each entry with the master bill number. If multiple master bill numbers are covered by one entry, the quantity associated with each master bill number is reported.

Note 3 – Continued

Example C

In this example, an entry contains two IT (in-bond) numbers. The first IT number covers two bills of lading (B/L). One of the bill of lading numbers is broken down into two

Note 3 - Continued

sub-house bills of lading. The second IT number covers three bill of lading numbers, all of which are considered master bills of lading. Six HA Records are required to transmit the entry summary data. They are:

Record Identifier HA	I.T. No.	B/L No.	House Bill No.	Sub-house Bill No.	Qty.	UOM	IT Date
1	33324232	HKGS AV001	10	1A	10	CTNS	051289
2	33324232	HKGS AV001	10	1B	20	CTNS	051289
3	33324232	HKGS AV002	15		5	CTNS	051289
4	33324444	HKGS AV005			45	CTNS	051289
5	33324444	HKGS AV006			50	CTNS	051289
6	33324444	HKGS AV008			48	CTNS	051289

Record Identifier H5 (Input)

This is a mandatory cargo release input record that provides data pertaining to the record control number, country of origin, tariff number, and manufacturer/shipper line item ultimate consignee and line item value. This record is repeated as often as necessary.

<i>Record Identifier H5 (Input)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1N	2	M	Must always equal 5.	
Record Control Number	3N	3-5	M	The record control number begins with <i>001</i> and is incremented by one each time Record Identifier H5 is repeated.	
Country of Origin	2A	6-7	M	The International Organization for Standardization (ISO) country code representing the country of origin. Valid ISO codes are listed in Appendix B.	
Tariff Number	10AN	8-17	M	The appropriate duty/statistical reporting number under which the article is classified in the <i>Harmonized Tariff Schedule of the United States Annotated</i> (HTS).	
Manufacturer/ Shipper	15AN	18-32	M	A code representing the invoicing party. Refer to Customs Directive 3500-13, dated November 24, 1986, for the formula to derive the code.	
Line Item Ultimate Consignee	12X	33-44	C	A code identifying the line item ultimate consignee. If the ultimate consignee is reported in Record Identifier H2, there is no line item ultimate consignee.	
Line Item Value	10N	45-54	O	The line item value in whole dollars.	
Filler	26AN	55-80	M	Space fill.	

Record Identifier H6 (Output)

This is a mandatory cargo release output record that provides either an error or acceptance message.

<i>Record Identifier H6 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1N	2	M	Must always equal 6.	
District/Port of Entry	4N	3-6	M	A code representing the district/port of entry. Valid district/port codes can be queried through the Extract Reference File chapter of this document.	
Entry Filer Code	3AN	7-9	M	A unique code assigned by the U.S. Customs Service to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed. This code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Entry Number	9AN	10-18	M	The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E.	
Broker Reference Number	9X	19-27	C	A code representing the broker reference number. This data element is provided for the convenience of the Automated Commercial System (ACS) user. It is not edited or changed in any way during ACS processing. It is passed back to the user in various output records to allow easier referencing of the entry filer's records.	
Importer of Record Number	12X	28-39	M	A code identifying the importer of record.	1
Narrative Message	30X	40-69	M	A narrative message indicating the transaction has been accepted or rejected.	
Message Identifier Code	3AN	70-72	M	A code identifying the message.	
Filler	8AN	73-80	M	Space fill.	

Note 1

Valid formats for the importer of record number, ultimate consignee, and 4811 reference number are:

NN-NNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNN	Customs Assigned Number
NNN-NN-NNNN	Social Security Number
-CCCCCCCCCCC	Encrypted Number (Ultimate Consignee Only)

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned and *C* = character.

Note 1 - Continued

If the importer of record number is in the IRS number format and the last two positions are blank, ACS converts the blanks to zeros so that the file search argument is consistent with the Customs Importer file. ACS output data may show the last two positions of the importer of record number as zeros when two blanks were input.

Use of the old Customs-assigned number (NNNN-NNNN) expired July 1, 1992. All importer numbers with the old format will be placed in a void status.

Record Identifier R1 (Output)

This is a mandatory cargo release processing results output record that provides data pertaining to the district/port of entry, entry filer code, entry number, entry type code, importer of record number, broker reference number, carrier code, vessel name, voyage/flight/trip manifest number, and date of arrival.

<i>Record Identifier R1 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal R.	
Record Type	1N	2	M	Must always equal 1.	
District/Port of Entry	4N	3-6	M	A code representing the district/port of entry. Valid District/Port Codes can be queried through the Extract Reference File chapter of this document.	
Entry Filer Code	3AN	7-9	M	A unique code assigned by the U.S. Customs Service to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed. This code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Entry Number	9AN	10-18	M	The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E.	
Entry Type Code	2N	19-20	M	A code representing the entry type. Valid Entry Type Codes are listed in Appendix B.	
Importer of Record Number	12X	21-32	M	A code identifying the importer of record.	1
Broker Reference Number	9X	33-41	C	An optional code provided by the participant. This field is not edited during ACS processing. It is for internal user system control in cargo release processing.	

Record Identifier R1 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Carrier Code	4A	42-45	M	A code identifying the carrier. This code is required for air and sea shipments only. This code may be required in the future for other modes of transportation. If the mode of transportation code is 10 or 11 (vessel shipments), enter the appropriate 4-position Standard Carrier Alpha Code (SCAC) as issued by the National Motor Traffic Association Inc. This code is usually located on the bill of lading. If it is not, the carrier should be able to provide it. If the mode of transportation code is 40 (air shipments), enter the appropriate 2-position carrier abbreviation, left justified. For a description of the mode of transportation codes, refer to Appendix B. Air carrier codes may be obtained by querying the Extract Reference File. For additional information, refer to the Extract Reference File chapter of this document.	2
Vessel Name	20AN	46-65	C	If the mode of transportation code is 10 or 11 (vessel) and the importing vessel code is not provided in this record, the importing vessel name is required. The vessel name is also required if the entry is a paired entry. For additional information on a paired entry, refer to Customs Directive 3200-18 dated August 21, 1987. If the name exceeds 20 positions, enter the first 20 and truncate the excess.	
Voyage/Flight/ Trip Manifest Number	5X	66-70	M	The voyage/flight/trip number of the importing carrier. The voyage/flight/trip number is mandatory for mode of transportation codes 10, 11, 40 and 41. If the mode of transportation code is 40 (air), enter the flight number. If the mode of transportation code is 10 or 11 (vessel), enter the complete voyage number. For example, if the voyage number is V311W, enter all five characters. For a description of the mode of transportation codes, refer to Appendix B. In the future, this field may be required for others if the automated manifest interfaces are established between Customs and rail/truck (road) carriers.	3

Record Identifier R1 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Date of Arrival	6N	71-76	M	A numeric date in MMDDYY (month, day, year) format representing the date of arrival.	
Filler	4AN	77-80	M	Space fill.	

Note 1

Valid formats for the Importer of Record Number are:

NN-NNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNN	Customs Assigned Number
NNN-NN-NNNN	Social Security Number
-CCCCCCCCCCC	Encrypted Number (Ultimate Consignee Only)

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two digits of the calendar year when the number is assigned, *DDPP* = the district/port code where the number is assigned and *C* = character.

If the Importer of Record Number is in the IRS number format and the last two positions are blank, ACS converts the blanks to zeros so that the file search argument is consistent with the Customs Importer file. ACS output data may show the last two positions of the Importer of Record Number as zeros when two blanks were input.

Use of the old Customs-assigned number (NNNN-NNNN) expired July 1, 1992. All importer numbers with the old format will be placed in a void status.

Note 2

If the carrier file does not contain a specific air carrier code, enter one of the following codes in the first two positions of the unlisted carrier designation code to designate the country in which the carrier is registered.

Code Carrier Registration

*U	United States
*C	Canada
*F	Other Foreign Country

If a private aircraft is being entered under its own power, enter ** in the first two positions of the carrier code.

Note 3

Valid flight number formats are NNN, NNNN, NNNA or NNNNA. In these codes, *N* = numeric and *A* = alphabetic.

Record Identifier R3 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the country of origin and tariff number. This record is repeated as often as necessary.

<i>Record Identifier R3 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal R.	
Record Type	1N	2	M	Must always equal 3.	
Record Control Number	3N	3-5	M	This number is usually the same as the Record Control Number transmitted on the Record Identifier H5, Cargo Release, or the Line Item Number transmitted on Record Identifier 40, Entry Summary, if certified for cargo release.	
Country of Origin	2A	6-7	M	The International Organization for Standardization (ISO) country code representing the country of origin. Valid ISO codes are listed in Appendix B.	
Tariff Number	10AN	8-17	M	A code located in the <i>Harmonized Tariff Schedule of the United States Annotated</i> (HTS) representing the tariff number.	
Filler	63X	18-80	M	Space fill.	

Record Identifier R4 (Output)

This is a conditional cargo release processing results output record that provides data pertaining to the in-bond number, master bill number, house bill number, sub-house bill number, manifest quantity, unit and issuer code of master bill number.

<i>Record Identifier R4 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal R.	
Record Type	1N	2	M	Must always equal 4.	
In-Bond Number	12AN	3-14	C	The in-bond number as listed on the manifest. If the in-bond number is less than 12 positions, it is left justified. Spaces, hyphens, slashes and other special characters are not included.	
Master Bill Number	12AN	15-26	C	The master bill number as listed on the manifest. If the number is less than 12 positions, it is left justified. Spaces, hyphens, slashes and other special characters are not included.	
House Bill Number	12AN	27-38	C	The house bill number as listed on the manifest. If the number is less than 12 positions, it is left justified. Spaces, hyphens, slashes and other special characters are not included.	
Sub-House Bill Number	12AN	39-50	C	The sub-house bill number as listed on the manifest. If the number is less than 12 positions, it is left justified. Spaces, hyphens, slashes and other special characters are not included.	
Manifest Quantity	8N	51-58	C	The quantity associated with the lowest level of the bill number being reported. It is the smallest exterior packaging unit.	
Unit	5X	59-63	C	A code representing the unit of measure. Valid unit of measure codes are listed in Appendix B.	
Issuer Code of Master Bill Number	4AN	64-67	C	A code representing the Standard Carrier Alpha Code (SCAC) of the party who actually issued the ocean bill of lading. Do not confuse the issuer of the bill with the operator of the vessel.	
Filler	13AN	68-80	M	Space fill.	

User Notes:

Record Identifier R5 (Output)

This is a mandatory cargo release processing results output record that provides data pertaining to the disposition action date, time, code, and a narrative message. This record is repeated as often as necessary.

<i>Record Identifier R5 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal R.	
Record Type	1N	2	M	Must always equal 5.	
Disposition Action Date	6N	3-8	M	A numeric date in MMDDYY (month, day, year) format representing the disposition action date.	
Disposition Action Time	4N	9-12	M	The military time in HHMM (hour, minute) format representing the time of the disposition action.	
Disposition Action Code	2AN	13-14	M	A code representing the disposition action.	1
Narrative Message	33X	15-47	M	The narrative message associated with the disposition code.	1
Filler	7AN	48-54	M	Space fill.	
Release Date	6N	55-60	C	A numeric date in MMDDYY (month, day, year) format representing the release date. This data element is only returned if the Disposition Action Code is 22.	
Release Origin	2N	61-62	C	A code representing the action or date ACS has used to determine the current release date. This data element is only returned if the Disposition Action Code is 22.	2
Filler	18AN	63-80	M	Space fill.	

Note 1

The disposition message is system generated no sooner than five days prior to the estimated date of arrival. Valid Disposition Codes and their narrative messages are:

Code	Description
01	COND RELEASE GEN EXAM This is a conditional release, general examination.
02	COND RELEASE SPEC DOCUMENT REVIEW This is a conditional release, specific document review.
03	PENDING INTENSIVE EXAM
04	INTENSIVE EXAM/RELEASE COMPLETE
05	PAPERLESS ENTRY This is a final release message unless an override is performed.
06	ENTRY DOCUMENTS REQUIRED
07	OVERRIDE TO INTENSIVE
08	OVERRIDE TO GENERAL
11	FURTHER DOC REVIEW REQ'D
12	RLSE REMV'D – FURTHER DOC REVIEW REQ'D
13	FURTHER DOC REVIEW COMPLETED
16	PENDING CUSTOMS REVIEW
17	ELECTRONIC INVOICE REQUIRED
21	ENTRY DELETED BY CUSTOMS
22	RELEASE DATE UPDATE
23	ENTRY CANCELLED
24	ENTRY CANCELLATION UNSET
31	CST APPROVAL REQUIRED Commodity Specialist Team Approval Required
35	NO CF-7501 REQ'D FOR ENT SUMMARY
51	MANIFEST HOLD CUSTOMS
52	MANIFEST HOLD AGRICULTURE
53	MANIFEST HOLD OTHER AGENCY
54	CUSTOMS MANIFEST HOLD REMOVED
55	AGRICULTURE MANIFEST HOLD REMOVED
56	OTHER AGENCY MANIFEST HOLD REMOVED

Note 1 - Continued

Code	Description
71	AMS AIR CARRIER/CFS NOTIFIED
72	INCOMPLETE AMS AIR WAYBILL
73	AMS FLIGHT NOT DEPARTED/ARRIVED

Documents must be presented for selectivity processing. Following selectivity processing, a second release message is system generated which indicates the exam status of the entry.

If the exam status is overridden, a message indicating the revised exam status is system generated. When intensive exam is completed, the message INTENSIVE EXAM/RELEASE COMPLETE is system generated.

Note 2

Valid Release Origin codes are:

Code	Description
01	Selectivity Processing Date
02	Estimated Date of Arrival
03	Actual Arrival Date
04	Paperless Master In Bond Arrival Date
05	Intensive Exam Completed
06	Override to General Exam
07	Customs Manifest Hold Removed
08	Other Agency Review Completed
09	Release Date Update
99	Release Date Removed

Record Identifier R6 (Output)

This is a conditional other Government agency disposition output record that provides data pertaining to the other Government agency disposition date, time, status code, and narrative message.

This record is repeated as often as necessary.

Record Identifier R6 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal R.	
Record Type	1N	2	M	Must always equal 6.	
Other Agency/ Quota Identifier	3AN	3-5	M	A code identifying the other Government agency or quota requirement. Valid codes are: FDA = Food & Drug Administration FWS = Fish & Wildlife Service QTA = Quota	
Filler	4AN	6-9	M	Space fill.	
Other Agency Disposition Date	6N	10-15	C	A numeric date in MMDDYY (month, day, year) format representing the other Government agency disposition. This date does not apply to quota.	
Other Agency Disposition Time	4N	16-19	C	The military time in HHMM (hour, minute) format representing the time of the disposition action. This time does not apply to quota.	
Disposition/ Quota Status Code (Entry Level)	2AN	20-21	M	A code representing the disposition action.	1
Disposition/ Quota Status Message	33AN	22-54	M	The narrative message associated with the disposition code.	1
Disposition Code (line level)	2AN	55-56	C	The other agency disposition code.	2
Beginning USCS Line	3N	57-59	C	The beginning U.S. Customs Service (USCS) line number.	
Tariff Position	1AN	60	C	Space fill if certified from entry (application identifier HI or HN) or 1-8 if certified from entry summary (application identifier EI).	
Beginning OGA Line	3N	61-63	C	The beginning OGA line number.	
Range Indicator	4AN	64-67	C	If there is a range enter THRU.	
Ending USCS Line	3N	68-70	C	The ending USCS line item.	
Ending Tariff	1AN	71	C	Space fill if certified from entry (application identifier HI or HN) or 1-8 if certified from	

				entry summary (application identifier EI).	
Record Identifier R6 (Output)					
Data Element	Length/ Class	Position	Status	Description	Note
Ending OGA Line	3N	72-74	C	The ending OGA line number.	
Filler	6AN	75-80	M	Space fill.	

Note 1

Valid Disposition/Quota Status Messages are:

Code Description

00	FWS PAPERLESS
01	FWS REVIEW FDA REVIEW
02	FWS RELEASE FDA HOLD
03	FWS HOLD FEES FDA DO NOT DEVAN
04	FWS HOLD LICENSE FDA EXAM/SAMPLE
05	FWS HOLD INSPECTION FDA RELEASE
06	FWS HOLD FILE PAPER 3-177 FDA MAY PROCEED
07	FWS HOLD OTHER
08	FW COND REL/FW POST CLEARANCE REQ (FWS Conditional Release/FWS Clearance Required)
09	PENDING FWS PAPERLESS

Note 2

Valid FDA Processing Messages at the Line Level are:

Code Description

01	FDA EXAM
02	FDA EXAM, NOTIFY
05	FDA NOTIFY, EXAM, DO NOT DEVAN
06	FDA EXAM, REDELIVER
07	FDA MAY PROCEED
08	FDA RELEASED
09	FDA RELEASED W/COMMENT
10	FDA DETAINED
11	FDA CANCEL DETENTION
12	FDA REFUSED
13	FDA PARTIAL RELEASE/REFUSE
14	FDA DOCUMENTS REQUIRED

Record Identifier R7 (Output)

This is a conditional other Government agency/quota narrative description output record that provides data pertaining to the other agency/quota identifier, line number, narrative code, and narrative message.

<i>Record Identifier R7 (Output)</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal R.	
Record Type	1N	2	M	Must always equal 7.	
Other Agency/ Quota Identifier	3AN	3-5	M	A code identifying the other Government agency or quota requirement. Valid codes are: FDA = Food & Drug Administration FWS = Fish & Wildlife Service QTA = Quota	
Filler	4AN	6-9	M	Space fill.	
Line Number	3N	10-12	M	The entry line item number that is being acknowledged. Valid Line Numbers are 001 through 999.	
Narrative Code	3AN	13-15	M	A code identifying the narrative message.	
Narrative	40AN	16-55	M	The message associated with the narrative code.	
Filler	25AN	56-80	M	Space fill.	