

Entry Summary Query

This chapter provides records that allow entry summary data to be queried.

RECORD DESCRIPTIONS

- Record Identifier J1 (Input)**..... ENQ-3
A mandatory input record that provides the query criteria to access data on up to four entry summaries in the Entry Summary file.
- Record Identifier J0 (Output)**..... ENQ-5
A conditional query output record that provides a narrative message that the entry summary is not on file or access is not authorized.
- Record Identifier J1 (Output)**..... ENQ-6
A conditional output record that provides entry summary query data pertaining to a specific entry.
- Record Identifier J2 (Output)**..... ENQ-11
A conditional output record that provides entry summary query data pertaining to estimated duties and taxes, and liquidation data.
- Record Identifier J3 (Output)**..... ENQ-13
A conditional output record that provides entry summary query data pertaining to extension/suspension data.
- Record Identifier J4 (Output)**..... ENQ-15
A conditional output record that provides entry summary query data related to the Abbreviated Liquidated History file.
- Record Identifier J5 (Output)**..... ENQ-19
A conditional output record that provides entry summary query data pertaining to protest data.
- Record Identifier J6 (Output)**..... ENQ-22
A conditional output record that provides entry summary query data pertaining to bill data.
- Record Identifier J7 (Output)**..... ENQ-24
A conditional output record that provides entry summary query data pertaining to collection data.
- Record Identifier J8 (Output)**..... ENQ-27
A conditional output record that provides entry summary query data pertaining to multiple class codes.
- Record Identifier J9 (Output)**..... ENQ-30
A conditional output record that provides entry summary query data pertaining to transmission errors.

Entry Summary Query

A filer who is operational has the capability to query entry summary data. Safeguards exist which prohibit access to entry summary data unless the participant is responsible for filing the entry summary.

Input: Record Identifier J1 (Query Entry Summary Status) is a mandatory input record that provides the query criteria to access data on entry summaries.

The application identifier on Record Identifier B is JI. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: Record Identifiers J0, J1, J2, J3, J4, J5, J6, J7, J8 and J9 are conditional output records that provide data related to an entry summary.

The application identifier on Record Identifier B is JR. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Record Identifier J1 (Input)

This is a mandatory input record that provides the query criteria to access data on up to four entry summaries in the Entry Summary file. If there are fewer than four queries, space fill. If there are more than four queries, repeat this record as often as necessary.

| Record Identifier J1 (Input) | | | | | |
|-------------------------------------|--------------------------|-----------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 1. | |
| District/Port of Entry Summary | 4N | 3-6 | C | A code representing the district/port of entry summary. This code is required for entry numbers issued prior to October 1, 1986, and it is optional for entry numbers issued after that date. If no district/port of entry summary is provided, space fill. Valid district/port codes can be queried through the Extract Reference File chapter of this document. | |
| Broker Number or Entry Filer Code | 3AN | 7-9 | M | A unique code assigned by the Bureau of Customs and Border Protection (CBP) to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 7-9 of the J1 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 10-18 | M | The number assigned to the entry number. For additional information on valid entry number formats, refer to Appendix E. | |
| Second Entry Query | 16AN | 19-34 | O | The District/Port Code of Entry Summary, Broker Number or Entry Filer Code, and Entry Number for a second entry summary. | |
| Third Entry Query | 16AN | 35-50 | O | The District/Port Code of Entry Summary, Broker Number or Entry Filer Code, and Entry Number for a third entry summary. | |
| Fourth Entry Query | 16AN | 51-66 | O | The District/Port Code of Entry Summary, Broker Number or Entry Filer Code, and Entry Number for a fourth entry summary. | |

| Record Identifier J1 (Input) | | | | | |
|-------------------------------------|--------------------------|-----------------|---------------|----------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Collection/Bill Information Code | 1N | 67 | O | A code that provides collection and/or bill information. | 1 |
| Filler | 13AN | 68-80 | M | Space fill. | |

Note 1

Valid Collection/Bill Information Codes are:

Code Description

- 1** To receive all collection data related to the entry summary. Record Identifier J7 provides collection data related to the first two accounting class codes and amounts. If there are more than two accounting class codes and amounts, Record Identifier J8 is system generated until all accounting class codes and amounts are exhausted. For additional information related to Record Identifiers J7 and J8, refer to those records in this chapter.
- 2** To receive all the bill data related to the entry summary. Record Identifier J6 provides bill data. For additional information, refer to Record Identifier J6 in this chapter.
- 3** To receive both collection and bill data.

If there is no collection and/or bill information on file, Record Identifier J9 is returned.

Record Identifier J0 (Output)

This is a conditional query entry summary output record that provides data pertaining to the district/port of entry summary, broker number or entry filer code, entry number and narrative message. This record is returned if no data is on file or if access is not authorized for the given entry number.

| Record Identifier J0 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 0. | |
| District/Port of Entry Summary | 4N | 3-6 | C | A code representing the district/port of entry summary. This code is required for entry numbers issued prior to October 1, 1986, and it is optional for entry numbers issued after that date. If no district/port of entry summary is provided, space fill. Valid district/port codes can be queried through the Extract Reference File chapter of this document. | |
| Broker Number or Entry Filer Code | 3AN | 7-9 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 7-9 of the J0 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 10-18 | M | The number assigned to the entry number. For additional information on valid entry number formats, refer to Appendix E. | |
| Narrative Message | 30X | 19-48 | M | A narrative message that no data is on file or access is not authorized for the given entry number. | |
| Filler | 32AN | 49-80 | M | Space fill. | |

Record Identifier J1 (Output)

This is a conditional output record that provides entry summary query data pertaining to the district/port of entry summary, broker number or entry filer code, entry number, importer of record number, entry type, estimated duty, estimated tax, date of entry, summary filing date, reject date, collection status, release status, entry summary status, and bill reason code. If data related to a specific entry summary is on file, this record will always be received along with Record Identifiers J2, J3 and J5.

| Record Identifier J1 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 1. | |
| District/Port of Entry Summary | 4N | 3-6 | M | A code representing the district/port of entry summary. This code is required for entry numbers issued prior to October 1, 1986, and it is optional for entry numbers issued after that date. If no district/port of entry summary is provided, space fill. Valid district/port codes can be queried through the Extract Reference File chapter of this document. | |
| Broker Number or Entry Filer Code | 3AN | 7-9 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 7-9 of the J1 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 10-18 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Importer of Record Number | 12X | 19-30 | C | A code representing the importer of record. | 1 |
| Entry Type | 2N | 31-32 | C | A code representing the entry type. Valid entry type codes are listed in Appendix B. | |
| Estimated Duty | 11N | 33-43 | C | A value representing the approximate payment due on an imported product. If the number is a whole number, the two low-order (cents) positions contain zeros. | |

| Record Identifier J1 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Estimated Tax | 11N | 44-54 | C | A value representing the approximate tax due on an imported product. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Date of Entry | 6N | 55-60 | C | A numeric date in MMDDYY (month, day, year) format representing the date of entry into the United States. | |
| Summary Filing Date | 6N | 61-66 | C | A numeric date in MMDDYY (month, day, year) format representing the summary filing date. | |
| Reject Date | 6N | 67-72 | C | A numeric date in MMDDYY (month, day, year) format representing the latest reject date. | |
| Collection Status | 1N | 73 | M | A code representing the collection status. Valid Collection Status Codes are: 0 = Unpaid 1 = Not fully paid 2 = Fully paid | |
| Release Status | 1N | 74 | M | A code representing the release status. Valid Release Status Codes are: 0 = Not released 1 = Released 2 = Info Not Permitted Release Status Code 2 will be returned if the query date is less than five days from the CBP release/examination date, the entry is for a border port location, and the mode of transportation code is 12, 20, 21, 30, 31, 32, 33 or 34. For a description of the mode of transportation codes, refer to Appendix B. | |

| Record Identifier J1 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Entry Summary Status | 1N | 75 | M | A code representing the entry summary status. Valid Entry Summary Status Codes are: ABI Status 1 = Error free 2 = With Census Warnings CBP Status 3 = Rejected 4 = Cancelled 5 = Accepted/Not Liquidated 6 = Liquidated 7 = Reliquidated | |
| Bill Reason Code | 2AN | 76-77 | C | A code representing the reason for the bill. | 2 |
| Accelerated Drawback Indicator | 1N | 78 | M | 1 = Accelerated drawback approved and paid 2 = Accelerated drawback approved but not yet paid 3 = Accelerated drawback not claimed or not approved | |
| Paperless Status Indicator | 1A | 79 | C | A code indicating the paperless status. Valid codes are: Space fill = Paper E = Paperless Summary (Electronic Invoice) U = Paperless Summary (Rulings) B = Paperless Summary (Bypass) R = Paper (Documents Required) I = Paperless Summary (Informal) | |
| Electronic Invoice Indicator | 1A | 80 | C | A code indicating electronic invoice capability. Valid codes are: Space fill = No electronic invoice capability for summary data E = Filer has declared ability to transmit electronically complete summary data | |

Note 1

Valid formats for the Importer of Record Number are:

| | |
|--------------------|---------------------------------------|
| NN-NNNNNNXX | Internal Revenue Service (IRS) Number |
| YYDDPP-NNNN | CBP Assigned Number |
| NNN-NN-NNNN | Social Security Number |

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two numbers of the year, and *DDPP* = the district/port code.

If the importer of record number is in IRS number format and the last two positions are blank, ASI converts the blanks to zeros so that the ASI file search argument is consistent with the CBP Importer File. ASI output data may show the last two positions of the importer of record number as zeros when two blanks were input.

Note 2

Valid Bill Reason Codes are:

| Code | Description |
|-------------|-------------------------------------------------------|
| 00 | Change Liquidation |
| 01 | Valuation |
| 02 | Classification |
| 03 | Quantity |
| 04 | Antidumping Duties |
| 05 | Countervailing Duties |
| 06 | Special Trade Programs |
| 07 | Interest Only |
| 08 | Non-Revenue Change Liquidation |
| 09 | Other |
| 10 | Reserved |
| 11 | Valuation (Post Entry Amendment) |
| 12 | Classification (Post Entry Amendment) |
| 13 | Quantity (Post Entry Amendment) |
| 14 | Antidumping Duties (Post Entry Amendment) |
| 15 | Countervailing Duties (Post Entry Amendment) |
| 16 | Special Trade Programs (Post Entry Amendment) |
| 17 | Interest Only (Post Entry Amendment) |
| 18 | Non-Revenue Change Liquidation (Post Entry Amendment) |
| 19 | Other (Post Entry Amendment) |
| 20 | Reserved |
| 21 | Accelerated Drawback/Overclaimed |

Note 2 - Continued

| Code | Description |
|-------------|------------------------------------------|
| 22 | Drawback Clerical Error |
| 23 | Drawback Refund/Non-Accelerated Claim |
| 24 | Accelerated Drawback/Underclaimed |
| 25 | Drawback/No Change/Full Accelerated Paid |
| 26 | No Drawback/No Change |
| 27 | Vessel Repair |
| 28 | Reserved |
| 29 | Reserved |
| 30 | Reserved |
| 31 | Reserved |
| 32 | Reserved |
| 33 | Reserved |
| 34 | Reserved |
| 35 | GSP - Retroactive Renewal |
| 36 | Reserved |
| 37 | Filer Request to Refund Less than \$50 |
| 38 | Reserved |
| 39 | NAFTA Reconciliation |
| 40 | Other Reconciliation |
| 41 | Reserved |
| 42 | No Change Liquidation (2 week) |

Record Identifier J2 (Output)

This is a conditional output record that provides entry summary query data pertaining to the broker number or entry filer code, entry number, collection date, estimated duties paid, estimated taxes paid, liquidation date, liquidated duty, liquidated tax, number of withdrawals, import specialist team, and number of line items. If the data related to a specific entry summary is on file, this record will always be received along with Record Identifiers J1, J3, and J5.

| Record Identifier J2 (Output) | | | | | |
|--------------------------------------|---------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 2. | |
| Broker Number or Entry Filer Code | 3AN | 3-5 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 3-5 of the J2 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 6-14 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Collection Date | 6N | 15-20 | C | A numeric date in MMDDYY (month, day, year) format representing the collection date. | |
| Estimated Duties Paid | 11N | 21-31 | C | A value representing the estimated duties paid. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Estimated Taxes Paid | 11N | 32-42 | C | A value representing the estimated taxes paid. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Liquidation Date | 6N | 43-48 | C | A numeric date in the MMDDYY (month, day, year) format representing the liquidation date. TIB entries (entry type 52) may be closed, but will not show liquidation date. See record identifier J5, CBP Document Filing Location data element. | |

| Record Identifier J2 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Liquidated Duty | 11N | 49-59 | C | A value representing the liquidated duty. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Liquidated Tax | 11N | 60-70 | C | A value representing the liquidated tax. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Number of Withdrawals | 3N | 71-73 | C | A number indicating the number of withdrawals. | |
| Import Specialist Team | 3N | 74-76 | C | The import specialist team number. | |
| Number of Line Items | 3N | 77-79 | C | The number of line items included in this record. | |
| Filler | 1AN | 80 | M | Space fill. | |

Record Identifier J3 (Output)

This is a conditional output record that provides entry summary query data pertaining to the broker number or entry filer code, entry number, cancellation date, extension/suspension code, extension/suspension date, number of times extended, Customs Form (CF) 4811 reference number, preliminary statement print date, surety code, bond number, antidumping/countervailing duty amounts paid and broker reference number. If data related to a particular entry summary is on file, this record will always be received along with Record Identifiers J1, J2 and J5.

| Record Identifier J3 (Output) | | | | | | | | | | | | | | | | | | | | | | | |
|--------------------------------------|----------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|--------------------|-----------|----------------|-----------|---------------------|-----------|---------------|-----------|----------------------------|-----------|-------------------------|-----------|-------------------------|-----------|------------------------|-----------|-------------------|--|
| Data Element | Length/ Class | Position | Status | Description | Note | | | | | | | | | | | | | | | | | | |
| Control Identifier | 1A | 1 | M | Must always equal J. | | | | | | | | | | | | | | | | | | | |
| Record Type | 1N | 2 | M | Must always equal 3. | | | | | | | | | | | | | | | | | | | |
| Broker Number or Entry Filer Code | 3AN | 3-5 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 3-5 of the J3 record can be different from the filer code in the B record. | | | | | | | | | | | | | | | | | | | |
| Entry Number | 9AN | 6-14 | M | The number assigned to the entry number. For additional information on valid entry number formats, refer to Appendix E. | | | | | | | | | | | | | | | | | | | |
| Cancellation Date | 6N | 15-20 | C | A numeric date in MMDDYY (month, day, year) format that represents the cancellation date. | | | | | | | | | | | | | | | | | | | |
| Extension/ Suspension Code | 2N | 21-22 | C | A code representing the most recent extension/suspension. Valid Extension/Suspension Codes are: <table border="0"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>01</td> <td>Extension, CBP</td> </tr> <tr> <td>02</td> <td>Extension, Importer</td> </tr> <tr> <td>03</td> <td>TIB Extension</td> </tr> <tr> <td>04</td> <td>Suspension, Countervailing</td> </tr> <tr> <td>05</td> <td>Suspension, Antidumping</td> </tr> <tr> <td>06</td> <td>Suspension, Court Order</td> </tr> <tr> <td>07</td> <td>Suspension, Actual Use</td> </tr> <tr> <td>08</td> <td>Suspension, Other</td> </tr> </tbody> </table> | Code | Description | 01 | Extension, CBP | 02 | Extension, Importer | 03 | TIB Extension | 04 | Suspension, Countervailing | 05 | Suspension, Antidumping | 06 | Suspension, Court Order | 07 | Suspension, Actual Use | 08 | Suspension, Other | |
| Code | Description | | | | | | | | | | | | | | | | | | | | | | |
| 01 | Extension, CBP | | | | | | | | | | | | | | | | | | | | | | |
| 02 | Extension, Importer | | | | | | | | | | | | | | | | | | | | | | |
| 03 | TIB Extension | | | | | | | | | | | | | | | | | | | | | | |
| 04 | Suspension, Countervailing | | | | | | | | | | | | | | | | | | | | | | |
| 05 | Suspension, Antidumping | | | | | | | | | | | | | | | | | | | | | | |
| 06 | Suspension, Court Order | | | | | | | | | | | | | | | | | | | | | | |
| 07 | Suspension, Actual Use | | | | | | | | | | | | | | | | | | | | | | |
| 08 | Suspension, Other | | | | | | | | | | | | | | | | | | | | | | |

| Record Identifier J3 (Output) | | | | | |
|-----------------------------------------------------|--------------------------|-----------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Extension/ Suspension Date | 6N | 23-28 | C | A numeric date in MMDDYY (month, day, year) format representing the input date by CBP of the most recent extension/suspension. | |
| Number of Times Extended | 2N | 29-30 | C | A number from 00 to 03 representing the number of times the entry summary has been extended. This field may not represent the current extension/suspension code. The entry may have been extended at one time, but may not be currently. | |
| CF-4811 Reference Number | 12X | 31-42 | C | A code representing the reference number contained on Customs Form (CF) 4811. | |
| Preliminary Statement Print Date | 6N | 43-48 | C | A numeric date in MMDDYY (month, day, year) format representing the date selected for this entry summary to appear on the Preliminary Statement. | |
| Surety Code | 3N | 49-51 | C | A code representing the surety. | |
| Bond Number | 9N | 52-60 | C | A code representing the bond number. | |
| Antidumping/ Countervailing Duty Amounts Paid | 11N | 61-71 | C | A value representing the antidumping/countervailing duties paid. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Broker Reference Number | 9X | 72-80 | C | An optional code provided by the participant. This field is not edited during ACS processing. It is for internal user system control in entry summary processing. | |

Record Identifier J4 (Output)

This is a conditional output record that provides entry summary query data pertaining to the district/port of entry summary, broker number or entry filer code, entry number, importer of record, ultimate consignee, Customs Form (CF) 4811 reference number, liquidation/cancellation date, liquidation type, archive date, and bill reason code in the Abbreviated Liquidation History File. Entry summary data is removed from the Active Entry Master File and placed in the Abbreviated Liquidation History file approximately 30-50 days after a no-change liquidation or 120 to 140 days for a change liquidation. The Abbreviated Liquidation file is not as detailed as the Entry Master file.

| Record Identifier J4 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 4. | |
| District/Port of Entry Summary | 4N | 3-6 | M | A code representing the district/port of entry summary. This code is required for entry numbers issued prior to October 1, 1986, and it is optional for entry numbers issued after that date. If no district/port of entry summary is provided, space fill. Valid district/port codes can be queried through the Extract Reference File chapter of this document. | |
| Broker Number or Entry Filer Code | 3AN | 7-9 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 7-9 of the J4 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 10-18 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Importer of Record | 12X | 19-30 | M | A code representing the importer of record number. | 1 |
| Ultimate Consignee | 12X | 31-42 | C | A code representing the ultimate consignee. | |
| CF-4811 Reference Number | 12X | 43-54 | C | The reference number contained on Customs Form (CF) 4811 | |
| Liquidation/ Cancellation Date | 6N | 55-60 | M | A numeric date in MMDDYY (month, day, year) format representing the liquidation/cancellation date. | |

| Record Identifier J4 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Liquidation Type | 1N | 61 | M | A code representing the liquidation type. Valid Liquidation Type Codes are: 1 = No change 2 = Bill 3 = Refund 4 = Reliquidation Bill 5 = Reliquidation Refund 6 = Auto Liquidation 7 = Deemed Liquidation 8 = Cancelled | |
| Archive Date | 6N | 62-67 | M | A numeric date in MMDDYY (month, day, year) format representing the archive date. The archive date is the date the entry data is taken from the Entry Master File and moved to the Abbreviated Liquidation File. | |
| Bill Reason Code | 2N | 68-69 | C | A code representing the reason for the bill. | 2 |
| Filler | 11AN | 70-80 | M | Space fill. | |

Note 1

Valid formats for the Importer of Record Number are:

| | |
|--------------------|---------------------------------------|
| NN-NNNNNNXX | Internal Revenue Service (IRS) Number |
| YYDDPP-NNNN | CBP Assigned Number |
| NNN-NN-NNNN | Social Security Number |

In these codes, *N* = number, *X* = alphanumeric, *YY* = the last two numbers of the year, and *DDPP* = the district/port code.

If the importer of record number is in IRS number format and the last two positions are blank, ASI converts the blanks to zeros so that the file search argument is consistent with the CBP Importer File. ASI output data may show the last two positions of the importer of record number as zeros when two blanks were input.

Note 2

Valid Bill Reason Codes are:

| Code | Description |
|-------------|-------------------------------------------------------|
| 00 | Change Liquidation |
| 01 | Valuation |
| 02 | Classification |
| 03 | Quantity |
| 04 | Antidumping Duties |
| 05 | Countervailing Duties |
| 06 | Special Trade Programs |
| 07 | Interest Only |
| 08 | Non-Revenue Change Liquidation |
| 09 | Other |
| 10 | Reserved |
| 11 | Valuation (Post Entry Amendment) |
| 12 | Classification (Post Entry Amendment) |
| 13 | Quantity (Post Entry Amendment) |
| 14 | Antidumping Duties (Post Entry Amendment) |
| 15 | Countervailing Duties (Post Entry Amendment) |
| 16 | Special Trade Programs (Post Entry Amendment) |
| 17 | Interest Only (Post Entry Amendment) |
| 18 | Non-Revenue Change Liquidation (Post Entry Amendment) |
| 19 | Other (Post Entry Amendment) |
| 20 | Reserved |
| 21 | Accelerated Drawback/Overclaimed |
| 22 | Drawback Clerical Error |
| 23 | Drawback Refund/Non-Accelerated Claim |
| 24 | Accelerated Drawback/Underclaimed |
| 25 | Drawback/No Change/Full Accelerated Paid |
| 26 | No Drawback/No Change |
| 27 | Vessel Repair |
| 28 | Reserved |
| 29 | Reserved |
| 30 | Reserved |
| 31 | Reserved |
| 32 | Reserved |
| 33 | Reserved |
| 34 | Reserved |
| 35 | GSP - Retroactive Renewal |
| 36 | Reserved |
| 37 | Filer Request to Refund Less than \$50 |

Note 2 – Continued

| Code | Description |
|-------------|--------------------------------|
| 38 | Reserved |
| 39 | NAFTA Reconciliation |
| 40 | Other Reconciliation |
| 41 | Reserved |
| 42 | No Change Liquidation (2 week) |

Record Identifier J5 (Output)

This is a conditional output record that provides entry summary query data pertaining to the broker number or entry filer code, entry number, CBP document filing location, protest number, protest type, protest status, protest decision date, and summons indicator. If there are more than two protests associated with an entry, only the first two occurrences of protests are returned. It is understood that any given entry may have more than two protests associated with it; but because of space limitations, output is limited to the first two occurrences of protests for a specific entry. If data related to a particular entry summary is on file, this record will always be received along with Record Identifiers J1, J2, and J3.

| Record Identifier J5 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 5. | |
| Broker Number or Entry Filer Code | 3AN | 3-5 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 3-5 of the J5 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 6-14 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| CBP Document Filing Location | 18AN | 15-32 | C | A code indicating the CBP document filing location. This code consists of a 6-position numeric date in MMDDYY (month, day, year) format; a 4-position terminal identification code; a 3-position numeric batch code (001-999); and a 3-position numeric sequence number. For TIB entries (entry type 23), if this data element is shown, the first six digits (the numeric date) indicate the closure date. | |
| Protest Number - 1 | 12N | 33-44 | C | A code representing the first protest number. | |
| Protest Type - 1 | 1N | 45 | C | A code representing the protest type. | 1 |
| Protest Status - 1 | 2A | 46-47 | C | A code representing the status of the first protest. | 2 |

| Record Identifier J5 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Protest Decision Date - 1 | 6N | 48-53 | C | A numeric date in MMDDYY (month, day, year) format representing the protest decision date. This date is returned only if the status of the protest is other than open. | |
| Summons Indicator - 1 | 1N | 54 | C | A code representing the summons status. Valid Summons Indicator Codes are: 1 = Summons 0 = No Summons | |
| Protest Number - 2 | 12N | 55-66 | C | A code representing the second protest number. | |
| Protest Type - 2 | 1N | 67 | C | A code representing the protest type. | 1 |
| Protest Status - 2 | 2A | 68-69 | C | A code representing the status of the second protest. | 2 |
| Protest Decision Date - 2 | 6N | 70-75 | C | A numeric date in MMDDYY (month, day, year) format representing the protest decision date. This date is returned only if the status of the protest is other than open. | |
| Summons Indicator - 2 | 1N | 76 | C | A code representing the summons status. Valid summons indicator codes are: 1 = Summons 0 = No Summons | |
| Filler | 4AN | 77-80 | M | Space fill. | |

Note 1

Valid Protest Type Codes are:

| Code | Description |
|-------------|----------------------|
| 1 | 514 Protest Section |
| 2 | 520(c) Petition |
| 3 | 520(d) Petition |
| 4 | 181-115 Intervention |

Note 2

Valid Protest Status Codes are:

| Code | Description |
|-------------|--------------------|
| OP | Open |
| AP | Approved |
| DN | Denied |
| NP | Not Protestable |
| SP | Suspended |
| PD | Partly Denied |
| WD | Withdrawn |
| UT | Untimely |

Record Identifier J6 (Output)

This is a conditional output record that provides entry summary query data pertaining to the broker number or entry filer code, entry number, bill number, bill date, bill type, protest status, bill status, total bill amount, paid amount, principal amount, and interest amount. This record is also received when there is no collection and/or bill data available for a specific entry.

| Record Identifier J6 (Output) | | | | | |
|--------------------------------------|---------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 6. | |
| Broker Number or Entry Filer Code | 3AN | 3-5 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 3-5 of the J6 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 6-14 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Bill Number | 11N | 15-25 | M | A code assigned to the bill. | |
| Bill Date | 6N | 26-31 | M | A numeric date in MMDDYY (month, day, year) format representing the date of the bill. | |
| Bill Type | 1N | 32 | M | A code representing the bill type. Valid Bill Type Codes are: 1 = Supplemental Duties 2 = Deferred Tax 3 = Fines, Penalties and Forfeiture Billing | |
| Protest Status | 1N | 33 | M | A code representing the protest status. Valid Protest Status Codes are: 0 = Not Protested 1 = Protested | |

| Record Identifier J6 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Bill Status | 1N | 34 | M | A code representing the bill status. Valid Bill Status Codes are: 1 = Open 2 = Paid 3 = Cancelled 4 = Administrative Pay 5 = Voided | |
| Total Bill Amount | 11N | 35-45 | M | A value representing the total bill amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Paid Amount | 11N | 46-56 | C | A value representing the amount paid. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Principal Amount | 11N | 57-67 | C | A value representing the principal amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Principal Interest Amount | 11N | 68-78 | C | A value representing the interest amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Filler | 2AN | 79-80 | M | Space fill. | |

Record Identifier J7 (Output)

This is a conditional output record that provides detailed collection information on single or statement payments. Multiple J7 records are possible if more than one collection is made. Record Identifier J8 provides collection data when there are more than two class codes.

| Record Identifier J7 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 7. | |
| Broker Number or Entry Filer Code | 3AN | 3-5 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 3-5 of the J7 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 6-14 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Collection Transaction Number | 4N | 15-18 | M | A code assigned to the collection transaction. | |
| Collection Processing Date | 6N | 19-24 | M | A numeric date in MMDDYY (month, day, year) format representing the collection processing date. | 1 |
| Collection Date | 6N | 25-30 | M | A numeric date in MMDDYY (month, day, year) format representing the collection date. | 1 |
| Total Amount | 11N | 31-41 | M | A value representing the total amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Class Code | 3N | 42-44 | M | A code representing the collection class. | 2 |
| Class Code Amount | 11N | 45-55 | M | A value representing the class code amount. Two decimal places are implied. If the number is a whole number, the two low-order positions contain zeros. | |
| Class Code | 3N | 56-58 | C | A code representing the collection class. | 2 |

| Record Identifier J7 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Class Code Amount | 11N | 59-69 | C | A value representing the class code amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Error Status | 1N | 70 | C | A code of <i>I</i> if the error is included on the CBP Error Report; otherwise, space fill. | |
| Filler | 10AN | 71-80 | M | Space fill. | |

Note 1

The collection date should be input as the date the entry summary with duties is filed with CBP. The collection process date may be the same as the collection date or may be a later date.

Note 2

Valid Collection Class Codes are:

| Code | Description |
|-------------|------------------------------------------------------|
| 001 | Consumption Entries |
| 002 | Warehouse Withdrawals |
| 003 | Mail Entries |
| 007 | Informal Entries |
| 008 | Appraisement Entries |
| 009 | Vessel Repair Entries |
| 010 | All Other Duties On Imports |
| 012 | Antidumping Duties |
| 013 | Countervailing Duties |
| 016 | Excise Taxes on Distilled Spirits |
| 017 | Excise Taxes on Wines |
| 018 | Excise Taxes on Tobacco Products |
| 020 | Importations from the Virgin Islands |
| 021 | Importations from Puerto Rico |
| 022 | All Other Excise Taxes |
| 038 | Charges for Testing, Inspection and Grading Services |
| 053 | Beef Import Assessments |
| 054 | Pork Import Assessments |
| 055 | Honey Import Assessments |
| 056 | Cotton Fee |

Note 2 – Continued

| Code | Description |
|-------------|--------------------|
|-------------|--------------------|

| | |
|------------|----------------------------------------|
| 072 | Unapplied CBP Receipts |
| 073 | Suspense |
| 079 | Sugar Import Fee |
| 090 | Potato Fee and Potato Products |
| 092 | Final Warehouse Withdrawal |
| 101 | Additional Duty on Formal Entry |
| 102 | Lime Fee |
| 103 | Mushroom Fee |
| 104 | Watermelon Fee |
| 105 | Sheep Fee |
| 106 | Blueberry Fee |
| 107 | Hass Avocado Fee |
| 116 | Deferred Tax on Distilled Spirits |
| 117 | Deferred Tax on Wine |
| 118 | Deferred Tax on Tobacco Products |
| 122 | Deferred Tax on All Other Excise Taxes |
| 211 | Canadian Surcharge |
| 311 | Informal Entry Fee |
| 496 | User Fee Dutiable Mail Entry |
| 499 | Merchandise Processing Fee |
| 500 | Manual Surcharge Fee |
| 501 | Harbor Maintenance Fee |

Record Identifier J8 (Output)

This is a conditional output record that provides entry summary query data pertaining to the broker number or entry filer code, entry number, collection transaction number, collection class code and collection class code amount when there are more than two class codes and amounts.

| Record Identifier J8 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 8. | |
| Broker Number or Entry Filer Code | 3AN | 3-5 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code in positions 3-5 of the J8 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 6-14 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Collection Transaction Number | 4N | 15-18 | M | A code representing the collection transaction number. | |
| Collection Class Code | 3N | 19-21 | M | A code representing the collection class. | 1 |
| Collection Class Code Amount | 11N | 22-32 | C | A value representing the collection class code amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Collection Class Code | 3N | 33-35 | C | A code representing the collection class. | 1 |
| Collection Class Code Amount | 11N | 36-46 | C | A value representing the collection class code amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Collection Class Code | 3N | 47-49 | C | A code representing the collection class. | 1 |
| Collection Class Code Amount | 11N | 50-60 | C | A value representing the collection class code amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |

| Record Identifier J8 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Collection Class Code | 3N | 61-63 | C | A code representing the collection class. | 1 |
| Collection Class Code Amount | 11N | 64-74 | C | A value representing the collection class code amount. Two decimal places are implied. If the number is a whole number, the two low-order (cents) positions contain zeros. | |
| Filler | 6AN | 75-80 | M | Space fill. | |

Note 1

Valid Collection Class Codes are:

| Code | Description |
|-------------|------------------------------------------------------|
| 001 | Consumption Entries |
| 002 | Warehouse Withdrawals |
| 003 | Mail Entries |
| 007 | Informal Entries |
| 008 | Appraisement Entries |
| 009 | Vessel Repair Entries |
| 010 | All Other Duties on Imports |
| 012 | Antidumping Duties |
| 013 | Countervailing Duties |
| 016 | Excise Taxes on Distilled Spirits |
| 017 | Excise Taxes on Wines |
| 018 | Excise Taxes on Tobacco Products |
| 020 | Importations from the Virgin Islands |
| 021 | Importations from Puerto Rico |
| 022 | All Other Excise Taxes |
| 038 | Charges for Testing, Inspection and Grading Services |
| 053 | Beef Import Assessments |
| 054 | Pork Import Assessments |
| 055 | Honey Import Assessments |
| 056 | Cotton Fee |
| 072 | Unapplied CBP Receipts |
| 073 | Suspense |
| 079 | Sugar Import Fee |
| 090 | Potato and Potato Products Fee |
| 092 | Final Warehouse Withdrawal |
| 101 | Additional Duty on Formal Entry |

Note 1 – Continued

| Code | Description |
|-------------|----------------------------------------|
| 102 | Lime Fee |
| 103 | Mushroom Fee |
| 104 | Watermelon Fee |
| 105 | Sheep Fee |
| 106 | Blueberry Fee |
| 107 | Hass Avocado Fee |
| 116 | Deferred Tax on Distilled Spirits |
| 117 | Deferred Tax on Wine |
| 118 | Deferred Tax on Tobacco Products |
| 122 | Deferred Tax on All Other Excise Taxes |
| 211 | Canadian Surcharge |
| 311 | Informal Entry Fee |
| 496 | User Fee Dutiable Mail Entry |
| 499 | Merchandise Processing Fee |
| 500 | Manual Surcharge Fee |
| 501 | Harbor Maintenance Fee |

Record Identifier J9 (Output)

This is a conditional output record that provides entry summary query data pertaining to the district/port of entry summary, broker number or entry filer code, entry number, and a narrative message stating the transmission was received with errors.

| Record Identifier J9 (Output) | | | | | |
|--------------------------------------|--------------------------|-----------------|---------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|
| Data Element | Length/ Class | Position | Status | Description | Note |
| Control Identifier | 1A | 1 | M | Must always equal J. | |
| Record Type | 1N | 2 | M | Must always equal 9. | |
| District/Port of Entry Summary | 4N | 3-6 | M | A code representing the district/port where the merchandise was entered under an entry or immediate delivery permit. Generally, the district code is the same as the district code contained in the block control header record (Record Identifier B); however, the port code can be different. Valid district/port codes can be queried through the Extract Reference File chapter of this document. | |
| Broker Number or Entry Filer Code | 3AN | 7-9 | M | A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer Code must be the same as the Entry Filer Code in the block control header record (Record Identifier B). If the filer is a NILS filer (National Importer Liquidation System), the filer code positions 7-9 of the J9 record can be different from the filer code in the B record. | |
| Entry Number | 9AN | 10-18 | M | The number assigned to the entry. For additional information on valid entry number formats, refer to Appendix E. | |
| Narrative Message | 30X | 19-48 | M | A narrative message stating the transmission was received with errors. | |
| Filler | 32AN | 49-80 | M | Space fill. | |