Query Importer/Bond

This chapter provides records that allow the Importer/Bond file to be queried.

RECORD DESCRIPTIONS

Query Importer/Bond

Automated Surety Interface (ASI) users have the capability to query the U.S. Customs Service Importer/Bond file. The importer is responsible for verifying the accuracy of the data. Any discrepancies between the user's file and the ASI file must be reconciled by the user. In instances where the Customs data is inaccurate, the importer must take the necessary actions to reconcile the differences. This may necessitate reconciliation at the Customhouse where the bond was filed.

Input: Record Identifier K is a mandatory query importer/bond input record. It allows up to six importer/ultimate consignee numbers to be queried.

If the queried number is in the Internal Revenue Service (IRS) format, placing two asterisks (**) in the last two positions will cause ASI to return records for all suffixes within the base number including *00* and two spaces.

The application identifier on Record Identifier B is KI. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: Record Identifier K1 is a mandatory query importer/bond output record. Record Identifiers K2, K3, and K4 are conditional. If the importer/ultimate consignee number is not on file, the K1 record is system generated and provides the importer/ultimate consignee number and a query results code indicating the importer/ultimate consignee number is not on file. If the importer/ultimate consignee number is on file, the K1 record is system generated for each bond type/activity code on file. If there is a second line of the importer name, the K2 record is system generated. The K3 record provides lines for the mailing address. The K4 record provides the importer name/importer number and city/state/ZIP of the mailing address.

The application identifier on Record Identifier B is KR. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

User Notes:

Record Identifier K (Input)

This is a mandatory input record that allows the Importer/Bond file to be queried. Up to six importer/ultimate consignee numbers can be queried on this record. This record is repeated as often as necessary.

Record Identifier K (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Filler	1AN	2	M	Space fill.	
Importer Number	12X	3-14	M	A code representing the importer/ultimate consignee.	1
Address Request Code	1AN	15	M	The address request code.	2
Importer Number	12X	16-27	O	The code representing the importer/ultimate consignee.	1
Address Request Code	1AN	28	M	The address request code.	2
Importer Number	12X	29-40	O	The code representing the importer/ultimate consignee.	1
Address Request Code	1AN	41	M	The address request code.	2
Importer Number	12X	42-53	O	The code representing the importer/ultimate consignee.	1
Address Request Code	1AN	54	M	The address request code.	2
Importer Number	12X	55-66	O	The code representing the importer/ultimate consignee.	1
Address Request Code	1AN	67	M	The address request code.	2
Importer Number	12X	68-79	O	The code representing the importer/ultimate consignee.	1
Address Request Code	1AN	80	M	The address request code.	2

Note 1

Valid formats for the Importer Number are:

Code	Description
NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN	Customs Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, and $DDPP$ = the port code where the number is assigned.

If the Importer Number is in IRS format and the last two positions are blank, ASI converts the blanks to zeros so that the file search argument is consistent with the Customs Importer file. ASI output data may show the last two positions of the number as zeros when two blanks were input.

Use of the old Customs-assigned number (NNNN-NNNNN) expired July 1, 1992. All importer numbers with the old format will be placed in a void status.

Note 2

Valid address request codes are:

Code	Description
Space	Transmit K1 and K2 records only.
1	Transmit K1, K2, K3, and K4 records.
2	Transmit K3 and K4 records only.

Record Identifier K1 (Output)

This is a mandatory importer/bond output record that provides data pertaining to the importer number, query results code, importer's name, surety code, bond type/activity code, bond amount, district/port where bond was filed, bond effective date and bond number. This record is system generated for each bond type/activity code on file.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier K1 (Output)					
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1N	2	M	Must always equal 1.	
Importer Number	12X	3-14	M	A code representing the importer/ultimate consignee.	1
Query Results Code	1N	15	M	A code representing the results of the query.	2
Importer's Name	32AN	16-47	C	The name of the importer or ultimate consignee.	
Surety Code	3N	48-50	C	The surety code related to the bond.	
Bond Type/Activity Code	1AN	51	C	A code representing the bond type/activity.	3
Bond Amount	9N	52-60	C	The value of the bond.	
District/Port Where Bond Was Filed	4N	61-64	C	The code representing the district/port where the bond was filed. Valid district/port codes can be queries through the Extract Reference File chapter of this document.	
Bond Effective Date	6N	65-70	C	A numeric date in MMDDYY (month, day, year) format representing the bond effective date.	
Bond Number	9N	71-79	C	The number assigned to the bond.	
Filler	1AN	80	M	Space fill.	

Note 1

Valid formats for the Importer Number are:

NN-NNNNNNNXX	Internal Revenue Service (IRS) Number
YYDDPP-NNNNN	Customs Assigned Number
NNN-NN-NNNN	Social Security Number

In these codes, N = number, X = alphanumeric, YY = the last two digits of the calendar year when the number is assigned, and $DDPP$ = the district/port code where the number is assigned.

If the Importer Number is in IRS format and the last two positions are blank, ASI converts the blanks to zeros so that the file search argument is consistent with the Customs Importer file. ASI output data may show the last two positions of the number as zeros when two blanks were input.

Use of the old Customs-assigned number (NNNN-NNNNN) expired July 1, 1992. All importer numbers with the old format will be placed in a void status.

Note 2

If the message IMPORTER # VOIDED is received and further assistance is required, contact the Customs Client Representative. This usually means that erroneous data is on file.

If the message IMPORTER # INACTIVE is received, ASI automatically inactivated the importer if no cargo release, entry summary, or electronic invoice transactions were received within the last 18 months. To reactivate the importer number, provide Customs with the complete importer number, name, and address information through an Add CF 5106 transaction (Customs Form 5106, Notification of Importer's Number or Notice of Change of Name or Address to the Importer/Consignee).

Valid Query Result Codes are:

Code	Description
0	No Customs Form (CF) 5106 (Notification of Importer's Number, or Application for Importer's Number, or Notice of Change of Name or Address) is on file.
1	A CF 5106 is on file with a continuous bond.
2	A CF 5106 is on file with no bond.
3	Importer number voided.
4	Importer number is in inactive status.

<u>Note 3</u>

This field has a dual use. For continuous bonds (bond type 8), the field will contain an alphabetic code representing the activity code for the new Customs bond form (CF 301).

Valid Bond Activity Codes are:

Code	Equivalent CF 301 Activity Code	Description
A	A1	Importer or Broker
B	1a	Drawback payment refund
C	1a1	Combination 1 and 1a
D	2	Custodian of bonded merchandise
E	3	International Carrier
F	3a	Instruments of International Traffic
G	3a3	Combination 3 and 3a
H	4	Foreign Trade Zone Operator
J	5	Public Gauger

User Notes:

Record Identifier K2 (Output)

This is a conditional importer/bond query output record that provides the name qualifier and line two of the importer name.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier K2 (Output)					
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1N	2	M	Must always equal 2.	
Name Qualifier	3A	3-5	C	A code of *DBA* (doing business as), *DIV* (Division), or *AKA* (also known as).	
Line Two of Importer Name	32X	6-37	C	The importer associated with the Name Qualifier in positions 3-5.	
Filler	43X	38-80	M	Space fill.	

Record Identifier K3 (Output)

This is a conditional importer/bond query output record that provides the first and second lines of the mailing address for the importer/ultimate consignee.

Record Identifier K3 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1N	2	M	Must always equal 3.	
Address Line One	32X	3-34	C	Street address or post office box number of the importer/ultimate consignee.	
Address Line Two	32X	35-66	C	Second line of the mailing address.	
Filler	14X	67-80	M	Space fill.	

Record Identifier K4 (Output)

This is a conditional importer/bond query output record that provides the importer name, importer number, city, state, and ZIP of the mailing address.

Record Identifier K4 (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1N	2	M	Must always equal 4.	
Importer Name	32A	3-34	C	The name of the importer or ultimate consignee. If the K1 record is transmitted, the Importer Name on the K4 records is repeated only if the Importer Status is "INACTIVE" or "VOID". Otherwise, the Importer Name is not repeated on the K4 record.	
City	21A	35-55	M	City portion of the mailing address.	
State	2A	56-57	M	U.S. Postal Service state abbreviation.	
ZIP	9AN	58-66	M	If the address is an U.S. address, the first 5 positions of the code are mandatory and must be numeric. The last 4 positions of the U.S. ZIP code are optional; however, if they are included they must be numeric. For a Canadian address, the Canadian Postal Code must be in ANANAN format. For a Mexican address, space fill. For all other foreign addresses, use up to 9 characters.	
Importer Number	12N	67-78	C	The number representing the client/importer/ ultimate consignee. If the K2 record is transmitted, the Importer number in the K4 records will not be repeated.	
Filler	2X	79-80	M	Space fill.	